

Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

☐ ☒

1

Briefly describe the organization's mission

COLUMBIA UNIVERSITY IS ONE OF THE WORLD'S MOST IMPORTANT CENTERS OF RESEARCH AND AT THE SAME TIME A DISTINCTIVE AND DISTINGUISHED LEARNING ENVIRONMENT FOR UNDERGRADUATES AND GRADUATE STUDENTS IN MANY SCHOLARLY AND PROFESSIONAL FIELDS THE UNIVERSITY RECOGNIZES THE IMPORTANCE OF ITS LOCATION IN NEW YORK CITY AND SEEKS TO LINK ITS RESEARCH AND TEACHING TO THE VAST RESOURCES OF A GREAT METROPOLIS IT SEEKS TO ATTRACT A DIVERSE AND INTERNATIONAL FACULTY AND STUDENT BODY, TO SUPPORT RESEARCH AND TEACHING ON GLOBAL ISSUES, AND TO CREATE ACADEMIC RELATIONSHIPS WITH MANY COUNTRIES AND REGIONS IT EXPECTS ALL AREAS OF THE UNIVERSITY TO ADVANCE KNOWLEDGE AND LEARNING AT THE HIGHEST LEVEL AND TO CONVEY THE PRODUCTS OF ITS EFFORTS TO THE WORLD

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4

Describe the exempt purpose achievements for each of the organization's three largest program services by expenses

Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code) (Expenses \$ 1,183,055,788 including grants of \$ 355,435,655) (Revenue \$ 1,006,538,000)

COLUMBIA UNIVERSITY IS A PRIVATE, NONSECTARIAN, NONPROFIT INSTITUTION OF HIGHER EDUCATION WHOSE ACTIVITIES ARE CONCENTRATED AT TWO LOCATIONS IN NEW YORK CITY BUT EXTEND ACROSS THE GLOBE THE UNIVERSITY PROVIDES INSTRUCTION THROUGH SIXTEEN UNDERGRADUATE, GRADUATE, AND PROFESSIONAL SCHOOLS IT OPERATES A VARIETY OF RESEARCH INSTITUTES AND A LIBRARY SYSTEM TO SUPPORT ITS TEACHING AND LEARNING, BASIC AND APPLIED RESEARCH, PATIENT CARE, AND PUBLIC SERVICE ACTIVITIES THE UNIVERSITY ENROLLS APPROXIMATELY 27,606 FULL-TIME AND PART-TIME STUDENTS AND EMPLOYS APPROXIMATELY 30,000 FULL-TIME AND PART-TIME EMPLOYEES, INCLUDING 5,503 FULL-TIME FACULTY MEMBERS

4b

(Code) (Expenses \$ 693,935,603 including grants of \$ 4,584,671) (Revenue \$ 781,512,828)

THE UNIVERSITY, THROUGH THE COLUMBIA UNIVERSITY MEDICAL CENTER AND ITS MEDICAL FACULTY PRACTICE PLAN, PROVIDES DIRECT PATIENT CARE AND OTHER CLINICAL AND EDUCATIONAL SERVICES TO HOSPITALS AND OTHER HEALTH CARE INSTITUTIONS THROUGH CONTRACTUAL AGREEMENTS FOR SERVICES THE CLINICAL FACULTY HANDLED APPROXIMATELY 1.7 MILLION OUTPATIENT AND EMERGENCY ROOM VISITS AND PARTICIPATED IN INSTRUCTION AND SUPERVISION OF 600 UNIVERSITY MEDICAL STUDENTS AND 900 RESIDENTS AND FELLOWS AT NEW YORK PRESBYTERIAN HOSPITAL AND OTHER AFFILIATED HOSPITALS

4c

(Code) (Expenses \$ 559,431,000 including grants of \$ 134,121,958) (Revenue \$ 102,574,983)

THE UNIVERSITY PERFORMS RESEARCH, TRAINING, AND OTHER SERVICES UNDER GRANTS AND CONTRACTS WITH AGENCIES OF THE FEDERAL GOVERNMENT AND OTHER SPONSORING ORGANIZATIONS

4d
























Other program services (Describe in Schedule O) **See also Additional Data for Description**

(Expenses \$ 717,812,287 including grants of \$ 1,897,500) (Revenue \$ 125,868,842)

4e

Total program service expenses \$ 3,154,234,678

Part IV Checklist of Required Schedules

| | Yes | No |
|---|---------|----|
| 1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A  | 1 Yes | |
| 2 Is the organization required to complete Schedule B, Schedule of Contributors (see instruction)? | 2 | No |
| 3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I  | 3 | No |
| 4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II  | 4 Yes | |
| 5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III | 5 | |
| 6 Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I  | 6 Yes | |
| 7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II  | 7 | No |
| 8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III  | 8 Yes | |
| 9 Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV  | 9 | No |
| 10 Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V  | 10 Yes | |
| 11 If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable | | |
| a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI.  | 11a Yes | |
| b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII.  | 11b Yes | |
| c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII.  | 11c | No |
| d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX.  | 11d | No |
| e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X.  | 11e Yes | |
| f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X.  | 11f | No |
| 12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII  | 12a | No |
| b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional  | 12b Yes | |
| 13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E  | 13 Yes | |
| 14a Did the organization maintain an office, employees, or agents outside of the United States? | 14a Yes | |
| b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Parts I and IV  | 14b Yes | |
| 15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S ? If "Yes," complete Schedule F, Parts II and IV  | 15 Yes | |
| 16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U S ? If "Yes," complete Schedule F, Parts III and IV  | 16 Yes | |
| 17 Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)  | 17 Yes | |
| 18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II  | 18 Yes | |
| 19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III  | 19 | No |
| 20a Did the organization operate one or more hospitals? If "Yes," complete Schedule H | 20a | No |
| b If "Yes" to line 20a, did the organization attach its audited financial statement to this return? Note. Some Form 990 filers that operate one or more hospitals must attach audited financial statements (see instructions) | 20b | |

Part IV

Checklist of Required Schedules (continued)

| | | | | |
|-----|--|-----|-----|----|
| 21 | Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> | 21 | Yes | |
| 22 | Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> | 22 | Yes | |
| 23 | Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> | 23 | Yes | |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b–24d and complete Schedule K. If "No," go to line 25</i> | 24a | Yes | |
| b | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | | No |
| c | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | 24c | | No |
| d | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | | No |
| 25a | Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> | 25a | | No |
| b | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> | 25b | | No |
| 26 | Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i> | 26 | | No |
| 27 | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i> | 27 | | No |
| 28 | Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions) | | | |
| a | A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> | 28a | | No |
| b | A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> | 28b | Yes | |
| c | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i> | 28c | Yes | |
| 29 | Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> | 29 | Yes | |
| 30 | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> | 30 | Yes | |
| 31 | Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> | 31 | | No |
| 32 | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> | 32 | | No |
| 33 | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> | 33 | Yes | |
| 34 | Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i> | 34 | Yes | |
| 35 | Is any related organization a controlled entity within the meaning of section 512(b)(13)? | 35 | Yes | |
| a | Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> | | | |
| 36 | Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> | 36 | Yes | |
| 37 | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> | 37 | | No |
| 38 | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O | 38 | Yes | |

| | | | | | |
|--|--|------------|-----------|--|----|
| Part V Statements Regarding Other IRS Filings and Tax Compliance | | | | | |
| Check if Schedule O contains a response to any question in this Part V <input checked="" type="checkbox"/> | | | | | |
| | | Yes | No | | |
| 1a | Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable. | 1a | 43,225 | | |
| b | Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable. | 1b | 0 | | |
| c | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? | 1c | Yes | | |
| 2a | Enter the number of employees reported on Form W-3, <i>Transmittal of Wage and Tax Statements</i> filed for the calendar year ending with or within the year covered by this return. | 2a | 31,900 | | |
| b | If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions). | 2b | Yes | | |
| 3a | Did the organization have unrelated business gross income of \$1,000 or more during the year? | 3a | Yes | | |
| b | If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O. | 3b | Yes | | |
| 4a | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? | 4a | Yes | | |
| b | If "Yes," enter the name of the foreign country: _____ See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts. | | | | |
| 5a | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | 5a | | | No |
| b | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | 5b | | | No |
| c | If "Yes" to line 5a or 5b, did the organization file Form 8886-T? | 5c | | | |
| 6a | Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible? | 6a | | | No |
| b | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | 6b | | | |
| 7 | Organizations that may receive deductible contributions under section 170(c). | | | | |
| a | Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? | 7a | Yes | | |
| b | If "Yes," did the organization notify the donor of the value of the goods or services provided? | 7b | Yes | | |
| c | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? | 7c | | | No |
| d | If "Yes," indicate the number of Forms 8282 filed during the year. | 7d | | | |
| e | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | 7e | | | No |
| f | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 7f | | | No |
| g | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? | 7g | | | |
| h | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? | 7h | | | |
| 8 | Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? | 8 | | | No |
| 9 | Sponsoring organizations maintaining donor advised funds. | | | | |
| a | Did the organization make any taxable distributions under section 4966? | 9a | | | No |
| b | Did the organization make a distribution to a donor, donor advisor, or related person? | 9b | | | No |
| 10 | Section 501(c)(7) organizations. Enter: | | | | |
| a | Initiation fees and capital contributions included on Part VIII, line 12. | 10a | | | |
| b | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities. | 10b | | | |
| 11 | Section 501(c)(12) organizations. Enter: | | | | |
| a | Gross income from members or shareholders. | 11a | | | |
| b | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them). | 11b | | | |
| 12a | Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? | 12a | | | |
| b | If "Yes," enter the amount of tax-exempt interest received or accrued during the year. | 12b | | | |
| 13 | Section 501(c)(29) qualified nonprofit health insurance issuers. | | | | |
| a | Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. | 13a | | | |
| b | Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans. | 13b | | | |
| c | Enter the amount of reserves on hand. | 13c | | | |
| 14a | Did the organization receive any payments for indoor tanning services during the tax year? | 14a | | | No |
| b | If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O. | 14b | | | |

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.
Check if Schedule O contains a response to any question in this Part VI

Section A. Governing Body and Management

| | | | |
|----|---|-----|----|
| | | Yes | No |
| 1a | Enter the number of voting members of the governing body at the end of the tax year | | |
| 1b | Enter the number of voting members included in line 1a, above, who are independent | | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? | Yes | |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? | | No |
| 4 | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? | | No |
| 5 | Did the organization become aware during the year of a significant diversion of the organization's assets? | Yes | |
| 6 | Does the organization have members or stockholders? | | No |
| 7a | Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body? | | No |
| 7b | Are any decisions of the governing body subject to approval by members, stockholders, or other persons? | | No |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following | | |
| 8a | The governing body? | Yes | |
| 8b | Each committee with authority to act on behalf of the governing body? | Yes | |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O | | No |

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

| | | | |
|-----|--|-----|----|
| | | Yes | No |
| 10a | Does the organization have local chapters, branches, or affiliates? | | No |
| 10b | If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization? | | |
| 11a | Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form? | Yes | |
| 11b | Describe in Schedule O the process, if any, used by the organization to review this Form 990 | | |
| 12a | Does the organization have a written conflict of interest policy? If "No," go to line 13 | Yes | |
| 12b | Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | Yes | |
| 12c | Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done | Yes | |
| 13 | Does the organization have a written whistleblower policy? | Yes | |
| 14 | Does the organization have a written document retention and destruction policy? | Yes | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | |
| 15a | The organization's CEO, Executive Director, or top management official | Yes | |
| 15b | Other officers or key employees of the organization | Yes | |
| 15c | If "Yes" to line 15a or 15b, describe the process in Schedule O (See instructions) | | |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | | No |
| 16b | If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements? | | |

Section C. Disclosure

| | | |
|----|---|---|
| 17 | List the States with which a copy of this Form 990 is required to be filed | CA |
| 18 | Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request | |
| 19 | Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table. | |
| 20 | State the name, physical address, and telephone number of the person who possesses the books and records of the organization. | RENOTTA YOUNG DEP CONTROLLER 615 W 131ST MC 8749 New York, NY 10027 (212) 854-4684 |

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

[illegible]

Part VII

| (A) Name and Title | (B) Average hours per week (describe hours for related organizations in Schedule O) | (C) Position (check all that apply) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|--|--|--|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| See Additional Data Table | | | | | | | | | | |
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| | | | | | | | | | | |
| 1b Sub-Total | | | | | | | | | | |
| c Total from continuation sheets to Part VII, Section A | | | | | | | | | | |
| d Total (add lines 1b and 1c) | | | | | | | | 28,585,192 | 0 | 2,412,525 |

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization: 4,128

| | | Yes | No |
|---|---|-------|----|
| 3 | Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> | 3 Yes | |
| 4 | For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> | 4 Yes | |
| 5 | Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> | 5 | No |

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

| (A) | (B) | (C) |
|--------------------------------|-------------------------|--------------|
| Name and business address | Description of services | Compensation |
| LEND LEASE US CONSTRUCTION LMB | CONSTRUCTION | 17,873,694 |
| DAVIS BRODY BOND LLP | ARCHITECTURAL | 14,384,926 |
| STRUCTURE TONE | CONSTRUCTION | 12,424,410 |
| HELLMAN CONSTRUCTION CO INC | CONSTRUCTION | 11,551,384 |
| JA JENNINGS INC | CONSTRUCTION | 6,457,471 |

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶288

Part VIII

Statement of Revenue

| | | | (A) | (B) | (C) | (D) | |
|---|--|---|---------------|---|----------------------------------|---|--|
| | | | Total revenue | Related or exempt function revenue | Unrelated business revenue | Revenue excluded from tax under sections 512, 513, or 514 | |
| Contributions, gifts, grants and other similar amounts | 1a Federated campaigns 1a | | | | | | |
| | b Membership dues 1b | | | | | | |
| | c Fundraising events 1c | | 4,506,102 | | | | |
| | d Related organizations 1d | | 0 | | | | |
| | e Government grants (contributions) 1e | | 882,981,000 | | | | |
| | f All other contributions, gifts, grants, and similar amounts not included above 1f | | 1,081,709,316 | | | | |
| | g Noncash contributions included in lines 1a-1f \$ | | 45,091,686 | | | | |
| | h Total. Add lines 1a-1f | | 1,969,196,418 | | | | |
| Program Service Revenue | 2a | | Business Code | | | | |
| | TUITION & FEES | | 611600 | 1,006,538,000 | 1,006,538,000 | | |
| | b OTHER EDUCATION & RESEARCH | | 621110 | 102,574,983 | 102,574,983 | | |
| | c PATIENT CARE REVENUE | | 541700 | 781,512,828 | 781,512,828 | | |
| | d AUXILIARY ENTERPRISES | | 900099 | 115,878,000 | 110,052,359 | 5,825,641 | |
| | e OTHER SOURCES | | 900099 | 7,830,717 | 7,830,717 | | |
| | f All other program service revenue | | | 2,160,125 | | | |
| | g Total. Add lines 2a-2f | | | 2,016,494,653 | | | |
| Other Revenue | 3 Investment income (including dividends, interest and other similar amounts) | | | 22,758,519 | | 22,758,519 | |
| | 4 Income from investment of tax-exempt bond proceeds . . | | | 0 | | | |
| | 5 Royalties | | | 74,898,272 | | 74,898,272 | |
| | 6a Gross Rents | (i) Real | (ii) Personal | | | | |
| | | 141,891,797 | | | | | |
| | | b Less rental expenses | 129,369,600 | | | | |
| | | c Rental income or (loss) | 12,522,197 | | | | |
| | d Net rental income or (loss) | | | 12,522,197 | 15,207 | 12,506,990 | |
| | 7a Gross amount from sales of assets other than inventory | (i) Securities | (ii) Other | | | | |
| | | 4,312,764,328 | | | | | |
| | | b Less cost or other basis and sales expenses | 3,765,364,813 | | | | |
| | | c Gain or (loss) | 547,399,515 | | | | |
| | d Net gain or (loss) | | | 547,399,515 | | 547,399,515 | |
| | 8a Gross income from fundraising events (not including \$ 4,506,102 of contributions reported on line 1c) See Part IV, line 18 | a | | | | | |
| | | b Less direct expenses b | 1,865,582 | | | | |
| | | c Net income or (loss) from fundraising events . . | 2,113,479 | | | | |
| | 9a Gross income from gaming activities See Part IV, line 19 . a | | | | | | |
| | b Less direct expenses b | | | | | | |
| | c Net income or (loss) from gaming activities . . | | | 0 | | | |
| | 10a Gross sales of inventory, less returns and allowances | a | | | | | |
| b Less cost of goods sold b | | | | | | | |
| c Net income or (loss) from sales of inventory . . | | | 0 | | | | |
| Miscellaneous Revenue | | Business Code | | | | | |
| 11a PARTNERSHIP INCOME | | 900099 | 66,946,195 | | 1,520,591 | 65,425,604 | |
| b | | | | | | | |
| c | | | | | | | |
| d All other revenue | | | | | | | |
| e Total. Add lines 11a-11d | | | 66,946,195 | | | | |
| 12 Total revenue. See Instructions | | | 4,709,967,872 | 2,010,669,012 | 7,361,439 | 722,741,003 | |

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

| Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII. | | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|--|---|-----------------------|---------------------------------|--|-----------------------------|
| 1 | Grants and other assistance to governments and organizations in the U S See Part IV, line 21 | 83,263,468 | 83,263,468 | | |
| 2 | Grants and other assistance to individuals in the U S See Part IV, line 22 | 355,369,148 | 355,369,148 | | |
| 3 | Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16 | 57,407,168 | 57,407,168 | | |
| 4 | Benefits paid to or for members | 0 | | | |
| 5 | Compensation of current officers, directors, trustees, and key employees | 17,891,144 | 0 | 17,891,144 | 0 |
| 6 | Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | 322,330 | 322,330 | 0 | 0 |
| 7 | Other salaries and wages | 1,654,922,186 | 1,506,505,390 | 106,941,424 | 41,475,372 |
| 8 | Pension plan contributions (include section 401(k) and section 403(b) employer contributions) | 101,636,346 | 76,538,380 | 18,779,975 | 6,317,991 |
| 9 | Other employee benefits | 216,226,323 | 162,983,190 | 39,713,271 | 13,529,862 |
| 10 | Payroll taxes | 88,132,877 | 66,126,127 | 16,549,710 | 5,457,040 |
| a | Fees for services (non-employees) Management | 0 | 0 | 0 | 0 |
| b | Legal | 20,179,929 | 13,965,392 | 5,964,561 | 249,976 |
| c | Accounting | 2,341,369 | 0 | 2,335,177 | 6,192 |
| d | Lobbying | 571,902 | 0 | 571,902 | 0 |
| e | Professional fundraising services See Part IV, line 17 | 628,224 | | | 628,224 |
| f | Investment management fees | 25,629,202 | 0 | 25,629,202 | 0 |
| g | Other | 81,138,057 | 67,550,360 | 11,924,259 | 1,663,438 |
| 12 | Advertising and promotion | 4,340,341 | 1,855,848 | 1,299,149 | 1,185,344 |
| 13 | Office expenses | 257,953,289 | 232,909,089 | 17,979,669 | 7,064,531 |
| 14 | Information technology | 16,685,142 | 10,173,699 | 5,994,844 | 516,599 |
| 15 | Royalties | 0 | | | |
| 16 | Occupancy | 145,533,412 | 138,306,954 | 5,756,981 | 1,469,477 |
| 17 | Travel | 53,384,995 | 50,673,577 | 1,084,454 | 1,626,964 |
| 18 | Payments of travel or entertainment expenses for any federal, state, or local public officials | 0 | | | |
| 19 | Conferences, conventions, and meetings | 15,466,347 | 11,750,603 | 262,795 | 3,452,949 |
| 20 | Interest | 59,086,000 | 55,316,312 | 3,243,821 | 525,867 |
| 21 | Payments to affiliates | 0 | | | |
| 22 | Depreciation, depletion, and amortization | 175,248,000 | 164,067,178 | 9,621,115 | 1,559,707 |
| 23 | Insurance | 45,412,020 | 45,719,281 | -319,322 | 12,061 |
| 24 | Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O) | | | | |
| a | LIBRARY | 24,454,117 | 24,445,139 | 1,230 | 7,748 |
| b | EMPLOYEE EDUCATION | 12,094,125 | 11,184,446 | 714,327 | 195,352 |
| c | DUES & SUBSCRIPTIONS | 8,336,186 | 6,852,696 | 1,137,229 | 346,261 |
| d | BAD DEBT | 1,497,011 | 1,520,088 | -23,077 | 0 |
| e | UNRELATED BUS INCOME TAX EXP | 251,903 | 0 | 251,903 | 0 |
| f | All other expenses | 55,986,319 | 9,428,815 | 43,616,600 | 2,940,904 |
| 25 | Total functional expenses. Add lines 1 through 24f | 3,581,388,880 | 3,154,234,678 | 336,922,343 | 90,231,859 |
| 26 | Joint costs. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation | | | | |

Part X

Balance Sheet

| | | | | | (A) | | (B) |
|-----------------------------|---|--|-----|----------------|-------------------|----------------|----------------|
| | | | | | Beginning of year | | End of year |
| Assets | 1 | Cash—non-interest-bearing | | | | 1 | |
| | 2 | Savings and temporary cash investments | | | 710,398,453 | 2 | 598,858,292 |
| | 3 | Pledges and grants receivable, net | | | 396,746,000 | 3 | 998,758,000 |
| | 4 | Accounts receivable, net | | | 232,278,242 | 4 | 226,569,456 |
| | 5 | Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L | | | | 5 | |
| | 6 | Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers, and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Schedule L | | | | 6 | |
| | 7 | Notes and loans receivable, net | | | 111,273,660 | 7 | 108,931,084 |
| | 8 | Inventories for sale or use | | | 1,456,273 | 8 | 1,885,000 |
| | 9 | Prepaid expenses and deferred charges | | | 38,162,048 | 9 | 34,444,148 |
| | 10a | Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D | 10a | 5,527,633,394 | | | |
| | b | Less: accumulated depreciation | 10b | 2,019,846,639 | 3,308,164,000 | 10c | 3,507,786,755 |
| | 11 | Investments—publicly traded securities | | | 2,201,771,588 | 11 | 3,195,965,939 |
| | 12 | Investments—other securities. See Part IV, line 11 | | | 3,795,293,412 | 12 | 4,352,804,825 |
| | 13 | Investments—program-related. See Part IV, line 11 | | | | 13 | |
| | 14 | Intangible assets | | | | 14 | |
| | 15 | Other assets. See Part IV, line 11 | | | 222,536,073 | 15 | 372,563,545 |
| 16 | Total assets. Add lines 1 through 15 (must equal line 34) | | | 11,018,079,749 | 16 | 13,398,567,044 | |
| Liabilities | 17 | Accounts payable and accrued expenses | | | 464,262,857 | 17 | 538,904,974 |
| | 18 | Grants payable | | | | 18 | |
| | 19 | Deferred revenue | | | 188,383,000 | 19 | 196,898,000 |
| | 20 | Tax-exempt bond liabilities | | | 1,202,304,000 | 20 | 1,423,861,000 |
| | 21 | Escrow or custodial account liability. Complete Part IV of Schedule D | | | | 21 | |
| | 22 | Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L | | | | 22 | |
| | 23 | Secured mortgages and notes payable to unrelated third parties | | | | 23 | |
| | 24 | Unsecured notes and loans payable to unrelated third parties | | | 130,829,000 | 24 | 115,299,000 |
| | 25 | Other liabilities. Complete Part X of Schedule D | | | 617,200,000 | 25 | 564,902,000 |
| | 26 | Total liabilities. Add lines 17 through 25 | | | 2,602,978,857 | 26 | 2,839,864,974 |
| Net Assets or Fund Balances | Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34. | | | | | | |
| | 27 | Unrestricted net assets | | | 5,692,654,495 | 27 | 4,736,075,673 |
| | 28 | Temporarily restricted net assets | | | 730,964,000 | 28 | 3,681,110,000 |
| | 29 | Permanently restricted net assets | | | 1,991,482,397 | 29 | 2,141,516,397 |
| | Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34. | | | | | | |
| | 30 | Capital stock or trust principal, or current funds | | | | 30 | |
| | 31 | Paid-in or capital surplus, or land, building or equipment fund | | | | 31 | |
| | 32 | Retained earnings, endowment, accumulated income, or other funds | | | | 32 | |
| | 33 | Total net assets or fund balances | | | 8,415,100,892 | 33 | 10,558,702,070 |
| 34 | Total liabilities and net assets/fund balances | | | 11,018,079,749 | 34 | 13,398,567,044 | |

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

| | | | |
|---|---|---|----------------|
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | 1 | 4,709,967,872 |
| 2 | Total expenses (must equal Part IX, column (A), line 25) | 2 | 3,581,388,880 |
| 3 | Revenue less expenses Subtract line 2 from line 1 | 3 | 1,128,578,992 |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) | 4 | 8,415,100,892 |
| 5 | Other changes in net assets or fund balances (explain in Schedule O) | 5 | 1,015,022,186 |
| 6 | Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B)) | 6 | 10,558,702,070 |

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

| | | Yes | No |
|----|--|-----|----|
| 1 | Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O | | |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant? | | No |
| b | Were the organization's financial statements audited by an independent accountant? | Yes | |
| c | If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O | Yes | |
| d | If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separated basis | | |
| 3a | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? | Yes | |
| b | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits | Yes | |

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2

☒

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)

8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10

☐

An organization organized and operated exclusively to test for public safety Se**section 509(a)(4).**

11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Other

e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box

g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i)

a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?

(ii)

a family member of a person described in (i) above?

(iii)

a 35% controlled entity of a person described in (i) or (ii) above?

h

☐

Provide the following information about the supported organization(s)

| | Yes | No |
|----------|-----|----|
| 11g(i) | | |
| 11g(ii) | | |
| 11g(iii) | | |

| (i) Name of supported organization | (ii) EIN | (iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions)) | (iv) Is the organization in col (i) listed in your governing document? | | (v) Did you notify the organization in col (i) of your support? | | (vi) Is the organization in col (i) organized in the U S ? | | (vii) Amount of support |
|---------------------------------------|-------------|---|---|----|--|----|---|----|----------------------------|
| | | | Yes | No | Yes | No | Yes | No | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| Total | | | | | | | | | |

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)


| Section A. Public Support | | | | | | |
|---|----------|----------|----------|----------|----------|-----------|
| Calendar year (or fiscal year beginning in) ▶ | (a) 2006 | (b) 2007 | (c) 2008 | (d) 2009 | (e) 2010 | (f) Total |
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.") | | | | | | |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 4 Total. Add lines 1 through 3 | | | | | | |
| 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) | | | | | | |
| 6 Public Support. Subtract line 5 from line 4 | | | | | | |



| Section B. Total Support | | | | | | |
|---|----------|----------|----------|----------|----------|-----------|
| Calendar year (or fiscal year beginning in) ▶ | (a) 2006 | (b) 2007 | (c) 2008 | (d) 2009 | (e) 2010 | (f) Total |
| 7 Amounts from line 4 | | | | | | |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | |
| 10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV) | | | | | | |
| 11 Total support (Add lines 7 through 10) | | | | | | |
| 12 Gross receipts from related activities, etc (See instructions) | | | | | 12 | |
| 13 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶ | | | | | | |

| Section C. Computation of Public Support Percentage | | | | | | |
|--|--|--|--|--|----|--|
| 14 Public Support Percentage for 2010 (line 6 column (f) divided by line 11 column (f)) | | | | | 14 | |
| 15 Public Support Percentage for 2009 Schedule A, Part II, line 14 | | | | | 15 | |
| 16a 33 1/3% support test—2010. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ | | | | | | |
| b 33 1/3% support test—2009. If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ | | | | | | |
| 17a 10%-facts-and-circumstances test—2010. If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶ | | | | | | |
| b 10%-facts-and-circumstances test—2009. If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶ | | | | | | |
| 18 Private Foundation If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions ▶ | | | | | | |




Part III

Support Schedule for Organizations Described in Section 509(a)(2)
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

| Section A. Public Support | | | | | | |
|--|----------|----------|----------|----------|----------|-----------|
| Calendar year (or fiscal year beginning in)  | (a) 2006 | (b) 2007 | (c) 2008 | (d) 2009 | (e) 2010 | (f) Total |
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.") | | | | | | |
| 2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | |
| 3 Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 Total. Add lines 1 through 5 | | | | | | |
| 7a Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year | | | | | | |
| c Add lines 7a and 7b | | | | | | |
| 8 Public Support (Subtract line 7c from line 6) | | | | | | |

| Section B. Total Support | | | | | | |
|---|----------|----------|----------|----------|----------|-----------|
| Calendar year (or fiscal year beginning in)  | (a) 2006 | (b) 2007 | (c) 2008 | (d) 2009 | (e) 2010 | (f) Total |
| 9 Amounts from line 6 | | | | | | |
| 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | |
| c Add lines 10a and 10b | | | | | | |
| 11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | |
| 12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV) | | | | | | |
| 13 Total support (Add lines 9, 10c, 11 and 12) | | | | | | |
| 14 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here  | | | | | | |

| Section C. Computation of Public Support Percentage | | |
|---|----|--|
| 15 Public Support Percentage for 2010 (line 8 column (f) divided by line 13 column (f)) | 15 | |
| 16 Public support percentage from 2009 Schedule A, Part III, line 15 | 16 | |

| Section D. Computation of Investment Income Percentage | | |
|--|----|--|
| 17 Investment income percentage for 2010 (line 10c column (f) divided by line 13 column (f)) | 17 | |
| 18 Investment income percentage from 2009 Schedule A, Part III, line 17 | 18 | |
| 19a 33 1/3% support tests—2010. If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization  | | |
| b 33 1/3% support tests—2009. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization  | | |
| 20 Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions  | | |

Part IV

Supplemental Information. Supplemental Information. Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

| (A) Name and Title | (B) Average hours per week | (C) Position (check all that apply) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|------------------------------------|-------------------------------|--|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| LEE C BOLLINGER PRESIDENT | 600 | X | | X | | | | 964,181 | 0 | 968,750 |
| KENNETH A FORDE MD TRUSTEE | 30 | X | | | | | | 789,000 | 0 | 2,592 |
| WILLIAM V CAMPBELL TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| MARK E KINGDON TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| PHILIP MILSTEIN TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| ESTA E STECHER TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| RICHARD E WITTEN TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| ARMEN A AVANESSIONS TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| A'LELIA BUNDLES TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| JOSE A CABRANES TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| NOAM GOTTESMAN TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| JAMES HARDEN TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| ANN F KAPLAN TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| GERRY LENFEST TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| PAUL J MADDON TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| VIKRAM PANDIT TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| MICHAEL B ROTHFELD TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| JONATHAN D SCHILLER TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| KYRIAKOS TSAKOPOULOS TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| FAYE WATTLETON TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| STEPHEN H CASE TRUSTEE EMERITUS | 30 | X | | | | | | 0 | 0 | 0 |
| SAVIO WTUNG TRUSTEE EMERITUS | 30 | X | | | | | | 0 | 0 | 0 |
| LISA CARNOY TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| FIONA DRUCKENMILLER TRUSTEE | 30 | X | | | | | | 0 | 0 | 0 |
| JANE E BOOTH GENERAL COUNSEL | 600 | | | X | | | | 466,352 | 0 | 50,087 |

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

| (A) Name and Title | (B) Average hours per week | (C) Position (check all that apply) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|-------------------------------|--|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| JEROME DAVIS SECRETARY | 60 0 | | | X | | | | 250,942 | 0 | 37,336 |
| ROBERT KASDIN SENIOR EXECUTIVE VP | 60 0 | | | X | | | | 659,634 | 0 | 59,271 |
| ANNE R SULLIVAN EXECUTIVE VP FOR FINANCE | 60 0 | | | X | | | | 362,037 | 0 | 32,134 |
| CLAUDE M STEELE PROVOST | 60 0 | | | X | | | | 552,418 | 0 | 49,547 |
| NICHOLAS B DIRKS EXEC VP - ARTS & SCIENCES | 60 0 | | | | X | | | 422,430 | 0 | 59,533 |
| SUSAN K FEAGIN EXEC VP - UNIV DEV & ALUM REL | 60 0 | | | | X | | | 503,092 | 0 | 32,935 |
| DR LEE GOLDMAN MD EXEC VP FOR HEALTH SCIENCES | 60 0 | | | | X | | | 1,008,140 | 0 | 518,659 |
| PETER HOLLAND EXEC VP OF INVESTMENT MGMT | 60 0 | | | | X | | | 3,890,742 | 0 | 56,224 |
| JOSEPH A IENUSO EXEC VP OF FACILITIES | 60 0 | | | | X | | | 400,992 | 0 | 90,371 |
| NIRMAL NARVEKAR PRESIDENT OF INVESTMENT MGMT | 60 0 | | | | X | | | 4,331,695 | 0 | 52,236 |
| FREDERICK M VAN SICKLE EXEC VP - UNIV DEV & ALUM REL | 60 0 | | | | X | | | 322,145 | 0 | 67,047 |
| DAVID N SILVERS CLINICAL PROFESSOR | 60 0 | | | | | X | | 4,962,979 | 0 | 39,409 |
| JEFFREY W MOSES PROFESSOR OF MEDICINE | 60 0 | | | | | X | | 3,039,632 | 0 | 52,921 |
| CRAIG R SMITH PROFESSOR OF SURGERY | 60 0 | | | | | X | | 2,074,964 | 0 | 73,607 |
| EMILE BACHA PROFESSOR OF SURGERY | 60 0 | | | | | X | | 1,636,448 | 0 | 51,446 |
| JAN MODEST QUAEGEBEUR PROFESSOR OF SURGERY | 60 0 | | | | | X | | 1,485,728 | 0 | 62,151 |
| ALAN BRINKLEY FORMER PROVOST | 60 0 | | | | | | X | 461,641 | 0 | 56,269 |

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

| 4d. Other program services | | | | | |
|----------------------------|--------------|-------------|------------------------|-------------|---------------------------|
| (Code) | (Expenses \$ | 61,284,000 | including grants of \$ | 0) | (Revenue \$ 0) |
| LIBRARY | | | | | |
| (Code) | (Expenses \$ | 214,109,000 | including grants of \$ | 0) | (Revenue \$ 0) |
| CAMPUS OPERATIONS | | | | | |
| (Code) | (Expenses \$ | 213,015,000 | including grants of \$ | 0) | (Revenue \$ 0) |
| INSTITUTIONAL SUPPORT | | | | | |
| (Code) | (Expenses \$ | 93,914,000 | including grants of \$ | 0) | (Revenue \$ 115,878,000) |
| AUXILIARY ENTERPRISES | | | | | |
| (Code) | (Expenses \$ | 135,490,287 | including grants of \$ | 1,897,500) | (Revenue \$ 9,990,842) |
| OTHER SOURCES | | | | | |

SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public Inspection

If the organization answered “Yes,” to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered “Yes,” to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered “Yes,” to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35a (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

| | |
|--|--|
| Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK | Employer identification number 13-5598093 |
|--|--|

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

| | | |
|---|--|------|
| 1 | Provide a description of the organization’s direct and indirect political campaign activities in Part IV | |
| 2 | Political expenditures | ▶ \$ |
| 3 | Volunteer hours | |

Part I-B Complete if the organization is exempt under section 501(c)(3).

| | | |
|----|---|--|
| 1 | Enter the amount of any excise tax incurred by the organization under section 4955 | ▶ \$ |
| 2 | Enter the amount of any excise tax incurred by organization managers under section 4955 | ▶ \$ |
| 3 | If the organization incurred a section 4955 tax, did it file Form 4720 for this year? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 4a | Was a correction made? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| b | If “Yes,” describe in Part IV | |

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

| | | |
|---|---|--|
| 1 | Enter the amount directly expended by the filing organization for section 527 exempt function activities | ▶ \$ |
| 2 | Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities | ▶ \$ |
| 3 | Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b | ▶ \$ |
| 4 | Did the filing organization file Form 1120-POL for this year? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 5 | Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization’s funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV | |

| (a) Name | (b) Address | (c) EIN | (d) Amount paid from filing organization's funds If none, enter -0- | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0- |
|----------|-------------|---------|---|--|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A

Check

☐

if the filing organization belongs to an affiliated group

B

Check

☐

if the filing organization checked box A and "limited control" provisions apply

| Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.) | | (a) Filing Organization's Totals | (b) Affiliated Group Totals | | | | | | | | | | | | |
|--|---|--|------------------------------------|--------------------|------------------------------|---|---|---|---|--|--|-------------------|-------------|--|--|
| 1a Total lobbying expenditures to influence public opinion (grass roots lobbying) | | | | | | | | | | | | | | | |
| b Total lobbying expenditures to influence a legislative body (direct lobbying) | | | | | | | | | | | | | | | |
| c Total lobbying expenditures (add lines 1a and 1b) | | | | | | | | | | | | | | | |
| d Other exempt purpose expenditures | | | | | | | | | | | | | | | |
| e Total exempt purpose expenditures (add lines 1c and 1d) | | | | | | | | | | | | | | | |
| f Lobbying nontaxable amount Enter the amount from the following table in both columns | | | | | | | | | | | | | | | |
| <table><thead><tr><th>If the amount on line 1e, column (a) or (b) is:</th><th>The lobbying nontaxable amount is:</th></tr></thead><tbody><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></tbody></table> | | If the amount on line 1e, column (a) or (b) is: | The lobbying nontaxable amount is: | Not over \$500,000 | 20% of the amount on line 1e | Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000 | Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000 | Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000 | Over \$17,000,000 | \$1,000,000 | | |
| If the amount on line 1e, column (a) or (b) is: | The lobbying nontaxable amount is: | | | | | | | | | | | | | | |
| Not over \$500,000 | 20% of the amount on line 1e | | | | | | | | | | | | | | |
| Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000 | | | | | | | | | | | | | | |
| Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000 | | | | | | | | | | | | | | |
| Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000 | | | | | | | | | | | | | | |
| Over \$17,000,000 | \$1,000,000 | | | | | | | | | | | | | | |
| g Grassroots nontaxable amount (enter 25% of line 1f) | | | | | | | | | | | | | | | |
| h Subtract line 1g from line 1a If zero or less, enter -0- | | | | | | | | | | | | | | | |
| i Subtract line 1f from line 1c If zero or less, enter -0- | | | | | | | | | | | | | | | |
| j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? | | <input type="checkbox"/> Yes <input type="checkbox"/> No | | | | | | | | | | | | | |

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

| Lobbying Expenditures During 4-Year Averaging Period | | | | | |
|--|----------|----------|----------|----------|-----------|
| Calendar year (or fiscal year beginning in) | (a) 2007 | (b) 2008 | (c) 2009 | (d) 2010 | (e) Total |
| 2a Lobbying non-taxable amount | | | | | |
| b Lobbying ceiling amount (150% of line 2a, column(e)) | | | | | |
| c Total lobbying expenditures | | | | | |
| d Grassroots non-taxable amount | | | | | |
| e Grassroots ceiling amount (150% of line 2d, column (e)) | | | | | |
| f Grassroots lobbying expenditures | | | | | |

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

| | | (a) | | (b) |
|--|--|-----|----|---------|
| | | Yes | No | Amount |
| 1 | During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of | | | |
| | a Volunteers? | | No | |
| | b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? | Yes | | |
| | c Media advertisements? | | No | |
| | d Mailings to members, legislators, or the public? | | No | |
| | e Publications, or published or broadcast statements? | | No | |
| | f Grants to other organizations for lobbying purposes? | Yes | | 2,861 |
| | g Direct contact with legislators, their staffs, government officials, or a legislative body? | Yes | | 90,158 |
| | h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means? | | No | |
| | i Other activities? If "Yes," describe in Part IV | Yes | | 569,041 |
| | j Total lines 1c through 1i | | | 662,060 |
| | 2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? | | No | |
| b If "Yes," enter the amount of any tax incurred under section 4912 | | | | |
| c If "Yes," enter the amount of any tax incurred by organization managers under section 4912 | | | | |
| d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? | | | | |

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

| | | | Yes | No |
|---|--|---|-----|----|
| 1 | Were substantially all (90% or more) dues received nondeductible by members? | 1 | | |
| 2 | Did the organization make only in-house lobbying expenditures of \$2,000 or less? | 2 | | |
| 3 | Did the organization agree to carryover lobbying and political expenditures from the prior year? | 3 | | |

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".

| | | | |
|---|--|----|--|
| 1 | Dues, assessments and similar amounts from members | 1 | |
| 2 | Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). | | |
| | | 2a | |
| | | 2b | |
| | | 2c | |
| 3 | Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues | 3 | |
| 4 | If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? | 4 | |
| 5 | Taxable amount of lobbying and political expenditures (see instructions) | 5 | |

Part IV

Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i. Also, complete this part for any additional information.

| Identifier | Return Reference | Explanation |
|--------------------------|------------------|---|
| SUPPLEMENTAL INFORMATION | SCHEDULE C | LINE 1A - VOLUNTEERS N/A LINE 1B - PAID STAFF OR MANAGEMENT THE UNIVERSITY HAS OFFICES OF GOVERNMENT AND COMMUNITY AFFAIRS AT BOTH ITS MORNINGSIDE AND MEDICAL CENTER CAMPUSES WHOSE FUNCTION IS TO KEEP THE UNIVERSITY COMMUNITY INFORMED ABOUT NATIONAL, STATE AND LOCAL LEGISLATION AND POLICY THAT HAS AN IMPACT ON THE UNIVERSITY AND, WHEN APPROPRIATE, TO ADVOCATE FOR THE UNIVERSITY'S INTERESTS WITH RESPECT TO THIS POLICY EMPLOYEES REGULARLY INVOLVED IN THESE ACTIVITIES INCLUDE EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS PROJECT COORDINATOR FOR STATE AND FEDERAL RELATIONS DEPUTY VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER LINE 1C - MEDIA ADVERTISEMENTS NONE LINE 1D - MAILINGS TO MEMBERS, LEGISLATORS OR THE PUBLIC NONE LINE 1E - PUBLICATIONS, OR PUBLISHED OR BROADCAST STATEMENTS NONE LINE 1F - GRANTS TO OTHER ORGANIZATIONS FOR LOBBYING PURPOSES THE UNIVERSITY BELONGS TO CERTAIN HIGHER EDUCATION TRADE ASSOCIATIONS A SMALL PORTION OF THE MEMBERSHIP FEES PAID TO SOME OF THOSE ORGANIZATIONS GOES TO SUPPORT LOBBYING-RELATED ACTIVITIES THESE FEES ARE REGULARLY REPORTED ON THE UNIVERSITY'S LOBBYING DISCLOSURE FILINGS LINE 1G - DIRECT CONTACT WITH LEGISLATORS, THEIR STAFFS, GOVERNMENT OFFICIALS, OR A LEGISLATIVE BODY THE PRESIDENT, THE SENIOR EXECUTIVE VICE PRESIDENT, THE EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS, THE PROJECT COORDINATOR FOR STATE AND FEDERAL RELATIONS CONTACTED FEDERAL, STATE AND LOCAL LEGISLATORS AND THEIR POLICY STAFFS ON HIGHER EDUCATION ISSUES THE EXECUTIVE VICE PRESIDENT FOR HEALTH SCIENCE, THE DEPUTY VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, AND THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, CONTACTED FEDERAL, STATE AND LOCAL LEGISLATORS AND THEIR POLICY STAFFS ON HIGHER EDUCATION ISSUES, HEALTH SCIENCES ISSUES AND OTHER ISSUES AFFECTING ACADEMIC MEDICAL CENTERS THE AMOUNTS SHOWN ON LINE 1G REFLECT PAID STAFF AND MANAGEMENT ACTIVITIES LINE 1H - RALLIES, DEMONSTRATIONS, SEMINARS, CONVENTIONS, SPEECHES, LECTURES OR ANY OTHER MEANS N/A LINE 1I - OTHER ACTIVITIES DURING THE REPORTING PERIOD, THE UNIVERSITY RETAINED OUTSIDE FIRMS FOR ADVOCACY AT THE FEDERAL, STATE AND LOCAL LEVELS THE AMOUNTS SHOWN ON LINE 1I INCLUDE AMOUNTS PAID TO SUCH OUTSIDE FIRMS FOR ALL ACTIVITIES CONDUCTED BY SUCH FIRMS, INCLUDING CERTAIN OF THE ACTIVITIES DESCRIBED ABOVE AND GENERAL ADVISORY SERVICES TO THE UNIVERSITY IN CONNECTION WITH ITS LOBBYING ACTIVITIES |

SCHEDULE D
(Form 990)

Supplemental Financial Statements

OMB No 1545-0047

2010

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.
Attach to Form 990. See separate instructions.

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

| | | |
|---|--|------------------------------|
| | (a) Donor advised funds | (b) Funds and other accounts |
| 1 | Total number at end of year | 6 |
| 2 | Aggregate contributions to (during year) | 0 |
| 3 | Aggregate grants from (during year) | 776,000 |
| 4 | Aggregate value at end of year | 27,055,905 |

5

Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?

☒ Yes ☐ No

6

Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit

☒ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)
☐ Preservation of land for public use (e g , recreation or pleasure) ☐ Preservation of an historically importantly land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2

Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

| | |
|----|-----------------------------|
| | Held at the End of the Year |
| 2a | |
| 2b | |
| 2c | |
| 2d | |

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ _____

4

Number of states where property subject to conservation easement is located ▶ _____

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶ _____

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9

In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization’s financial statements that describes the organization’s accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i)

Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

(ii)

Assets included in Form 990, Part X

▶ \$ _____

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a

Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

b

Assets included in Form 990, Part X

▶ \$ _____

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☒

Public exhibition

d

☒

Loan or exchange programs

b

☒

Scholarly research

e

☐

Other

c

☒

Preservation for future generations

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☒ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV and complete the following table

| | |
|----|--------|
| | Amount |
| 1c | |
| 1d | |
| 1e | |
| 1f | |

2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

| | | | | | |
|----|--|---------------|-------------------|---------------------|--------------------|
| | (a)Current Year | (b)Prior Year | (c)Two Years Back | (d)Three Years Back | (e)Four Years Back |
| 1a | Beginning of year balance | 6,516,512,000 | 5,892,798,000 | 7,345,226,000 | |
| b | Contributions | 202,175,000 | 159,335,000 | 159,922,000 | |
| c | Investment earnings or losses | 1,503,257,000 | 946,146,321 | -1,138,085,412 | |
| d | Grants or scholarships | 71,786,000 | 72,771,000 | 81,367,000 | |
| e | Other expenditures for facilities and programs | 329,582,000 | 387,564,000 | 365,444,000 | |
| f | Administrative expenses | 30,998,000 | 21,432,321 | 27,453,588 | |
| g | End of year balance | 7,789,578,000 | 6,516,512,000 | 5,892,798,000 | |

2

Provide the estimated percentage of the year end balance held as

a

Board designated or quasi-endowment ▶ 40 000 %

b

Permanent endowment ▶ 60 000 %

c

Term endowment ▶ 0 %

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations

3a(i)

Yes

No

(ii) related organizations

3a(ii)

No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

4

Describe in Part XIV the intended uses of the organization's endowment funds

Part VI

Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

| | | | | |
|--|--------------------------------------|--------------------------------|------------------------------|----------------|
| Description of investment | (a) Cost or other basis (investment) | (b)Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
| 1a Land | | 373,791,000 | | 373,791,000 |
| b Buildings | 1,014,774,283 | 3,812,770,111 | 1,844,167,639 | 2,983,376,755 |
| c Leasehold improvements | | | | |
| d Equipment | | 326,298,000 | 175,679,000 | 150,619,000 |
| e Other | | | | |
| Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).) ▶ | | | | 3,507,786,755 |

Schedule D (Form 990) 2010

Part XI

Reconciliation of Change in Net Assets from Form 990 to Financial Statements

| | | | |
|----|---|----|--|
| 1 | Total revenue (Form 990, Part VIII, column (A), line 12) | 1 | |
| 2 | Total expenses (Form 990, Part IX, column (A), line 25) | 1 | |
| 3 | Excess or (deficit) for the year Subtract line 2 from line 1 | 3 | |
| 4 | Net unrealized gains (losses) on investments | 4 | |
| 5 | Donated services and use of facilities | 5 | |
| 6 | Investment expenses | 6 | |
| 7 | Prior period adjustments | 7 | |
| 8 | Other (Describe in Part XIV) | 8 | |
| 9 | Total adjustments (net) Add lines 4 - 8 | 9 | |
| 10 | Excess or (deficit) for the year per financial statements Combine lines 3 and 9 | 10 | |

Part XII

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

| | | | |
|---|--|----|--|
| 1 | Total revenue, gains, and other support per audited financial statements | 1 | |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12 | | |
| a | Net unrealized gains on investments | 2a | |
| b | Donated services and use of facilities | 2b | |
| c | Recoveries of prior year grants | 2c | |
| d | Other (Describe in Part XIV) | 2d | |
| e | Add lines 2a through 2d | 2e | |
| 3 | Subtract line 2e from line 1 | 3 | |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line 1 | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | |
| b | Other (Describe in Part XIV) | 4b | |
| c | Add lines 4a and 4b | 4c | |
| 5 | Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12) | 5 | |

Part XIII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

| | | | |
|---|---|----|--|
| 1 | Total expenses and losses per audited financial statements | 1 | |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25 | | |
| a | Donated services and use of facilities | 2a | |
| b | Prior year adjustments | 2b | |
| c | Other losses | 2c | |
| d | Other (Describe in Part XIV) | 2d | |
| e | Add lines 2a through 2d | 2e | |
| 3 | Subtract line 2e from line 1 | 3 | |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line 1: | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | |
| b | Other (Describe in Part XIV) | 4b | |
| c | Add lines 4a and 4b | 4c | |
| 5 | Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18) | 5 | |

Part XIV

Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

| Identifier | Return Reference | Explanation |
|---|------------------------------------|---|
| DETAIL OF UNIVERSITY COLLECTIONS/TEXT OF AUDIT FOOTNOTE | SCHEDULE D, PART III, LINES 1A & 4 | COLLECTIONS AT THE UNIVERSITY INCLUDE WORKS OF ART, LITERARY WORKS, HISTORICAL TREASURES, AND ARTIFACTS THAT ARE MAINTAINED IN THE UNIVERSITY'S GALLERIES, LIBRARIES, AND BUILDINGS. THESE COLLECTIONS ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH, AND THE FURTHERANCE OF PUBLIC SERVICE AND, THEREFORE, ARE NOT RECOGNIZED AS ASSETS ON THE CONSOLIDATED BALANCE SHEET. COSTS ASSOCIATED WITH PURCHASING ADDITIONS AND MAINTAINING THESE COLLECTIONS ARE RECORDED AS OPERATING EXPENSES IN THE PERIOD IN WHICH THE ITEMS ARE ACQUIRED. |
| INTENDED USE OF ENDOWMENT FUNDS | SCHEDULE D, PART V, LINE 4 | COLUMBIA UNIVERSITY'S ENDOWMENT FUNDS SUPPORT A RANGE OF PURPOSES IN ACCORDANCE WITH THE UNIVERSITY'S MISSION, INCLUDING FINANCIAL AID, FACULTY SALARIES AND SUPPORT, RESEARCH, CAPITAL PROJECTS, GENERAL SUPPORT OF THE UNIVERSITY, SCHOOLS, DEPARTMENTS, INSTITUTES AND CENTERS. |
| PART X, LINE 2 (FIN 48) | | THE UNIVERSITY BELIEVES THAT ITS TAX POSITIONS AS THEY RELATE TO THE IMPACT ON THE FINANCIAL STATEMENTS OF FIN 48 ARE HIGHLY CERTAIN. |

SCHEDULE E
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Schools

►Complete if the organization answered "Yes" to Form 990, Part IV, line 13,
or Form 990-EZ, Part VI, line 48.
► Attach to Form 990 or Form 990-EZ.

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

| Part I | | YES | NO |
|---|----|-----|----|
| <div>1</div> Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body? | 1 | Yes | |
| | 2 | Yes | |
| | 3 | Yes | |
| | 4a | Yes | |
| <div>2</div> Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships? | 4b | Yes | |
| | 4c | Yes | |
| | 4d | Yes | |
| | 5a | | No |
| <div>3</div> Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II | | | |

Part II **Supplemental Information**

Complete this part to provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also complete this part to provide any other additional information (see instructions).

| Identifier | Return Reference | Explanation |
|------------|------------------|-------------|
|------------|------------------|-------------|

OMB No 1545-0047

Open to Public Inspection

13-5598093

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Check this box if no one recipient received more than \$5,000 ☐ ☐
Use Part V if additional space is needed.

[illegible]

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ▶ _____

3 Enter total number of other organizations or entities ▶ _____

Part III

Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16.
Use Part V if additional space is needed.

| (a) Type of grant or assistance | (b) Region | (c) Number of recipients | (d) Amount of cash grant | (e) Manner of cash disbursement | (f) Amount of non-cash assistance | (g) Description of non-cash assistance | (h) Method of valuation (book, FMV, appraisal, other) |
|---------------------------------|------------|--------------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| See Add'l Data | | | | | | | |
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Part IV

Foreign Forms

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926 (see instructions for Form 926)*

☒

Yes

☐

No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If " Yes," the organization may be required to file Form 3520 and/or Form 3520-A. (see instructions for Forms 3520 and 3520-A)*

☒

Yes

☐

No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with respect to Certain Foreign Corporations. (see instructions for Form 5471)*

☒

Yes

☐

No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see instructions for Form 8621)*

☒

Yes

☐

No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with respect to Certain Foreign Partnerships. (see instructions for Form 8865)*

☒

Yes

☐

No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see instructions for Form 5713).*

☒

Yes

☐

No

Part V

Supplemental Information
Complete this part to provide the information (see instructions) required in Part I, line 2, and any additional information.

| Identifier | Return Reference | Explanation |
|--------------------------|------------------|--|
| SUPPLEMENTAL INFORMATION | | <p>SCHEDULE F, PART I, LINE 2 ORGANIZATION'S PROCEDURES FOR MONITORING GRANT FUNDS THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF ALL SPONSORED PROJECT GRANT FUNDING THIS POLICY IS CONTAINED IN THE "SPONSORED PROJECTS HANDBOOK" THE POLICY STATES THAT THE PRIMARY OBLIGATION FOR THE DAY-TO-DAY MANAGEMENT OF SPONSORED PROJECTS AND INSURING COMPLIANCE WITH FEDERAL AND OTHER SPONSOR REGULATIONS IS THE RESPONSIBILITY OF THE PRINCIPAL INVESTIGATOR SUPPORTED, AS NECESSARY, BY HIS OR HER ADMINISTRATIVE STAFF ADDITIONALLY, THE POLICY SPECIFIES THE FOLLOWING MONITORING PROCEDURES - MONTHLY ACCOUNT RECONCILIATION - REVIEW OF SALARY AND BENEFIT CHARGES - REVIEW OF VENDOR INVOICES - REVIEW OF CHARGES INITIATED BY SERVICE OR RECHARGE CENTERS - REVIEW OF FACILITIES AND ADMINISTRATIVE CHARGES - MONITORING OF SUB-AWARDS THROUGH REVIEW OF CHARGES AND TECHNICAL PERFORMANCE REPORTS, CONDUCTING PERIODIC ONSITE VISITS, AND INITIATING AUDITS/REVIEWS WHEN NECESSARY EXPENDITURES RELATED TO FOREIGN ACTIVITY EXPENDITURES RELATED TO FOREIGN ACTIVITY ARE GENERATED FROM A NUMBER OF SCHOOLS AND CENTERS AS WELL AS THROUGH CERTAIN CENTRAL ADMINISTRATIVE ACTIVITY EXPENDITURES RELATED TO FOREIGN ACTIVITY ARE GENERATED FROM A NUMBER OF SCHOOLS AND CENTERS AS WELL AS THROUGH CERTAIN CENTRAL ADMINISTRATIVE ACTIVITY MOST OF THE ACTIVITY RELATES TO U S GOVERNMENT FUNDED PROGRAM SERVICES OTHER ACTIVITY INCLUDES FACULTY AND STAFF TRAVEL FOR EDUCATIONAL, RESEARCH, FUNDRAISING AND OTHER PROGRAM SERVICES NOT ALL OF THE OVERSEAS EXPENDITURES ARE SEPARATELY TRACKED AS FOREIGN ACTIVITY THE AMOUNTS REPRESENTED ON SCHEDULE F REPRESENT THE UNIVERSITY'S GOOD FAITH EFFORTS TO CAPTURE FOREIGN ACTIVITY CONSISTENT WITH THESE EFFORTS, LINE (11) ON PAGE 2 OF SCHEDULE F INCLUDES \$11,155,934 FOR GRANTMAKING ACTIVITY IN SUB-SAHARAN AFRICA FOR WHICH INDIVIDUAL GRANT AMOUNTS ARE NOT SHOWN IN PART II OF SCHEDULE F THESE GRANTS WERE GENERALLY MADE TO SUPPORT EDUCATION AND RESEARCH OR FOR GENERAL PROGRAM SUPPORT AND PAID BY WIRE TRANSFER ----- FORM 990, SCHEDULE F, PART I THE AMOUNTS LISTED ON SCHEDULE F, PART I AS TOTAL EXPENDITURES FOR INVESTMENTS FOR CERTAIN REGIONS INCLUDES BOTH THE BOOK VALUE OF INVESTMENTS AND SEPARATELY TRACKED INVESTMENT EXPENDITURES IN THAT REGION THE BREAKOUT OF THE AMOUNTS ARE AS FOLLOWS 1) CENTRAL AMERICA AND THE CARIBBEAN - BOOK VALUE \$3,225,376,389 2) EAST ASIA AND THE PACIFIC - BOOK VALUE \$433,408,965 AND EXPENDITURES \$22,124,221 3) EUROPE - BOOK VALUE \$561,443,866 AND EXPENDITURES \$1,957,151 4) NORTH AMERICA - BOOK VALUE \$21,753,778 5) SOUTH AMERICA - EXPENDITURES \$41,032 6) SUB-SAHARAN AFRICA - BOOK VALUE \$41,382,642 -----</p> |

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|--|-------------------------------------|---|--|--|-----------------------------------|
| Central America and the Caribbean | 1 | 9 | Program Services | EDUC/RESEARCH/OUTREACH | 352,431 |
| Central America and the Caribbean | | | Grantmaking | | 389,507 |
| Central America and the Caribbean | | | Investments | | 3,225,376,389 |
| East Asia and the Pacific | 7 | 95 | Program Services | EDUC/RESEARCH/OUTREACH | 6,057,521 |
| East Asia and the Pacific | | | Grantmaking | | 1,526,443 |
| East Asia and the Pacific | | | Fundraising | | 316,001 |
| East Asia and the Pacific | | | Investments | | 455,533,186 |
| Europe (Including Iceland and Greenland) | 7 | 297 | Program Services | EDUC/RESEARCH/OUTREACH | 9,813,217 |
| Europe (Including Iceland and Greenland) | | | Grantmaking | | 8,127,848 |
| Europe (Including Iceland and Greenland) | | | Fundraising | | 184,630 |
| Europe (Including Iceland and Greenland) | | | Investments | | 563,401,017 |
| Middle East and North Africa | 3 | 82 | Program Services | EDUC/RESEARCH/OUTREACH | 2,578,546 |
| Middle East and North Africa | | | Grantmaking | | 150,882 |
| Middle East and North Africa | | | Fundraising | | 56,914 |
| North America | 0 | 77 | Program Services | EDUC/RESEARCH/OUTREACH | 1,108,829 |
| North America | | | Grantmaking | | 2,099,453 |
| North America | | | Investments | | 21,753,778 |
| Russia and the Newly Independent States | 0 | 124 | Program Services | EDUC/RESEARCH/OUTREACH | 1,040,069 |
| Russia and the Newly Independent States | | | Grantmaking | | 295,285 |
| South America | 1 | 14 | Program Services | EDUC/RESEARCH/OUTREACH | 1,245,224 |
| South America | | | Grantmaking | | 1,939,552 |
| South America | | | Fundraising | | 29,774 |
| South America | | | Investments | | 41,032 |
| South Asia | 2 | 121 | Program Services | EDUC/RESEARCH/OUTREACH | 1,458,860 |
| South Asia | | | Grantmaking | | 1,491,087 |
| South Asia | | | Fundraising | | 64,459 |
| Sub-Saharan Africa | 33 | 1,139 | Program Services | EDUC/RESEARCH/OUTREACH | 105,745,212 |
| Sub-Saharan Africa | | | Grantmaking | | 41,387,112 |
| Sub-Saharan Africa | | | Fundraising | | 7,888 |
| Sub-Saharan Africa | | | Investments | | 41,382,642 |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | GENERAL SUPPORT | 6,400 | DIRECT DEBIT | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 49,500 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 145,895 | CHECK/WIRE | | N/A | N/A |
| | | South America | EDUC/RESEARCH | 530,031 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|--|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 35,370 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 14,600 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 630,000 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | GENERAL SUPPORT | 282,222 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EQUIPMENT | 8,160 | CHECK | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 6,000 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 15,864 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 181,850 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|--|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 34,842 | CHECK/WIRE | | N/A | N/A |
| | | South America | EDUC/RESEARCH | 27,838 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | GENERAL SUPPORT | 691,754 | WIRE TRANS | | N/A | N/A |
| | | Europe/Iceland/Greenland | GENERAL SUPPORT | 5,671 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | GENERAL SUPPORT | 6,768 | WIRE TRANS | | N/A | N/A |
| | | Europe/Iceland/Greenland | GENERAL SUPPORT | 135,000 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 178,600 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | GENERAL SUPPORT | 178,600 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 426,357 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 145,874 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 18,127 | CHECK/WIRE | | N/A | N/A |
| | | East Asia/Pacific | EDUC/RESEARCH | 91,600 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | East Asia/Pacific | GENERAL SUPPORT | 91,600 | CHECK | | N/A | N/A |
| | | Sub-Saharan Africa | EQUIPMENT | 5,467 | CHECK | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Cent America/Caribbean | GENERAL SUPPORT | 150,472 | WIRE TRANS | | N/A | N/A |
| | | Europe/Iceland/Greenland | GENERAL SUPPORT | 112,526 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 26,862 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 2,874,220 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | North America | EDUC/RESEARCH | 9,097 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 68,000 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Russia | EDUC/RESEARCH | 194,333 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 73,784 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 214,650 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 39,200 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | TUITION | 18,929 | WIRE TRANS | | N/A | N/A |
| | | East Asia/Pacific | GENERAL SUPPORT | 12,005 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 57,672 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 159,773 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | GENERAL SUPPORT | 159,773 | WIRE TRANS | | N/A | N/A |
| | | Sub-Saharan Africa | GENERAL SUPPORT | 18,212 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | East Asia/Pacific | EDUC/RESEARCH | 131,255 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 194,617 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | South America | EDUC/RESEARCH | 134,310 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | GENERAL SUPPORT | 156,342 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | GENERAL SUPPORT | 888,282 | WIRE TRANS | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 1,044,624 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 1,974,204 | CHECK/WIRE | | N/A | N/A |
| | | South Asia | EDUC/RESEARCH | 17,500 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|-------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | South Asia | EDUC/RESEARCH | 69,936 | WIRE TRANS | | N/A | N/A |
| | | East Asia/Pacific | EDUC/RESEARCH | 20,369 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|-------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | East Asia/Pacific | GENERAL SUPPORT | 69,936 | WIRE TRANS | | N/A | N/A |
| | | South America | EDUC/RESEARCH | 40,427 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 222,892 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 222,365 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 17,905 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | GENERAL SUPPORT | 117,065 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 1 17,065 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 1 27,762 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | North America | EDUC/RESEARCH | 280,122 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 17,145 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | East Asia/Pacific | GENERAL SUPPORT | 106,329 | WIRE TRANS | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 81,501 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | North America | EDUC/RESEARCH | 25,000 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 24,792 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|-------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | East Asia/Pacific | EDUC/RESEARCH | 24,000 | CHECK/WIRE | | N/A | N/A |
| | | North America | EDUC/RESEARCH | 11,953 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 911,126 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 14,729 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 1,446,936 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 36,038 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 164,567 | CHECK/WIRE | | N/A | N/A |
| | | South Asia | CONFERENCE | 44,963 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | South Asia | GENERAL SUPPORT | 17,885 | WIRE TRANS | | N/A | N/A |
| | | South Asia | EDUC/RESEARCH | 44,963 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|--|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 17,885 | CHECK/WIRE | | N/A | N/A |
| | | East Asia/Pacific | EDUC/RESEARCH | 13,667 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | GENERAL SUPPORT | 125,105 | WIRE TRANS | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 117,300 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | South Asia | EDUC/RESEARCH | 63,500 | CHECK/WIRE | | N/A | N/A |
| | | South Asia | DATA COLLECTION | 63,500 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 537,500 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 297,294 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|--|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 30,600 | CHECK/WIRE | | N/A | N/A |
| | | North America | EDUC/RESEARCH | 17,200 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|---------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | South America | GENERAL SUPPORT | 427,331 | WIRE TRANS | | N/A | N/A |
| | | Russia | EDUC/RESEARCH | 50,929 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | MEMBERSHIP DUES | 107,631 | CREDIT | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 128,908 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | North America | EDUC/RESEARCH | 1,180,377 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 40,175 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|---------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | South Asia | EDUC/RESEARCH | 34,125 | CHECK/WIRE | | N/A | N/A |
| | | South America | EDUC/RESEARCH | 137,250 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|---------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | North America | RESEARCH | 97,110 | CHECK | | N/A | N/A |
| | | North America | EDUC/RESEARCH | 97,110 | CHECK | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 135,184 | CHECK/WIRE | | N/A | N/A |
| | | North America | TECH FEES | 10,643 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | North America | TRAVEL | 12,919 | WIRE TRANS | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 157,178 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | GENERAL SUPPORT | 157,178 | WIRE TRANS | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 114,346 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | North America | EDUC/RESEARCH | 42,583 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 87,476 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|---------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | South Asia | EDUC/RESEARCH | 57,100 | CHECK/WIRE | | N/A | N/A |
| | | South America | EDUC/RESEARCH | 92,947 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 22,750 | CHECK/WIRE | | N/A | N/A |
| | | North America | RESEARCH | 31,317 | CHECK | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | North America | EDUC/RESEARCH | 134,812 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 10,000 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|---------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | North America | EDUC/RESEARCH | 6,392 | CHECK/WIRE | | N/A | N/A |
| | | South Asia | GENERAL SUPPORT | 79,011 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 25,003 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 12,000 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | East Asia/Pacific | EDUC/RESEARCH | 18,196 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 351,983 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | GENERAL SUPPORT | 474,197 | WIRE TRANS | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 279,328 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 113,051 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 667,495 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | East Asia/Pacific | EDUC/RESEARCH | 89,100 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 40,504 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 79,707 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 286,780 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 19,477 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 17,589 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 91,844 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 12,200 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 39,619 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 371,071 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 16,099 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 93,649 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 45,662 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 47,045 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 114,567 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 12,165 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 115,386 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 15,265 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 1 27,835 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 115,507 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 277,178 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 77,977 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 38,263 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 63,444 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 547,133 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 203,495 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 443,305 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 33,607 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 45,437 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 50,100 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 588,828 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 16,500 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 131,280 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 155,150 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 116,641 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 65,175 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 32,000 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 131,021 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | North America | EDUC/RESEARCH | 5,700 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 29,299 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 43,149 | CHECK/WIRE | | N/A | N/A |
| | | South Asia | EDUC/RESEARCH | 125,526 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 1,140,702 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 34,588 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 269,676 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 424,614 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 18,989 | CHECK/WIRE | | N/A | N/A |
| | | North America | EDUC/RESEARCH | 30,000 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 79,011 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 37,195 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 346,635 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 101,792 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 9,471 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 121,559 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 806,933 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 150,472 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 37,224 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 206,702 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 7,584 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 204,396 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 442,196 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 22,856 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 18,944 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 138,681 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 519,350 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 35,402 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 13,301 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 205,293 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 152,565 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 114,748 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 20,545 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 38,591 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 15,562 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 696,681 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 29,881 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 96,572 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | East Asia/Pacific | EDUC/RESEARCH | 13,734 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 92,147 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 43,087 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 26,278 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 110,318 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 384,291 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 27,801 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 193,462 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 17,693 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 191,976 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 96,554 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 22,768 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 111,292 | CHECK/WIRE | | N/A | N/A |
| | | Sub-Saharan Africa | EDUC/RESEARCH | 153,401 | CHECK/WIRE | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | EDUC/RESEARCH | 60,449 | CHECK/WIRE | | N/A | N/A |
| | | Europe/Iceland/Greenland | EQUIPMENT | 7,050 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | East Asia/Pacific | EQUIPMENT | 54,825 | CHECK | | N/A | N/A |
| | | Europe/Iceland/Greenland | EQUIPMENT | 13,394 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | GENERAL SUPPORT | 11,840 | CHECK | | N/A | N/A |
| | | Europe/Iceland/Greenland | EQUIPMENT | 15,665 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EQUIPMENT | 7,261 | WIRE TRANS | | N/A | N/A |
| | | Sub-Saharan Africa | GENERAL SUPPORT | 56,327 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | GENERAL SUPPORT | 91,327 | WIRE TRANS | | N/A | N/A |
| | | South America | GENERAL SUPPORT | 73,911 | CHECK | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|-------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | East Asia/Pacific | TRAVEL SUPPORT | 26,982 | WIRE TRANS | | N/A | N/A |
| | | South America | CONSULTANT FEE | 184,262 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | South America | GENERAL SUPPORT | 42,090 | CHECK | | N/A | N/A |
| | | Sub-Saharan Africa | GENERAL SUPPORT | 4,013,471 | CHECK | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | East Asia/Pacific | GENERAL SUPPORT | 8,777 | WIRE TRANS | | N/A | N/A |
| | | Sub-Saharan Africa | GENERAL SUPPORT | 5,262 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe/Iceland/Greenland | EDUC/RESEARCH | 143,124 | WIRE TRANS | | N/A | N/A |
| | | Sub-Saharan Africa | TRAVEL SUPPORT | 8,326 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | GENERAL SUPPORT | 18,750 | WIRE TRANS | | N/A | N/A |
| | | Europe/Iceland/Greenland | GENERAL SUPPORT | 77,997 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Cent America/Caribbean | CONSULTANT FEE | 46,296 | WIRE TRANS | | N/A | N/A |
| | | South Asia | GENERAL SUPPORT | 54,338 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | GENERAL SUPPORT | 12,720 | WIRE TRANS | | N/A | N/A |
| | | Sub-Saharan Africa | GENERAL SUPPORT | 23,515 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | GENERAL SUPPORT | 11,958 | WIRE TRANS | | N/A | N/A |
| | | Sub-Saharan Africa | GENERAL SUPPORT | 160,939 | CHECK | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | CONSULTANT FEE | 56,000 | WIRE TRANS | | N/A | N/A |
| | | East Asia/Pacific | TRAVEL SUPPORT | 6,262 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | North America | GENERAL SUPPORT | 6,300 | CHECK | | N/A | N/A |
| | | Sub-Saharan Africa | GENERAL SUPPORT | 701,078 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | South Asia | GENERAL SUPPORT | 13,259 | WIRE TRANS | | N/A | N/A |

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

| (a) Type of grant or assistance | (b) Region | (c)Number of recipients | (d) Amount of cash grant | (e) Manner of cash disbursement | (f) Amount of non-cash assistance | (g) Description of non-cash assistance | (h) Method of valuation (book, FMV , appraisal, other) |
|---------------------------------|--------------------------|-------------------------|--------------------------|---------------------------------|-----------------------------------|--|--|
| TRAVEL | Cent America/Caribbean | 45 | 22,213 | CHECK | | N/A | N/A |
| TRAVEL | Cent America/Caribbean | 18 | 10,977 | CHECK | | N/A | N/A |
| DISSERTATION | East Asia/Pacific | 4 | 57,369 | CHECK | | N/A | N/A |
| FELLOWSHIP | East Asia/Pacific | 1 | 6,697 | CHECK | | N/A | N/A |
| GENERAL SUPPORT | East Asia/Pacific | 59 | 98,869 | CHECK | | N/A | N/A |
| INTERNSHIP AWARD | East Asia/Pacific | 5 | 19,300 | DIRECT DEPOS | | N/A | N/A |
| RESEARCH | East Asia/Pacific | 32 | 78,053 | DIRECT DEPOS | | N/A | N/A |
| TRAVEL | East Asia/Pacific | 6 | 18,276 | CHECK | | N/A | N/A |
| TRAVEL | East Asia/Pacific | 18 | 10,578 | CHECK | | N/A | N/A |
| TRAVEL | East Asia/Pacific | 169 | 326,318 | CHECK | | N/A | N/A |
| FELLOWSHIP | Europe/Iceland/Greenland | 5 | 116,214 | CHECK | | N/A | N/A |
| GENERAL SUPPORT | Europe/Iceland/Greenland | 17 | 133,428 | CHECK | | N/A | N/A |
| HONORARIUM | Europe/Iceland/Greenland | 18 | 31,750 | CHECK | | N/A | N/A |
| INTERNSHIP AWARD | Europe/Iceland/Greenland | 2 | 10,300 | CHECK | | N/A | N/A |
| RESEARCH | Europe/Iceland/Greenland | 54 | 205,511 | DIRECT DEPOS | | N/A | N/A |
| TRAVEL | Europe/Iceland/Greenland | 12 | 66,934 | CREDIT | | N/A | N/A |
| TRAVEL | Europe/Iceland/Greenland | 62 | 42,649 | CHECK | | N/A | N/A |
| TRAVEL | Europe/Iceland/Greenland | 167 | 312,970 | CHECK | | N/A | N/A |
| GENERAL SUPPORT | Europe/Iceland/Greenland | 11 | 32,535 | CHECK | | N/A | N/A |
| INTERNSHIP AWARD | Middle East/North Africa | 1 | 10,000 | CHECK | | N/A | N/A |
| RESEARCH | Middle East/North Africa | 12 | 39,086 | DIRECT DEPOS | | N/A | N/A |
| TRAVEL | Middle East/North Africa | 1 | 11,500 | CREDIT | | N/A | N/A |
| TRAVEL | Middle East/North Africa | 25 | 38,947 | CHECK | | N/A | N/A |
| PRIZE | North America | 3 | 10,000 | CHECK | | N/A | N/A |
| GENERAL SUPPORT | NORTH AMERICA | 16 | 10,098 | CHECK | | N/A | N/A |
| RESEARCH | North America | 3 | 7,750 | DEPOSIT | | N/A | N/A |
| TRAVEL | North America | 38 | 18,241 | CHECK | | N/A | N/A |
| TRAVEL | North America | 47 | 45,963 | CHECK | | N/A | N/A |
| TRAVEL | Russia | 31 | 42,511 | CHECK | | N/A | N/A |
| INTERNSHIP AWARD | South America | 6 | 19,300 | CHECK | | N/A | N/A |
| RESEARCH | South America | 8 | 34,170 | DIRECT DEPOS | | N/A | N/A |
| TRAVEL | South America | 2 | 5,702 | CHECK | | N/A | N/A |
| TRAVEL | South America | 1 | 11,500 | CREDIT | | N/A | N/A |
| TRAVEL | South America | 20 | 9,620 | CHECK | | N/A | N/A |
| TRAVEL | South America | 70 | 149,563 | CHECK | | N/A | N/A |
| GENERAL SUPPORT | South Asia | 27 | 53,486 | CHECK | | N/A | N/A |
| INTERNSHIP AWARD | South Asia | 2 | 6,400 | CHECK | | N/A | N/A |
| RESEARCH | South Asia | 5 | 22,418 | CHECK | | N/A | N/A |
| TRAVEL | South Asia | 1 | 11,500 | CHECK | | N/A | N/A |
| TRAVEL | South Asia | 3 | 12,588 | CHECK | | N/A | N/A |
| TRAVEL | South Asia | 55 | 223,039 | DIRECT DEPOS | | N/A | N/A |
| INTERNSHIP AWARD | Sub-Saharan Africa | 4 | 15,200 | CHECK | | N/A | N/A |
| RESEARCH | Sub-Saharan Africa | 11 | 80,899 | CHECK | | N/A | N/A |
| TRAVEL | Sub-Saharan Africa | 9 | 6,000 | CHECK | | N/A | N/A |
| TRAVEL | Sub-Saharan Africa | 70 | 88,806 | CHECK | | N/A | N/A |
| GENERAL SUPPORT | Sub-Saharan Africa | 8 | 16,877 | CHECK | | N/A | N/A |
| DISASTER ASSISTANCE | East Asia/Pacific | 1 | 8,839 | CHECK | | N/A | N/A |
| DISASTER ASSISTANCE | Cent America/Caribbean | 1 | 9,077 | CHECK | | N/A | N/A |
| LECTURE | Russia | 2 | 7,512 | CHECK | | N/A | N/A |
| NSF GRANT | South Asia | 1 | 8,165 | CHECK | | N/A | N/A |

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.

| | | (a) Event #1 | (b) Event #2 | (c) Other Events | (d) Total Events |
|-----------------|---|-------------------------------|-------------------------------|----------------------|----------------------------------|
| | | ANNUAL DINNER (event type) | AWARDS DINNER (event type) | 48 (total number) | (Add col (a) through col (c)) |
| Revenue | 1 Gross receipts | 1,322,343 | 746,775 | 4,302,566 | 6,371,684 |
| | 2 Less Charitable contributions | 1,150,293 | 576,675 | 2,779,134 | 4,506,102 |
| | 3 Gross income (line 1 minus line 2) | 172,050 | 170,100 | 1,523,432 | 1,865,582 |
| Direct Expenses | 4 Cash prizes | 0 | 0 | 0 | 0 |
| | 5 Non-cash prizes | 1,200 | 6,745 | | 7,945 |
| | 6 Rent/facility costs | 0 | 3,225 | | 3,225 |
| | 7 Food and beverages | 248,478 | 174,687 | | 423,165 |
| | 8 Entertainment | 0 | 0 | | 0 |
| | 9 Other direct expenses | 30,838 | 124,874 | 1,523,432 | 1,679,144 |
| | 10 Direct expense summary Add lines 4 through 9 in column (d) ▶ | | | | 2,113,479 |
| | 11 Net income summary Combine lines 3 and 10 in column (d). ▶ | | | | -247,897 |

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

| | | (a) Bingo | (b) Pull tabs/Instant bingo/progressive bingo | (c) Other gaming | (d) Total gaming |
|-----------------|---|--|--|--|----------------------------------|
| | | | | | (Add col (a) through col (c)) |
| Revenue | 1 Gross revenue | | | | |
| | | | | | |
| Direct Expenses | 2 Cash prizes | | | | |
| | 3 Non-cash prizes | | | | |
| | 4 Rent/facility costs | | | | |
| | 5 Other direct expenses | | | | |
| | | | | | |
| | 6 Volunteer labor | <input type="checkbox"/> Yes _____% <input type="checkbox"/> No | <input type="checkbox"/> Yes _____% <input type="checkbox"/> No | <input type="checkbox"/> Yes _____% <input type="checkbox"/> No | |
| | 7 Direct expense summary Add lines 2 through 5 in column (d) ▶ | | | | |
| | 8 Net gaming income summary Combine lines 1 and 7 in column (d) ▶ | | | | |

9 Enter the state(s) in which the organization operates gaming activities _____

a Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☐ No

b If "No," Explain _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," Explain _____

11

Does the organization operate gaming activities with nonmembers?

☐ Yes ☐ No

12

Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

☐ Yes ☐ No

13

Indicate the percentage of gaming activity operated in

| | | |
|---|-----------------------------|-----|
| a | The organization's facility | 13a |
| b | An outside facility | 13b |

14

Provide the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶ _____

Address ▶ _____

15a

Does the organization have a contract with a third party from whom the organization receives gaming revenue?

☐ Yes ☐ No

b

If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____

c

If "Yes," enter name and address

Name ▶ _____

Address ▶ _____

16 Gaming manager information

Name ▶ _____

Gaming manager compensation ▶ \$ _____

Description of services provided ▶ _____

☐ Director/officer ☐ Employee ☐ Independent contractor

17

Mandatory distributions

a

Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

☐ Yes ☐ No

b

Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV Complete this part to provide additional information for responses to question on Schedule G (see instructions.)

| Identifier | ReturnReference | Explanation |
|------------|-----------------|-------------|
|------------|-----------------|-------------|

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2010

Open to Public
Inspection

Employer identification number
13-5598093

Part I

General Information on Grants and Assistance

- 1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No
- 2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Part II can be duplicated if additional space is needed. ▶ ☐

| 1 (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|---------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| See Additional Data Table | | | | | | | |
| | | | | | | | |
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| | | | | | | | |

2

Enter total number of section 501(c)(3) and government organizations

261

3

Enter total number of other organizations

0

Part IIIGrants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Use Schedule I-1 (Form 990) if additional space is needed.

| (a)Type of grant or assistance | (b)Number of recipients | (c)Amount of cash grant | (d)Amount of non-cash assistance | (e)Method of valuation (book, FMV, appraisal, other) | (f)Description of non-cash assistance |
|---|-------------------------|-------------------------|----------------------------------|--|---------------------------------------|
| (1) STUDENT TUITION GRANTS AND SCHOLARSHIPS | 23820 | 355,367,148 | | N/A | N/A |
| | | | | | |
| | | | | | |
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| | | | | | |
| | | | | | |

Part IVSupplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

| Identifier | Return Reference | Explanation |
|---|----------------------|--|
| DESCRIPTION OF ORGANIZATION'S PROCEDURES FOR MONITORING THE USE OF GRANTS | FORM 990, SCHEDULE I | THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF THE USE OF SPONSORED PROJECT GRANT FUNDING BY SUBRECIPIENTS THE POLICY MANDATES MONITORING IN TWO AREAS (A) SUBRECIPIENT INSTITUTION COMPLIANCE WITH THE AUDITING REQUIREMENTS OF OMB CIRCULAR A-133, AND (B) SUBRECIPIENT INSTITUTION'S APPROPRIATE MANAGEMENT OF SUBAWARD THE UNIVERSITY'S SPONSORED PROJECTS FINANCE GROUP COLLECTS A-133 REPORTS FROM SUBRECIPIENT INSTITUTIONS, AND THE PRINCIPAL INVESTIGATORS CARRY OUT THE SECOND TYPE OF MONITORING, PRINCIPALLY BY - ROUTINELY GATHERING AND REVIEWING TECHNICAL PERFORMANCE REPORTS, - ROUTINELY REVIEWING INVOICES AND EXPENSES RELATIVE TO BUDGET, - CONDUCTING PERIODIC ON-SITE VISITS, WHEN NECESSARY, AND - INITIATING AUDITS, WHEN NECESSARY |

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV , appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| 1199 SEIU NATL BENEFIT FUND FOR HEALTH AND HUMAN SERV 330 WEST 42ND STREET NEW YORK, NY 10036 | 13-1628401 | 501(C)(3) | 20,000 | | N/A | N/A | RESEARCH |
| ADULTS AND CHILDREN IN TRUST1047 AMSTERDAM AVENUE NEW YORK, NY 10025 | 13-1623934 | 501(C)(3) | 11,500 | | N/A | N/A | FINANCIAL AID |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| ALBANY MED COLLEGE SPONSORED PROGRAMS MC-107 47 NEW SCOTLAND AVE ALBANY,NY 12208 | 14-1338310 | 501(C)(3) | 359,738 | | N/A | N/A | RESEARCH |
| ALBERT EINSTEIN COLLEGE OF MED1300 MORRIS PARK AVE BRONX,NY 10461 | 13-1624225 | 501(C)(3) | 2,904,112 | | N/A | N/A | RESEARCH |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| ALEXANDER ROBERTSON SCHOOL3 WEST 95TH STREET NEW YORK, NY 10025 | 13-1760095 | 501(C)(3) | 8,000 | | N/A | N/A | FINANCIAL AID |
| ALIANZA DOMINICANA INC2410 AMSTERDAM AVE NEW YORK, NY 10033 | 13-3402057 | 501(C)(3) | 171,634 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV , appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| AMER COLLEGE OF NURSE MIDWIVES8403 COLESVILLE ROAD SUITE 1550 SILVER SPRING, MD 20910 | 74-1685515 | 501(C)(3) | 97,655 | | N/A | N/A | RESEARCH |
| AMER MUSEUM OF NATURAL HISTORY79TH ST AT CENTRAL PARK WEST NEW YORK,NY 10024 | 13-6162659 | 501(C)(3) | 26,601 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV , appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| APICC/O KATHY WAREY 1275 K STREET NW SUITE 1000 WASHINGTON,DC 20009 | 23-7256856 | 501(C)(3) | 45,600 | | N/A | N/A | RESEARCH |
| ARC XVI FT WA4111 BROADWAY NEW YORK,NY 10033 | 13-2745426 | 501(C)(3) | 100,000 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| ARTSTOR151 EAST 61ST STREET NEW YORK,NY 10065 | 30-0152767 | 501(C)(3) | 121,505 | | N/A | N/A | RESEARCH |
| ATMOSPHERIC & ENVIRONMENTALPO BOX 5175 NEW YORK,NY 10087 | 04-2608324 | 501(C)(3) | 13,059 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV , appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| AZ STATE UNIVERSITY AWARD MANAGEMENT PO BOX 876011 TEMPE,AZ 85287 | 86-0196696 | 501(C)(3) | 153,927 | | N/A | N/A | RESEARCH |
| BARNARD COLLEGE3009 BROADWAY CONTROLLERS OFF 015 MILBANK NEW YORK,NY 10027 | 13-1628149 | 501(C)(3) | 387,457 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| BARROW ARCTIC SCI CONSORTIUMPO BOX 577 BARROW, AK 99723 | 92-0158733 | 501(C)(3) | 30,084 | | N/A | N/A | RESEARCH |
| BATTELLEATTN CASHIER PO BOX 84262 SEATTLE, WA 98124 | 31-4379427 | 501(C)(3) | 37,117 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| BAYLOR COLLEGE OF MEDICINEBAYLOR COLLEGE OF MEDICINE PO BOX 201361 HOUSTON,TX 77216 | 74-1613878 | 501(C)(3) | 268,077 | | N/A | N/A | RESEARCH |
| BAYLOR RES INSTTEXAS NEUROLOGY PA-RES DPT 6301 GASTON AVENUESUITE 100 DALLAS,TX 75214 | 75-2654757 | 501(C)(3) | 17,779 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| BETH ISRAEL MED CTR515 WEST 57TH STREET ACCOUNTS PAYABLE NEW YORK,NY 10019 | 13-5564934 | 501(C)(3) | 20,000 | | N/A | N/A | RESEARCH |
| BIOSBERMUDA INSTITUTE OF OCEAN SCI C/O BURKE HERBERT BANK PO BOX 60 ALEXANDRIA,VA 22313 | 06-0706038 | 501(C)(3) | 124,411 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| BK STREET COLLEGE OF EDU610 W 112 ST NEW YORK,NY 10025 | 13-5562167 | 501(C)(3) | 16,303 | | N/A | N/A | RESEARCH |
| BLOOD SYS INCPO BOX 53022 PHOENIX,AZ 85072 | 86-0098929 | 501(C)(3) | 6,543 | | N/A | N/A | RESEARCH |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| BOSTON MED CTR CORP RESEARCH AND SERV GRANTS ADMIN PO BOX 845700 BOSTON, MA 02284 | 04-3314093 | 501(C)(3) | 59,244 | | N/A | N/A | RESEARCH |
| BOSTON UNIVERSITY OFFICE OF THE COMPTROLLER GRANT AND CONTRACT ACCOUNTING 25 B BOSTON, MA 02215 | 04-2103547 | 501(C)(3) | 803,555 | | N/A | N/A | RESEARCH |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| BRANDEIS UNIVERSITY SPONSORED PROGRAMS ACCOUNTING MS 144 PO BOX 549110 WALTHAM, MA 02454 | 21-2103552 | 501(C)(3) | 272,574 | | N/A | N/A | RESEARCH |
| BRENTWOOD BIOMEDICAL RES INSTATTN KENNETH HICKMAN 11301 WILSHIRE BLVD BLDG 114 ROO LOS ANGELES, CA 90073 | 95-4183712 | 501(C)(3) | 8,500 | | N/A | N/A | RESEARCH |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| BRIGHAM & WOMENS HOSPITALPO BOX 3887 BOSTON, MA 02241 | 04-2312909 | 501(C)(3) | 248,329 | | N/A | N/A | RESEARCH |
| BROADWAY COMMUNITY INC601 WEST 114TH STREET NEW YORK, NY 10025 | 13-3652817 | 501(C)(3) | 8,000 | | N/A | N/A | SUPPLIES FOR PANTRY |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV , appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| BROADWAY PRESBYTERIAN CHURCH BROADWAY PRESBYTERIAN NURSERY SCHOO 601 WEST 114TH STREET NEW YORK,NY 10025 | 13-1623916 | 501(C)(3) | 8,000 | | N/A | N/A | FINANCIAL AID |
| BRONX LEBANON HOSPITAL CTR1276 FULTON AVE BRONX,NY 10456 | 13-1974191 | 501(C)(3) | 734,527 | | N/A | N/A | RESEARCH |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| BROWN UNIVERSITY CASHIERS OFFICE PO BOX 1911 PROVIDENCE, RI 02912 | 05-0258804 | 501(C)(3) | 70,710 | | N/A | N/A | RESEARCH |
| CA INST OF TECH TREASURY SERVICES 1200 E CALIFORNIA BLVD PASADENA, CA 91125 | 95-1643307 | 501(C)(3) | 250,427 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV , appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| CA PACIFIC MED CTR RES INSTC/O KEVIN SULLIVAN 475 BRANNAN STREET SUITE 220 SAN FRANCISCO,CA 94107 | 94-0562680 | 501(C)(3) | 29,166 | | N/A | N/A | RESEARCH |
| CARE GROUP LLC8333 NAAB ROAD SUITE 400 ATT RESEARCH DIRECTOR INDIANAPOLIS,IN 46260 | 35-2000276 | 501(C)(3) | 13,200 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| CARLETON COLLEGE SERC ONE NORTH COLLEGE ST B SERC SCIENCE EDUCATION RESOURCE CTR NORTHFILED,MN 55057 | 41-0694747 | 501(C)(3) | 7,263 | | N/A | N/A | RESEARCH |
| CARNEGIE HILL IMAGING 70 EAST 90TH STREET NEW YORK,NY 10128 | 20-3492938 | 501(C)(3) | 55,671 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| CARNEGIE MELLON UNIVERSITYP O BOX 371032M PITTSBURGH, PA 15250 | 25-0969449 | 501(C)(3) | 9,102 | | N/A | N/A | RESEARCH |
| CATHEDRAL COMMUNITY CARES OF ST JOHN THE DIVINE1047 AMSTERDAM AVENUE NEW YORK, NY 10025 | 13-1623934 | 501(C)(3) | 8,000 | | N/A | N/A | SUPPLIES FOR PANTRY |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| CHAI383 DORCHESTER AVENUE SUITE 400 BOSTON,MA 02127 | 27-1414646 | 501(C)(3) | 149,605 | | N/A | N/A | RESEARCH |
| CHILDFUND INTL USA2821 EMERYWOOD PARKWAY PO BOX 26484 RICHMOND,VA 23261 | 54-0536100 | 501(C)(3) | 175,634 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| CHILDRENS HOSPITAL300 LONGWOOD AVE RES FINANCE DEPT BOSTON,MA 02115 | 04-2774441 | 501(C)(3) | 24,325 | | N/A | N/A | RESEARCH |
| CHILDRENS HOSPITALLOS ANGELES 4650 SUNSET BOULEVARD LOS ANGELES,CA 90027 | 95-1690977 | 501(C)(3) | 147,270 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV , appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| CHILDRENS HOSPITAL OF ORANGE455 SOUTH MAIN STREET C/O DANIELLE HOWARD ASSISTANT DIRE ORANGE,CA 92868 | 95-2321786 | 501(C)(3) | 10,213 | | N/A | N/A | RESEARCH |
| CHILDRENS HOSPITAL OF PHILADELPHIALOCK BOX 1457 POBOX 8500 PHILADELPHIA,PA 19178 | 23-1352166 | 501(C)(3) | 151,167 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| CHILDREN'S LEARNING CENTER OF MORNINGSIDE HEIGHTS90 LA SALLE STREET NEW YORK,NY 10025 | 13-4111840 | 501(C)(3) | 10,000 | | N/A | N/A | FINANCIAL AID |
| CHRISTIANA CARE HEALTH SERV4735 OGLETOWN-STANTON ROAD MAP 2 SUITE 3203 NEWARK,DE 19713 | 51-0103684 | 501(C)(3) | 747,349 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| CICATELLI ASSO INC505 8TH AVE 16TH FLOOR NEW YORK,NY 10018 | 13-3020576 | 501(C)(3) | 324,513 | | N/A | N/A | RESEARCH |
| CLINICAL RES FOUND INC 800 ZORN AVENUE LOUISVILLE,KY 40206 | 61-1144514 | 501(C)(3) | 7,700 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| CNTR FOR FETAL MED & WOMEN6310 W SAN VINCENTE BLVD 510 LOS ANGELES,CA 90048 | 95-3865023 | 501(C)(3) | 64,996 | | N/A | N/A | RESEARCH |
| COLD SPRING HARBOR LABKATHLEEN WALL-ROONEY OFFICE OF SPONSORED PROGRAMS PO COLD SPRING HA,NY 11724 | 11-2013303 | 501(C)(3) | 681,294 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| COLUMBIA UNIVERSITY DICK SAVITT TENNIS CENTER575 WEST 218TH STREET NEW YORK,NY 10034 | 13-5598093 | 501(C)(3) | 7,000 | | N/A | N/A | RESEARCH |
| COMMUNITY IMPACT INC COLUMBIA UNIVERSITY 2980 BROADWAY 105 EARL HALL NEW YORK,NY 10027 | 13-3386904 | 501(C)(3) | 11,000 | | N/A | N/A | YOUTH PROGRAMS |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| COMMUNITY RES INITIATIVE230 WEST 38TH STREET 17TH FLOOR NEW YORK,NY 10018 | 13-3632234 | 501(C)(3) | 17,081 | | N/A | N/A | RESEARCH |
| COOK FOR YOUR LIFE32 BROADWAY SUITE 1101 NEW YORK,NY 10004 | 26-0525634 | 501(C)(3) | 29,687 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| COOPER HEALTH SYS3 COOPER PLAZA SUITE 220 CAMDEN,NJ 08103 | 21-0634462 | 501(C)(3) | 109,651 | | N/A | N/A | RESEARCH |
| CORNELL UNIVERSITY SPONSORED FUNDS ACCT PO BOX 22 ITHACA,NY 14851 | 15-0532082 | 501(C)(3) | 1,372,387 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| CORNELL UNIVERSITY MED COLLEGEFINANCE RESEARCH ACCOUNTING 575 LEXINGTON AVENUE 9TH FLOOR NEW YORK,NY 10022 | 13-1623978 | 501(C)(3) | 2,256,406 | | N/A | N/A | RESEARCH |
| CRA INCATTN MARTHA HEATLEY 5901 PALISADE AVENUE RIVERDALE,NY 10471 | 23-7227845 | 501(C)(3) | 18,000 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| CREIGHTON UNIVERSITY 2500 CALIFORNIA PLAZA CONTROLLER S OFFICE OMAHA,NE 68178 | 47-0376583 | 501(C)(3) | 31,420 | | N/A | N/A | RESEARCH |
| DCMFM OF CHRISTIANA CARE 774 CHRISTIANA ROAD SUITE 109 NEWARK,DE 19713 | 20-5891272 | 501(C)(3) | 229,903 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| DENVER RES INST1055 CLERMONT ST DENVER,CO 80220 | 84-1392442 | 501(C)(3) | 10,000 | | N/A | N/A | RESEARCH |
| DREXEL UNIVERSITY COMMERCE BANK PO BOX 95000-1090 PHILADELPHIA,PA 19195 | 23-1352630 | 501(C)(3) | 14,180 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| DREXEL UNIVERSITY COLLEGE OFPO BOX 95000-1010 PHILADELPHIA, PA 19195 | 23-2979433 | 501(C)(3) | 170,131 | | N/A | N/A | RESEARCH |
| DUKE UNIVERSITYOFFICE OF SPONSORED PROGRAMS 2200 WEST MAIN STREET SUITE 300 DURHAM,NC 27705 | 56-0532129 | 501(C)(3) | 227,034 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| ECONOMIC OPPORTUNITY COMMOF NASSAU COUNTY INC 134 JACKSON STREET HEMPSTEAD,NY 11550 | 11-2195458 | 501(C)(3) | 224,388 | | N/A | N/A | RESEARCH |
| ED DEV CTR INC55 CHAPEL ST ATTN ACCOUNTS RECEIVABLE NEWTON,MA 02458 | 04-2241718 | 501(C)(3) | 98,741 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| EMORY UNIVERSITY OFFICE OF GRANTS CONTRACTS 4TH FLOOR MS 1599-001- 1BH 1599 CL ATLANTA,GA 30322 | 58-0566256 | 501(C)(3) | 631,301 | | N/A | N/A | RESEARCH |
| EMSIPOBOX 202669 DALLAS,TX 75320 | 75-1444139 | 501(C)(3) | 260,446 | | N/A | N/A | RESEARCH |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| EUREKA SCIENTIFIC2452 DELMER STREET STE 100 OAKLAND, CA 94602 | 94-3160967 | 501(C)(3) | 12,477 | | N/A | N/A | RESEARCH |
| FAMILY HEALTH INTLPO BOX 13950 RES TRIANGLE PK, NC 27709 | 23-7413005 | 501(C)(3) | 280,271 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| FEINSTEIN INST FOR MED RESOFFICE OF GRANTS CONTRACTS NORTH SHORE-LONG ISLAND JEWISH 5 D LAKE SUCCESS,NY 11042 | 11-2673595 | 501(C)(3) | 11,590 | | N/A | N/A | RESEARCH |
| FIGURE SKATING IN HARLEM361 WEST 125TH STREET FOURTH FLOOR NEW YORK,NY 10027 | 13-3945168 | 501(C)(3) | 6,000 | | N/A | N/A | YOUTH PROGRAMS |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| FRED HUTCHINSON CANCER RES CTR 1100 FAIRVIEW AVE N PO BOX 19024 SEATTLE,WA 98109 | 23-7156071 | 501(C)(3) | 420,065 | | N/A | N/A | RESEARCH |
| GA STATE UNIVERSITY OFFICE OF RESEARCH PO BOX 3999 ATLANTA,GA 30302 | 58-1845423 | 501(C)(3) | 19,074 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| GA TECH RES CORPPO BOX 100117 ATLANTA,GA 30384 | 58-0603146 | 501(C)(3) | 15,471 | | N/A | N/A | RESEARCH |
| GALOIS INC421 SW SIXTH SUITE 300 PORTLAND,OR 97204 | 93-1278540 | 501(C)(3) | 82,948 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| GEISINGER CLINIC100 NORTH ACADEMY AVENUE WEIS CENTER FOR RESEARCH DANVILLE,PA 17822 | 23-6291113 | 501(C)(3) | 54,124 | | N/A | N/A | RESEARCH |
| GEORGE MASON UNIVERSITYOFFICE OF SPONSORED PROGRAMS CASHIRE S OFFICE - MS 2E1 4400 UNI FAIRFAX,VA 22030 | 54-0836354 | 501(C)(3) | 257,113 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| GEORGE WA UNIVERSITY GRANTS CONTRACTS ACCOUNTING 44983 KNOLL SQUARE BUILDING II SEC ASHBURN,VA 20147 | 53-0196584 | 501(C)(3) | 264,111 | | N/A | N/A | RESEARCH |
| GEORGETOWN UNIVERSITYOFFICE OF SPONSORED ACCOUNTING BOX 571164 WASHINGTON,DC 20057 | 53-0196603 | 501(C)(3) | 284,837 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| GRAHAM WINDHAM-BEACON CENTER YOUTH LITERACY PROGR625 WEST 133RD STREET ROOM 252 NEW YORK,NY 10027 | 13-2926426 | 501(C)(3) | 6,000 | | N/A | N/A | FAMILY ENRICHMENT |
| GULF REGIONAL RES109 HOLLY DRIVE METARIE,LA 70005 | 72-1485603 | 501(C)(3) | 7,500 | | N/A | N/A | RESEARCH |

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| HALE HOUSE CENTER INC 152 WEST 122ND STREET NEW YORK, NY 10027 | 13-2700687 | 501(C)(3) | 9,000 | | N/A | N/A | FINANCIAL AID |
| HARLEM ACADEMY1330 FIFTH AVENUE NEW YORK, NY 10026 | 56-2454573 | 501(C)(3) | 9,000 | | N/A | N/A | FINANCIAL AID |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| HARLEM HOSPITAL CTR 506 LENOX AVE NEW YORK, NY 10037 | 13-2655001 | 501(C)(3) | 466,495 | | N/A | N/A | RESEARCH |
| HARLEM UNITED COMMUN AIDS CTR123-125 WEST 124TH STREET NEW YORK, NY 10027 | 13-3461695 | 501(C)(3) | 79,150 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| HARVARD UNIVERSITY PRESIDENT FELLOWS OF HARVARD PO BOX 415649 BOSTON,MA 02241 | 04-2103580 | 501(C)(3) | 497,798 | | N/A | N/A | RESEARCH |
| HEALTH RES INC RIVERVIEW CENTER 150 BROADWAY SUITE 560 MENANDS,NY 12204 | 14-1402155 | 501(C)(3) | 186,039 | | N/A | N/A | RESEARCH |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| HENRY FORD HEALTH SYS ONE FORD PLACE 5EF DETROIT, MI 48202 | 13-8125702 | 501(C)(3) | 113,037 | | N/A | N/A | RESEARCH |
| HENRY JACKSON FOUND FOR THE ADVANCEMENT OF MILITAR 6720-A ROCKLEDGE DRIVE SUITE 100 BETHESDA, MD 20817 | 52-1317896 | 501(C)(3) | 135,427 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| HHARAT RIVERDALE 5901 PALISADE AVE RIVERDALE,NY 10471 | 13-1739971 | 501(C)(3) | 215,479 | | N/A | N/A | RESEARCH |
| HOSPITAL FOR SPECIAL CARE2150 CORBIN AVENUE NEW BRITAIN,CT 06053 | 06-0646766 | 501(C)(3) | 89,953 | | N/A | N/A | RESEARCH |

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|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| HOSPITAL FOR SPECIAL SURGERY535 EAST 70TH STREET NEW YORK,NY 10021 | 13-1624135 | 501(C)(3) | 154,713 | | N/A | N/A | RESEARCH |
| IN UNIVERSITYFINANCIAL MANAGEMENT SERVICES P O BOX 66057 INDIANAPOLIS,IN 46266 | 35-6001673 | 501(C)(3) | 433,812 | | N/A | N/A | RESEARCH |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| INSTR SOFTWARE TECH INCPO BOX 963 NEW PALTZ, NY 12561 | 14-1802784 | 501(C)(3) | 40,000 | | N/A | N/A | RESEARCH |
| JOHN HOPKINS UNIVERSITYCENTRAL LOCKBOX C/O BANK OF AMERICA 100 WEST 33RD NEW YORK, NY 10001 | 52-0595110 | 501(C)(3) | 149,423 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| JOHNS HOPKINS UNIVERSITY CENTRAL CENTRAL LOCKBOX C/O BANK OF AMERICA 12529 COLLECTIONS CHICAGO,IL 60693 | 15-2059511 | 501(C)(3) | 1,469,472 | | N/A | N/A | RESEARCH |
| JSI RES & TRAINING INST 44 FARNSWORTH STREET BOSTON,MA 02210 | 04-2679824 | 501(C)(3) | 153,748 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| KAISER FOUND RES INST 1800 HARRISON ST 16TH FLR OAKLAND,CA 94612 | 94-1105628 | 501(C)(3) | 507,621 | | N/A | N/A | RESEARCH |
| KUMC RES INSTKUMC RES INSTITUTE INC ATTN SPONSORED PROGRAMS ADMIN 390 KANSAS CITY,KS 66160 | 48-1108830 | 501(C)(3) | 11,819 | | N/A | N/A | RESEARCH |

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| LA BIOMEDICAL RES INST PO BOX 60637-TERMINAL ANNEX LOS ANGELES,CA 90060 | 95-2138184 | 501(C)(3) | 26,570 | | N/A | N/A | RESEARCH |
| LA STATE UNIVERSITY OFFICE OF ACCOUNTING SERVICES SPONSORED PROGRAM ACCOUNTING BATON ROUGE,LA 70803 | 72-6000848 | 501(C)(3) | 20,629 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| LEHIGH VALLEY HEALTH SERVFINANCE DEPARTMENT 2100 MACK BLVD 5TH FLOOR ALLENTOWN,PA 18103 | 23-1689692 | 501(C)(3) | 25,737 | | N/A | N/A | RESEARCH |
| LONG ISLAND UNIVERSITY 700 NORTHERN BLVD BROOKVILLE,NY 11548 | 11-1633516 | 501(C)(3) | 49,214 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| LOVELACE BIOMEDICAL & ENV2425 RIDGECREST DR SE ALBUQUERQUE,NM 87108 | 51-0154068 | 501(C)(3) | 29,445 | | N/A | N/A | RESEARCH |
| LSU HEALTH SCIENCES CTROFFICE OF SPONSORED PROGRAMS 1501 KINGS HIGHWAY 1-214 SHREVEPORT,LA 71103 | 72-0702002 | 501(C)(3) | 19,294 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| MA GEN HOSPITALBANK OF AMERICA MASS GEN HOSPITAL RESEARCH FINANCE BOSTON,MA 02241 | 04-1564655 | 501(C)(3) | 1,513,859 | | N/A | N/A | RESEARCH |
| MAGEE SCIENTIFIC1101 COWPER STREET BERKELEY,CA 94702 | 51-0620129 | 501(C)(3) | 68,902 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| MAYO CLINIC200 1ST STREET SW ROCHESTER,MN 55905 | 41-6011702 | 501(C)(3) | 111,127 | | N/A | N/A | RESEARCH |
| MAYO CLINIC JACKSONVILLE4500 SAN PABLO ROAD GRIFFIN MEDICAL RESEARCH JACKSONVILLE,FL 32224 | 59-3337028 | 501(C)(3) | 70,967 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| MCGRIPO BOX 945552 ATTN CLAIMS ATLANTA,GA 30394 | 58-1418202 | 501(C)(3) | 28,630 | | N/A | N/A | RESEARCH |
| ME GEOLOGICAL SURVEY STATE OF MAINE 22 STATE HOUSE STATION AUGUSTA,ME 04333 | 01-6000001 | 501(C)(3) | 67,072 | | N/A | N/A | RESEARCH |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| ME MED CTR22 BRAMHALL STREET PORTLAND, ME 04102 | 01-0238552 | 501(C)(3) | 100,503 | | N/A | N/A | RESEARCH |
| MED COLLEGE OF WI8701 WATERTOWN PLANK ROAD MILWAUKEE, WI 53226 | 39-0806261 | 501(C)(3) | 10,072 | | N/A | N/A | RESEARCH |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| MEDSTAR RES INSTBOX 632010 BALTIMORE, MD 21263 | 52-6056274 | 501(C)(3) | 8,400 | | N/A | N/A | RESEARCH |
| MEMORIAL SLOAN KETTERINGCANCER CTR FINANCE PO BOX 26338 GENERAL POST OFFICE NEW YORK, NY 10087 | 13-1924236 | 501(C)(3) | 471,411 | | N/A | N/A | RESEARCH |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| MI STATE UNIVERSITY218 W FEE HALL CTSI ATTN ANN SMITH EAST LANSING,MI 48824 | 38-6005984 | 501(C)(3) | 271,870 | | N/A | N/A | RESEARCH |
| MINNEAPOLIS HEART INST FOUND920 E 28TH STREET SUITE 100 MINNEAPOLIS,MN 55407 | 41-1426406 | 501(C)(3) | 136,200 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| MITCASHIERS OFFICE NE49-3077 77 MASSACHUSETTS AVENUE CAMBRIDGE,MA 02139 | 04-2103594 | 501(C)(3) | 736,087 | | N/A | N/A | RESEARCH |
| MONTEFIORE MED CNTR GPO 29581 NEW YORK,NY 10087 | 13-1740114 | 501(C)(3) | 99,000 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| MOREHOUSE SCH OF MEDICINE720 WESTVIEW DRIVE SW ATLANTA,GA 30310 | 58-1438873 | 501(C)(3) | 7,000 | | N/A | N/A | RESEARCH |
| MOUNT SINAI MEDICAL CTRONE GUSTAVE L LEVY PLACE BOX 3500 NEW YORK,NY 10029 | 13-1624096 | 501(C)(3) | 24,948 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| MOUNT SINAI SCH OF MEDICINE1 GUSTAVE LEVY PLACE NEW YORK,NY 10029 | 13-6171197 | 501(C)(3) | 1,034,516 | | N/A | N/A | RESEARCH |
| N CAROLINA A T STATE UNIVERSITYOFFICE OF CONTRACTS GRANTS 1601 E MARKET STREET GREENSBORO,NC 27411 | 56-6000007 | 501(C)(3) | 392,702 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| N SHORE LIJ HEALTH SYS FINANCE DEPT 972 BRUSH HOLLOW ROAD 5TH FLOOR WESTBURY,NY 11590 | 11-3418133 | 501(C)(3) | 180,389 | | N/A | N/A | RESEARCH |
| NATL AERONAUTICS & SPACE4800 OAK GROVE DRIVE ATTN RAYMOND WILKENS PASADENA,CA 91109 | 53-0201509 | 501(C)(3) | 237,737 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| NATL BUREAU OF ECONOMIC RES IN365 FIFTH AVENUE 5TH FLOOR SUITE 5318 NEW YORK,NY 10016 | 13-1641075 | 501(C)(3) | 51,898 | | N/A | N/A | RESEARCH |
| NATL JEWISH HEALTH 1400 JACKSON ST ROOM M213 ATTN DIANE SULLIVAN DENVER,CO 80206 | 74-2044647 | 501(C)(3) | 15,776 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| NATL OPINION RES CTR55 EAST MONROE STREET 20TH FLOOR CHICAGO,IL 60603 | 36-2167808 | 501(C)(3) | 350,088 | | N/A | N/A | RESEARCH |
| NAZARENE COMPASSIONATE12351 W 96TH TERRACE SUITE 100 LENEXA,KS 66215 | 43-1550318 | 501(C)(3) | 219,112 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| NC STATE UNIVERSITY OFFICE OF CONTRACTS AND GRANTS BOX 7214 RALEIGH,NC 27695 | 56-6000756 | 501(C)(3) | 104,065 | | N/A | N/A | RESEARCH |
| NEW AMER FOUND1899 L STREET NW SUITE 400 WASHINGTON,DC 20036 | 52-2096845 | 501(C)(3) | 17,133 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| NEW YORK ACADEMY OF MEDICINEBUSINESS OFFICE 1216 FIFTH AVENUE ROOM 600 NEW YORK, NY 10029 | 13-1656674 | 501(C)(3) | 148,312 | | N/A | N/A | RESEARCH |
| NEW YORK BOTANICAL GARDENS2900 SOUTHERN BLVD ATTN CELINETT RODRIQUEZ BRONX, NY 10458 | 13-1693134 | 501(C)(3) | 49,585 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| NEW YORK MED ALLIANCE 1400 PELHAM PARKWAY S BLD 1 3C15 ACP BRONX,NY 10461 | 13-4169311 | 501(C)(3) | 70,135 | | N/A | N/A | RESEARCH |
| NEW YORK MED COLLEGE 40 SUNSHINE COTTAGE UNIVERSITY REGISTRAR- ROOM 127 VALHALLA,NY 10595 | 13-1099420 | 501(C)(3) | 16,911 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| NEW YORK PRESBYTERIAN HOSPITAL525 EAST 68TH STREET BOX 156 NEW YORK,NY 10021 | 13-3957095 | 501(C)(3) | 446,136 | | N/A | N/A | RESEARCH |
| NEW YORK UNIVERSITY 726 BROADWAY 9TH FLOOR NEW YORK,NY 10003 | 13-5562308 | 501(C)(3) | 339,931 | | N/A | N/A | RESEARCH |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| NJ INST OF TECHPO BOX 18110 NEWARK, NJ 07191 | 22-6000910 | 501(C)(3) | 16,363 | | N/A | N/A | RESEARCH |
| NORTHERN CA INSTFOR RESEARCH AND EDUCATION INC 4150 CLEMENT STREET SAN FRANCISCO, CA 94121 | 94-3084159 | 501(C)(3) | 144,193 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| NORTHERN IL UNIVERSITY GRANT FISCAL ADMINISTRATION LOWDEN HALL 201 DEKALB,IL 60115 | 36-6008480 | 501(C)(3) | 55,908 | | N/A | N/A | RESEARCH |
| NORTHERN MANHATTAN PERINATALPARTNERSHIP 127 WEST 127TH STREET NEW YORK,NY 10027 | 13-3782555 | 501(C)(3) | 20,000 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| NORTHWESTERN UNIVERSITYACCOUNTING SERVICES FOR RESEARCH AN 750 N LAKE SHORE DRIVE 7TH FLOOR CHICAGO,IL 60611 | 36-2167817 | 501(C)(3) | 108,579 | | N/A | N/A | RESEARCH |
| NY BLOOD CTRACCOUNTS RECEIVABLE PO BOX 9674 UNIONDALE,NY 11553 | 13-1949477 | 501(C)(3) | 1,550,882 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| NY STEM CELL FOUND 1995 BROADWAY SUITE 600 NEW YORK,NY 10023 | 20-2905531 | 501(C)(3) | 506,286 | | N/A | N/A | RESEARCH |
| NYC DEPT HLTH & MENTAL HYGIENE125 WORTH STREET ROOM 204 NEW YORK,NY 10013 | 13-6400434 | 501(C)(3) | 217,065 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| NYHQ MED STAFF SOC56-45 MAIN STREET ATTN MEDICAL STAFF SOC FLUSHING,NY 11355 | 11-1839362 | 501(C)(3) | 90,418 | | N/A | N/A | RESEARCH |
| NYU SCH OF MEDICINE SPONSORED PROGRAMS PO BOX 415026 BOSTON,MA 02241 | 13-5562309 | 501(C)(3) | 1,362,065 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| OH STATE UNIVERSITY 1960 KENNY ROAD COLUMBUS,OH 43210 | 31-6025986 | 501(C)(3) | 100,502 | | N/A | N/A | RESEARCH |
| OR HEALTH & SCI UNIVERSITYOFFICE TECHNOLOGY RESEARCH COLLAB 2525 SW FIRST AVE SUITE 120 PORTLAND,OR 97201 | 93-1176109 | 501(C)(3) | 6,466 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| PACE UNIVERSITY78 N BROADWAY PACE ENERGY CLIMATE CENTER WHITE PLAINS,NY 10603 | 13-5562314 | 501(C)(3) | 47,759 | | N/A | N/A | RESEARCH |
| PACT INC1828 L STREET NW SUITE 300 WASHINGTON,DC 20036 | 13-2702768 | 501(C)(3) | 70,214 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| PATHFINDER INTL9 GALEN ST SUITE 217 WATERTOWN,MA 02472 | 53-0235320 | 501(C)(3) | 627,920 | | N/A | N/A | RESEARCH |
| PENN STATE UNIVERSITY RESEARCH ACCOUNTING 401 RIDER II BUILDING 227 WBEAVE STATE COLLEGE,PA 16801 | 24-6000376 | 501(C)(3) | 168,313 | | N/A | N/A | RESEARCH |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| POLYTECHNIC UNIVERSITY CONTRACTS AND GRANTS OFFICE PO BOX 353 SIX METROTECH CENTER BROOKLYN, NY 11201 | 11-1630820 | 501(C)(3) | 132,775 | | N/A | N/A | RESEARCH |
| PRINCETON UNIVERSITY ACCOUNTS PAYABLE DEPT 701 CARNEGIE CENTER SUITE 444 PRINCETON, NJ 08540 | 21-0634501 | 501(C)(3) | 229,461 | | N/A | N/A | RESEARCH |

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| PUB HEALTH INST555 12TH STREET 10TH FLOOR OAKLAND,CA 94607 | 94-1646278 | 501(C)(3) | 422,777 | | N/A | N/A | RESEARCH |
| PUB HEALTH SOLUTIONS INC220 CHURCH STREET 5TH FLOOR NEW YORK,NY 10013 | 13-5669201 | 501(C)(3) | 117,063 | | N/A | N/A | RESEARCH |

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|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| PUB PRIVATE VENTURES 2000 MARKET ST SUITE 550 PHILADELPHIA, PA 19103 | 23-2048721 | 501(C)(3) | 6,949 | | N/A | N/A | RESEARCH |
| PURDUE UNIVERSITY SPONSORED PROGRAM SERVICES 23510 NETWORK PLACE CHICAGO,IL 60673 | 35-6002041 | 501(C)(3) | 238,223 | | N/A | N/A | RESEARCH |

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|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| PURPLE CIRCLE DAY CARE 251 WEST 100TH STREET NEW YORK,NY 10022 | 13-3073319 | 501(C)(3) | 8,000 | | N/A | N/A | FINANCIAL AID |
| QUANTITATIVE IMAGING OF IA100 OAKDALE CAMPUS 221 TIC IOWA CITY,IA 52242 | 26-0767229 | 501(C)(3) | 5,904 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| QUEENS COMPREHENSIVE PERINATAL COUNCIL INC FAMILY DEV CENTER 111-06 MER JAMAICA,NY 11433 | 11-2870422 | 501(C)(3) | 272,197 | | N/A | N/A | RESEARCH |
| RANDFILE 53174 LOS ANGELES,CA 90074 | 95-1958142 | 501(C)(3) | 171,805 | | N/A | N/A | RESEARCH |

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| RARITAN BAY MED CTR 530 NEW BRUNSWICK AVENUE PERT AMBOY, NJ 08861 | 22-1494442 | 501(C)(3) | 46,794 | | N/A | N/A | RESEARCH |
| RED BALLOON DAY CARE CENTER INC 560 RIVERSIDE DRIVE NEW YORK, NY 10027 | 13-2772534 | 501(C)(3) | 6,000 | | N/A | N/A | FINANCIAL AID |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| REGENTS OF THE UNIVERSITY OF CA CASHIERS OFFICE P O BOX 989062 WEST SACREMENO, CA 95798 | 94-6036494 | 501(C)(3) | 240,879 | | N/A | N/A | RESEARCH |
| REGENTS OF THE UNIVERSITY OF CA EXTRAMURAL FUND ACCOUNTING 2195 HEARST AVE RM 130 1130 BERKELEY, CA 94720 | 94-6002123 | 501(C)(3) | 295,860 | | N/A | N/A | RESEARCH |

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| REGENTS OF THE UNIVERSITY OF CA ACCOUNTING OFFICE EMF BOX 0897 UNIVERSITY OF CALIF SAN FRANCISCO SAN FRANCISCO, CA 94143 | 94-6036493 | 501(C)(3) | 502,292 | | N/A | N/A | RESEARCH |
| REGENTS OF THE UNIVERSITY OF CA IRVINE CENTRAL CASHIER IRVINE, CA 92697 | 95-2226406 | 501(C)(3) | 1,241,885 | | N/A | N/A | RESEARCH |

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|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| REGENTS OF THE UNIVERSITY OF MICHBOX 223131 PITTSBURGH,PA 15251 | 38-6006309 | 501(C)(3) | 1,849,896 | | N/A | N/A | RESEARCH |
| REGENTS OF THE UNIVERSITY OF MINN SPONSERED FINANCIAL REPORTING 450 MCNAMARA ALUMI CENTER 200 OAK MINNEAPOLIS,MN 55455 | 41-6007513 | 501(C)(3) | 76,659 | | N/A | N/A | RESEARCH |

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|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| REGENTS UNIVERSITY OF CALIFORNIA BOX 951432 1125 MURPHY HALL 405 HILGARD AVENUE LOS ANGELES,CA 90095 | 95-6006143 | 501(C)(3) | 287,153 | | N/A | N/A | RESEARCH |
| RENAISSANCE HEALTH CARE NETWORK 115 WEST 116TH STREET NEW YORK,NY 10026 | 13-2655001 | 501(C)(3) | 5,500 | | N/A | N/A | JOURNAL PROJECT |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| RES FOUND FOR MENTAL HYGIENERIVERVIEW CENTER 150 BROADWAY SUITE 301 MENANDS, NY 12204 | 14-1410842 | 501(C)(3) | 4,166,157 | | N/A | N/A | RESEARCH |
| RES FOUND OF CUNY230 WEST 41ST STREET 7TH FLOOR NEW YORK, NY 10036 | 13-1988190 | 501(C)(3) | 663,843 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| RES FOUND OF STATE UNIVERSITY OF NYPO BOX 9 CASH RECEIPT DEPT ALBANY,NY 12201 | 14-1368361 | 501(C)(3) | 2,599,615 | | N/A | N/A | RESEARCH |
| RES INST NATIONWIDE CHILDRENS700 CHILDREN S DRIVE COLUMBUS,OH 43205 | 31-6056230 | 501(C)(3) | 12,151 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| RETINA FOUND OF THE SOUTHWEST9900 NCENTRAL EXPRESSWAY SUITE 400 DALLAS,TX 75231 | 51-0151514 | 501(C)(3) | 171,206 | | N/A | N/A | RESEARCH |
| REV LINNETTE C WILLIAMSON MEMORIAL PARK ASSOCIAT141 WEST 139TH STREET C44 NEW YORK,NY 10030 | 13-3861293 | 501(C)(3) | 6,000 | | N/A | N/A | SUPPLIES FOR YOUTH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| ROCKEFELLER UNIVERSITY1230 YORK AVE BOX 259 NEW YORK,NY 10065 | 13-1624158 | 501(C)(3) | 2,281,421 | | N/A | N/A | RESEARCH |
| ROCKY MOUNTAIN TREE RING RESEA2901 MOORE LANE FORT COLLINS,CO 80526 | 84-1413400 | 501(C)(3) | 45,436 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| ROWAN UNIVERSITY201 MULICA HILL RD GLASSBORO,NJ 08028 | 22-2764819 | 501(C)(3) | 27,970 | | N/A | N/A | RESEARCH |
| RUSH UNIVERSITY MED CTR1700 WEST VAN BUREN FUND ACCOUNTING ROOM 277 CHICAGO,IL 60612 | 36-2174823 | 501(C)(3) | 78,353 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| RUTGERS STATE UNIVERSITY3 RUTGERS PLAZA NEW BRUNSWICK,NJ 08901 | 22-6001086 | 501(C)(3) | 115,008 | | N/A | N/A | RESEARCH |
| RWANDA WORKS950 THRID AVENUA PO BOX 250782 NEW YORK,NY 10022 | 26-1844856 | 501(C)(3) | 14,997 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| SAINT PETERS UNIVERSITY HOSPITAL 254 EASTON AVENUE 4TH FLOOR MOB ROOM 4010 NEW BRUNSWICK, NJ 08901 | 22-1487330 | 501(C)(3) | 734,834 | | N/A | N/A | RESEARCH |
| SANFORD RES USD2301 EAST 60TH STREET NORTH SIOUX FALLS,SD 57104 | 46-0450378 | 501(C)(3) | 179,828 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| SAVE THE CHILDREN FEDERATIONINC 54 WILTON ROAD WESTPORT,CT 06880 | 06-0726487 | 501(C)(3) | 948,209 | | N/A | N/A | RESEARCH |
| SCHEPENS EYE RES INSTITUTE20 STANIFORD STREET BOSTON,MA 02114 | 04-2129889 | 501(C)(3) | 94,041 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| SCRIPPS RES INSTATTN OFF OF SPONSORED PROGRAMS 10550 NORTH TORREY PINES RD LA JOLLA,CA 92037 | 33-0435954 | 501(C)(3) | 57,648 | | N/A | N/A | RESEARCH |
| SEATTLE CHILDRENS HOSPITALSEATTLE CHILDRENS RESEARCH INSTITUT PO BOX 24728 SEATTLE,WA 98124 | 91-0564748 | 501(C)(3) | 161,944 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| SEATTLE INST BIOMEDICAL CLINICAL RESEARCH 1660 S COLUMBIAN WAY S-151F SEATTLE, WA 98108 | 91-1452438 | 501(C)(3) | 152,223 | | N/A | N/A | RESEARCH |
| SEATTLE UNIVERSITY901 12TH AVENUE SEATTLE, WA 98122 | 91-0565006 | 501(C)(3) | 32,895 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| SIERRA BIOMEDICAL RES CORP1000 LOCUST STREET RENO,NV 89502 | 88-0250268 | 501(C)(3) | 10,200 | | N/A | N/A | RESEARCH |
| SMITH COLLEGEOFFICE OF THE CONTROLLER COLLEGE HALL RM 204 10 ELM STREET NORTHAMPTON,MA 01063 | 04-1843040 | 501(C)(3) | 8,218 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| SMITHSONIAN INSTOSP CLEARING ACCOUNT 24411 NETWORK PLACE CHICAGO,IL 60673 | 53-0206027 | 501(C)(3) | 117,090 | | N/A | N/A | RESEARCH |
| SOUTHERN METHODIST UNIVERSITYGRANT AND CONTRACT ACCOUNTING P O BOX 750259 DALLAS,TX 75275 | 75-0800689 | 501(C)(3) | 616,838 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| SOUTHWEST RES INSTPO BOX 841671 DALLAS, TX 75284 | 74-1070544 | 501(C)(3) | 187,428 | | N/A | N/A | RESEARCH |
| SRI INTLP O BOX 2767 MENLO PARK, CA 94025 | 94-1160950 | 501(C)(3) | 71,132 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| ST JOHNS MERCY HEALTH SYS615 SOUTH NEW BALLAS ROAD ST LOUIS,MO 63141 | 43-0653493 | 501(C)(3) | 36,364 | | N/A | N/A | RESEARCH |
| ST LUKES FOUND CV CLINI RESEARST LUKE S HOPITAL/ MAHI CV RESEARCH DEPARTMENT 4401 WORNAL KANSAS CITY,MO 64111 | 44-6014699 | 501(C)(3) | 37,700 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| ST LUKES ROOSEVELT HOSPITAL555 W 57TH ST FUND ACCOUNTING DEPT NEW YORK,NY 10019 | 13-2999730 | 501(C)(3) | 213,867 | | N/A | N/A | RESEARCH |
| ST LUKES ROOSEVELT INST432 WEST 58TH STREET NEW YORK,NY 10019 | 13-2914343 | 501(C)(3) | 376,807 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| ST VINCENTS HOSPITAL MED CTR170 WEST 12TH STREET COMPREHENSIVE HIV CTR SANBORN BUIL NEW YORK, NY 10011 | 13-5562343 | 501(C)(3) | 12,654 | | N/A | N/A | RESEARCH |
| STANFORD UNIVERSITYPO BOX 44253 SAN FRANCISCO, CA 94144 | 94-1156365 | 501(C)(3) | 394,154 | | N/A | N/A | RESEARCH |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| STEVENS INST OF TECH CASTLE PT ON THE HUDSON HOBOKEN, NJ 07030 | 22-1487354 | 501(C)(3) | 12,025 | | N/A | N/A | RESEARCH |
| STRUCTURED EMPLOYMENT ECO DEV 915 BROADWAY 17TH FLOOR NEW YORK, NY 10010 | 13-2875743 | 501(C)(3) | 45,000 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| SUFFOLK COUNTY PERINATAL COALITION INC 475 EAST MAIN STREET SUITE 207 PATCHOGUE,NY 11772 | 11-2978393 | 501(C)(3) | 219,117 | | N/A | N/A | RESEARCH |
| SUNY DOWNSTATE MED CNTR450 CLARKSON AVE 98 BSB 1-112 BROOKLYN,NY 11203 | 14-6013200 | 501(C)(3) | 39,168 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| SURVEY RES MGT5775 CENTRAL AVE SUITE 225 BOULDER,CO 80301 | 84-1277395 | 501(C)(3) | 43,761 | | N/A | N/A | RESEARCH |
| SYMANTEC CORPFILE 32168 PO BOX 60000 SAN FRANCISCO,CA 94160 | 77-0181864 | 501(C)(3) | 112,500 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| TEACHERS COLLEGE525 W 120TH STREET BOX 30 NEW YORK,NY 10027 | 13-1624202 | 501(C)(3) | 1,011,189 | | N/A | N/A | RESEARCH |
| TECHNOSERVE INC148 EAST AVENUE SUITE 3H NORWALK,CT 06851 | 13-2626135 | 501(C)(3) | 18,659 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| TEMPLE UNIVERSITYCASH OPERATIONS 043-13 CARNELL HALL 1803 N BROAD STREET PHILADELPHIA,PA 19122 | 23-1365971 | 501(C)(3) | 42,265 | | N/A | N/A | RESEARCH |
| THE UNIVERSITY OF CHICAGOFINANCIAL SERVICES 6054 SOUTH DREXEL AVENUE CHICAGO,IL 60637 | 36-2177139 | 501(C)(3) | 1,726,224 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| THE UNIVERSITY OF NC AT GREENSBOROCONTRACT AND GRANTS PO BOX 26170 GREENSBORO,NC 27402 | 56-6001468 | 501(C)(3) | 50,792 | | N/A | N/A | RESEARCH |
| THOMAS JEFFERSON UNIVERSITYSPONSORED PROGRAMS ACCT OFF 1020 WALNUT ST RM 525 SCOTT BLDG PHILADELPHIA,PA 19107 | 23-1352651 | 501(C)(3) | 323,299 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| TIGR9712 MEDICAL CENTER DR ROCKVILLE,MD 20850 | 52-1784418 | 501(C)(3) | 13,400 | | N/A | N/A | RESEARCH |
| TOP HONORS INC323 WEST 75TH STREET 4E NEW YORK,NY 10023 | 75-3062601 | 501(C)(3) | 9,000 | | N/A | N/A | TUTORING SUPPLIES |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| TRANSLATIONAL GENOMICS RES INS445 N FIFTH STREET SUITE 600 PHOENIX,AZ 85004 | 75-3065445 | 501(C)(3) | 210,994 | | N/A | N/A | RESEARCH |
| TRISTATE MED GROUP INC 605 SHARON ROAD BEAVER,PA 15009 | 25-1775949 | 501(C)(3) | 8,400 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| TRUSTEES OF THE UNIVERSITY OF PENNTHE TRUSTEES OF THE UNIVERSITY OF P 3451 WALNUT STREET - ROOM 329 PHILADELPHIA, PA 19104 | 23-1352685 | 501(C)(3) | 213,116 | | N/A | N/A | RESEARCH |
| TUFTS UNIVERSITY SPONSORED PROGRAMS ACCOUNTING 169 HOLLAND STREET SOMEVILLE, MA 02144 | 04-2103634 | 501(C)(3) | 39,719 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| TULANE UNIVERSITY GRANTS AND CONTRACTS ACCT 800 EAST COMMERCE RD SUITE 203 HARAHAN,LA 70123 | 72-0423889 | 501(C)(3) | 11,196 | | N/A | N/A | RESEARCH |
| TX TECH UNIVERSITY HSC PO BOX 41105 LUBBOCK,TX 79409 | 75-6002622 | 501(C)(3) | 8,241 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| UC REGENTSUCSD CENTRAL CASHIER 9500 GILMAN DR LA JOLLA,CA 92093 | 95-6006144 | 501(C)(3) | 294,879 | | N/A | N/A | RESEARCH |
| UGA RES FOUND INC CONTRACTS GRANTS DIV 475 NORTH LUMPKIN STREET ATHENS,GA 30602 | 58-1353149 | 501(C)(3) | 18,488 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| UMASS AMHERST140 HICKS WAY ACCOUNTS PAYABLE 405 GOODELL BUILD AMHERST,MA 01003 | 04-3167352 | 501(C)(3) | 212,599 | | N/A | N/A | RESEARCH |
| UMDNJFXB CENTER 65 BERGEN STREET SSB GA41 NEWARK,NJ 07101 | 22-1775306 | 501(C)(3) | 670,555 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| UMDNJ NJMSDIV OF CARDIOLOGY 185 SOUTH ORANGE AVE MSB I-538 NEWARK,NJ 07103 | 12-2177530 | 501(C)(3) | 1,757,668 | | N/A | N/A | RESEARCH |
| UNC AT CHAPEL HILL384 MEDICAL SCHOOL WIND D ATTN FRED JARSKOG CHEPEL HILL,NC 27599 | 56-6001393 | 501(C)(3) | 978,846 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| UNIVERSITY OF AL AT BIRMINGHAMGRANTS CONTRACTS ACCOUNTING 1530 3RD AVENUE S BIRMINGHAM,AL 35294 | 63-6005396 | 501(C)(3) | 103,640 | | N/A | N/A | RESEARCH |
| UNIVERSITY OF AR TREASURER S OFFICE PO BOX 1404 FAYETTEVILLE,AR 72702 | 71-6003252 | 501(C)(3) | 451,741 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| UNIVERSITY OF ARIZONA FRS401020 PO BOX 3520 TUCSON,AZ 85722 | 86-6004791 | 501(C)(3) | 35,611 | | N/A | N/A | RESEARCH |
| UNIVERSITY OF CA SANTA CRUZCSC CASHIER OFF 1156 HIGH ST SANTA CRUZ,CA 95064 | 94-1539563 | 501(C)(3) | 315,123 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| UNIVERSITY OF CINCINNATIACCOUTANTS REC PO BOX 691031 CINCINNATI,OH 45269 | 31-6000989 | 501(C)(3) | 166,343 | | N/A | N/A | RESEARCH |
| UNIVERSITY OF COLORADOHEALTH SCI CTR OFF OF GRANTS CONTRACTS F428 PO DENVER,CO 80291 | 84-6000555 | 501(C)(3) | 281,084 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| UNIVERSITY OF FL CONTRACTS GRANTS ACCOUNTING SERVI PO BOX 113001 GAINESVILLE,FL 32611 | 59-6001874 | 501(C)(3) | 381,474 | | N/A | N/A | RESEARCH |
| UNIVERSITY OF FLPO BOX 113001 123 GRINTER HALL GAINESVILLE,FL 32611 | 59-6002052 | 501(C)(3) | 31,857 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| UNIVERSITY OF IA UNIVERSITY OF IA BUS OFF B5 JESSUP HALL IOWA CITY,IA 52242 | 42-6004813 | 501(C)(3) | 99,095 | | N/A | N/A | RESEARCH |
| UNIVERSITY OF IL AT CHICAGOGRANTS CONTRACTS PO BOX 20787 SPRINGFIELD,IL 62708 | 37-6000511 | 501(C)(3) | 218,306 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV , appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| UNIVERSITY OF KS CTR FOR RES INCACCOUNTS RECEIVABLE 2385 IRVING HILL RD LAWRENCE,KS 66044 | 48-0680117 | 501(C)(3) | 37,236 | | N/A | N/A | RESEARCH |
| UNIVERSITY OF KY RES FOUND109 KINKEAD HALL LEXINGTON,KY 40506 | 61-6033693 | 501(C)(3) | 41,826 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV , appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| UNIVERSITY OF MA MED SCHBURSARS OFFICE 55 LAKE AVENUE NORTH WORCHESTER,MA 01605 | 82-5530000 | 501(C)(3) | 13,336 | | N/A | N/A | RESEARCH |
| UNIVERSITY OF MDOFF OF THE COMPTROLLER CONTRACTS GRANTS ACCOUNTING 4101 COLLEGE PARK,MD 20742 | 52-6002033 | 501(C)(3) | 116,599 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| UNIVERSITY OF MIAMI SPONSORED PROGRAMS PO BOX 025405 MIAMI,FL 33102 | 59-0624458 | 501(C)(3) | 673,159 | | N/A | N/A | RESEARCH |
| UNIVERSITY OF MO COLUMBIA ARP O BOX 807012 KANSAS CITY,MO 64180 | 43-6003859 | 501(C)(3) | 149,833 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV , appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| UNIVERSITY OF NEW H OFFICE OF SPONSORED RESEARCH SERVICE BUILDING ROOM 109 DURHAM,NH 03824 | 02-6000937 | 501(C)(3) | 80,613 | | N/A | N/A | RESEARCH |
| UNIVERSITY OF PAP-221 FRANKLIN BUILDING 3451 WALNUT STREET PHILADELPHIA,PA 19104 | 12-3125268 | 501(C)(3) | 79,094 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| UNIVERSITY OF PITTSBURGHPO BOX 371220 PITTSBURGH, PA 15251 | 25-0965591 | 501(C)(3) | 350,413 | | N/A | N/A | RESEARCH |
| UNIVERSITY OF ROCHESTER601 ELMWOOD AVENUE DEPT OF CARDIOLOGY BOX 679 ROCHESTER,NY 14642 | 16-0743209 | 501(C)(3) | 88,035 | | N/A | N/A | RESEARCH |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| UNIVERSITY OF ROCHESTER910 GENESEE STREET SUITE 210 BROOKS LANDING BUSINESS CENTER ROCHESTER, NY 14611 | 00-0007239 | 501(C)(3) | 9,314 | | N/A | N/A | RESEARCH |
| UNIVERSITY OF S FLPO BOX 864568 RESEARCH PROJECTS RECEIVABLES ORLANDO, FL 32886 | 59-3102112 | 501(C)(3) | 180,597 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| UNIVERSITY OF SOUTHERN CA SPONSORED PROJECTS ACCOUNTING FILE NO 52095 LOS ANGELES,CA 90074 | 95-1642394 | 501(C)(3) | 69,000 | | N/A | N/A | RESEARCH |
| UNIVERSITY OF SOUTHERN ME96 FALMOUTH STREET PO BOX 9300 PORTLAND,ME 04104 | 01-6000769 | 501(C)(3) | 113,130 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV , appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| UNIVERSITY OF TN BURSARS OFFICE 210 STUDENT SERV BLDG KNOXVILLE,TN 37996 | 62-6001636 | 501(C)(3) | 6,906 | | N/A | N/A | RESEARCH |
| UNIVERSITY OF TX AT ARLINGTONOFFICE OF ACCOUNTING BUS SER BOX 19136 ARLINGTON,TX 76019 | 75-6000121 | 501(C)(3) | 1,191,133 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| UNIVERSITY OF TX AT AUSTINPOBOX 7159 AUSTIN, TX 78713 | 74-6000203 | 501(C)(3) | 368,486 | | N/A | N/A | RESEARCH |
| UNIVERSITY OF TX MED BRANCHAMEGY BANK OF TEXAS PO BOX 4786-750 HOUSTON,TX 77210 | 74-6000949 | 501(C)(3) | 251,635 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| UNIVERSITY OF TX SOUTHWESTERN GRANTS ACCTING PO BOX 841765 DALLAS, TX 75284 | 00-0155944 | 501(C)(3) | 88,658 | | N/A | N/A | RESEARCH |
| UNIVERSITY OF TX SOUTHWESTERN MEDUT SOUTHWESTERN PO BOX 841753 DALLAS, TX 75284 | 75-6002868 | 501(C)(3) | 26,310 | | N/A | N/A | RESEARCH |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| UNIVERSITY OF UTAH ACCTING 201 S PRESIDENTS CIR RM 406 SALT LAKE CITY, UT 84112 | 87-6000525 | 501(C)(3) | 35,241 | | N/A | N/A | RESEARCH |
| UNIVERSITY OF VA ATTNOFF OF SPONSORED PROGRAMS PO BOX 400195 CHARLOTTESVILLE, VA 22904 | 54-6001796 | 501(C)(3) | 102,955 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| UNIVERSITY OF VTAND STATE AGRICULTURAL COLLEGE GRANT CONTRACT ACCOUNTING WATERM BURLINGTON,VA 05405 | 03-0179440 | 501(C)(3) | 124,863 | | N/A | N/A | RESEARCH |
| UNIVERSITY OF WAGRANT CONTRACT ACCOUNTING 3917 UNIVERSITY WAY NE SEATTLE,WA 98105 | 91-6001537 | 501(C)(3) | 542,229 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV , appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| VANDERBILT UNIVERSITY 2200 CHILDREN S WAY 11124 DOCTOR S OFFICE TOWER NASHVILLE,TN 37232 | 62-0476822 | 501(C)(3) | 310,388 | | N/A | N/A | RESEARCH |
| VASSAR COLLEGE ACCOUNTING SERVICES 124 RAYMOND AVENUE BOX 12 POUGHKEEPSIE,NY 12604 | 14-1338587 | 501(C)(3) | 14,812 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| VERITAS THERAPEUTIC FOUNDATION INC912 AMSTERDAM AVENUE NEW YORK,NY 10025 | 13-3082021 | 501(C)(3) | 7,500 | | N/A | N/A | STAFF DEVELOPMENT |
| W HARLEM ENVIRONMENTAL ACTION 1854 AMSTERDAM AVENUE 2ND FLOOR NEW YORK,NY 10031 | 13-3800068 | 501(C)(3) | 134,401 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| WA ADVENTIST STUDY SIT PO BOX 64147 BALTIMORE,MD 21264 | 52-1532556 | 501(C)(3) | 28,400 | | N/A | N/A | RESEARCH |
| WA UNIVERSITY SPONSORED PROJECTS 700 ROSEDALE AVENUE ST LOUIS,MO 63112 | 43-0653611 | 501(C)(3) | 375,080 | | N/A | N/A | RESEARCH |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| WAKE FOREST UNIVERSITYHEALTH SCIENCES CONTROLLER S OFFICE MEDICAL CENTER WINSTON SALEM, NC 27157 | 56-0532138 | 501(C)(3) | 16,861 | | N/A | N/A | RESEARCH |
| WENDY HILLIARD FOUNDATION792 COLUMBUS AVENUE 17T NEW YORK, NY 10025 | 13-3879321 | 501(C)(3) | 10,000 | | N/A | N/A | GYM RENTAL |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| WEST SIDE CAMPAIGN AGAINST HUNGER263 WEST 86TH STREET NEW YORK,NY 10024 | 13-1635259 | 501(C)(3) | 10,000 | | N/A | N/A | SUPPLIES FOR PANTRY |
| WESTERN ENVIRONMENTAL TECH LAB16326 COLLECTIONS CENTER DRIVE CHICAGO,IL 60693 | 93-1072453 | 501(C)(3) | 325,793 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| WILLIAM S MIDDLETON MEM2500 OVERLOOK TERRACE MADISON,WI 53705 | 74-1612229 | 501(C)(3) | 7,977 | | N/A | N/A | RESEARCH |
| WOMENS COMMITTEE FOR REFUGEEWOMEN CHILDREN C/O IRC 122 E 42ND STREET 12 FL NEW YORK,NY 10168 | 13-5660870 | 501(C)(3) | 83,697 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| WOODS HOLE OCEANOGRAPHIC INST ACCOUNTS RECEIVABLE MS 14 569 WOODS HOLE ROAD WOODS HOLE,MA 02543 | 04-2105850 | 501(C)(3) | 429,814 | | N/A | N/A | RESEARCH |
| WORLD VISION INC34834 WEYERHAEUSER WAY S FEDERAL WAY,WA 98001 | 95-1922279 | 501(C)(3) | 100,730 | | N/A | N/A | RESEARCH |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| YALE UNIVERSITY55 WHITNEY AVENUE PO BOX 208351 INTL EDU FELLOWSHI NEW HAVEN,CT 06520 | 06-0646973 | 501(C)(3) | 1,831,083 | | N/A | N/A | RESEARCH |
| CARE151 ELLIS ST ATLANTA,GA 30303 | 13-1685039 | 501(C)(3) | 957,107 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| ALBERT EINSTEIN MED COLLEGE5401 OLD YORK ROAD SUITE 363/304 PHILADELPHIA, PA 19141 | 23-2664784 | 501(C)(3) | 6,700 | | N/A | N/A | RESEARCH |
| AMER REFUGEE COMM430 OAK GROVE STREET SUITE 204 MINNEAPOLIS,MN 55403 | 36-3241033 | 501(C)(3) | 1,476,114 | | N/A | N/A | RESEARCH |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| FUND FOR PUBLIC HEALTH IN NY22 CORTLANDT ST SUITE 1103 NEW YORK,NY 10007 | 05-0539199 | 501(C)(3) | 40,000 | | N/A | N/A | |

Schedule J
(Form 990)

Compensation Information

OMB No 1545-0047

2010

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I

Questions Regarding Compensation

| | | | |
|----|--|-----|-----|
| | | Yes | No |
| 1a | Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. <div><div><input type="checkbox"/> First-class or charter travel</div><div><input checked="" type="checkbox"/> Travel for companions</div><div><input checked="" type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Discretionary spending account</div><div><input checked="" type="checkbox"/> Housing allowance or residence for personal use</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input type="checkbox"/> Health or social club dues or initiation fees</div><div><input checked="" type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div> | | |
| b | If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain | 1b | Yes |
| 2 | Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a? | 2 | Yes |
| 3 | Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply. <div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input checked="" type="checkbox"/> Form 990 of other organizations</div><div><input type="checkbox"/> Written employment contract</div><div><input checked="" type="checkbox"/> Compensation survey or study</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div> | | |
| 4 | During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization: <div><div>a Receive a severance payment or change-of-control payment from the organization or a related organization?</div><div>b Participate in, or receive payment from, a supplemental nonqualified retirement plan?</div><div>c Participate in, or receive payment from, an equity-based compensation arrangement?</div></div> If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III | 4a | No |
| | | 4b | Yes |
| | | 4c | No |
| | | | |
| 5 | Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9. For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: <div><div>a The organization?</div><div>b Any related organization?</div></div> If "Yes," to line 5a or 5b, describe in Part III | 5a | Yes |
| | | 5b | No |
| 6 | For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: <div><div>a The organization?</div><div>b Any related organization?</div></div> If "Yes," to line 6a or 6b, describe in Part III | 6a | No |
| | | 6b | No |
| | | | |
| 7 | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III | 7 | Yes |
| 8 | Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53.4958-4(a)(3)? If "Yes," describe in Part III | 8 | Yes |
| 9 | If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)? | 9 | Yes |

Part II

Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

| (A) Name | | (B) Breakdown of W-2 and/or 1099-MISC compensation | | | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation reported in prior Form 990 or Form 990-EZ |
|---------------------------|--|--|-------------------------------------|-------------------------------------|--|-------------------------|---------------------------------|--|
| | | (i) Base compensation | (ii) Bonus & incentive compensation | (iii) Other reportable compensation | | | | |
| See Additional Data Table | | | | | | | | |
| (2) | | | | | | | | |
| (3) | | | | | | | | |
| (4) | | | | | | | | |
| (5) | | | | | | | | |
| (6) | | | | | | | | |
| (7) | | | | | | | | |
| (8) | | | | | | | | |
| (9) | | | | | | | | |
| (10) | | | | | | | | |
| (11) | | | | | | | | |
| (12) | | | | | | | | |
| (13) | | | | | | | | |
| (14) | | | | | | | | |
| (15) | | | | | | | | |
| (16) | | | | | | | | |

Part III **Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

| Identifier | Return Reference | Explanation |
|---------------------------------------|------------------|--|
| SUPPLEMENTAL COMPENSATION INFORMATION | | <p>NOTES TO PART I, LINE 1A TRAVEL FOR COMPANIONS IN CERTAIN CIRCUMSTANCES, THE SPOUSE OF THE PRESIDENT OR OTHER KEY EMPLOYEE MAY TRAVEL FOR UNIVERSITY PURPOSES. EXAMPLES OF SUCH TRAVEL INCLUDE ATTENDANCE AT FUNDRAISING OR ALUMNI EVENTS, AS WELL AS REPRESENTING THE UNIVERSITY AT AWARDS PRESENTATIONS OR INAUGURATIONS. IN ACCORDANCE WITH APPLICABLE LEGAL STANDARDS, THE UNIVERSITY WILL PAY FOR SPOUSAL TRAVEL AS A REGULAR BUSINESS EXPENSE IF THE SPOUSAL TRAVEL SERVES A "BONA FIDE BUSINESS PURPOSE" OF THE UNIVERSITY. TAX INDEMNIFICATION AND GROSS-UP PAYMENTS AS APPROVED BY THE BOARD OF TRUSTEES, THE UNIVERSITY PAYS CERTAIN MEDICAL, DENTAL AND DISABILITY INSURANCE PREMIUMS ON THE PRESIDENT'S BEHALF, INCLUDING A TAX GROSS-UP ON THAT AMOUNT. THE TOTAL AMOUNT FOR PREMIUMS AND THE TAXES THEREON \$13,556 IS SHOWN IN COLUMN (B)(III) AS OTHER REPORTABLE COMPENSATION. HOUSING ALLOWANCE OR RESIDENCE FOR PERSONAL USE/PERSONAL SERVICES AS A CONDITION OF EMPLOYMENT, THE PRESIDENT IS REQUIRED TO LIVE IN A HOME ON THE UNIVERSITY'S CAMPUS WHICH IS FURNISHED AND MAINTAINED AT THE UNIVERSITY'S EXPENSE. THE PRESIDENT'S HOUSING HAS BEEN VALUED AT \$333,937 AND IS REPORTED AS A NON-TAXABLE BENEFIT. THE UNIVERSITY ALSO PROVIDES A CAR AND DRIVER TO BE USED BY THE PRESIDENT IN CONNECTION WITH HIS DUTIES. THE PRESIDENT IS RESPONSIBLE FOR ANY PERSONAL USE OF THE HOUSEHOLD STAFF OR CAR AND DRIVER AND OTHER PERSONAL EXPENSES. PERSONAL SERVICES OTHER REPORTABLE COMPENSATION INCLUDES \$5,546 IMPUTED TO DR. GOLDMAN WITH RESPECT TO PERSONAL USE OF A CAR AND DRIVER PROVIDED BY THE UNIVERSITY (SEE PART I, COLUMN (B)(III)).</p> <p>NOTE TO PART I, LINE 4B THE UNIVERSITY HAS AGREED TO PROVIDE PRESIDENT BOLLINGER WITH SUPPLEMENTAL RETIREMENT INCOME BASED ON CUMULATIVE ANNUAL CREDITS INCLUDING AMOUNTS FOR ACHIEVING PERFORMANCE MEASURES, SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICES TO THE UNIVERSITY. CREDITS AWARDED DURING THE CALENDAR YEAR REPORTING PERIOD \$583,333 ARE INCLUDED AS DEFERRED COMPENSATION. NOTE TO PART I, LINE 5A DR. DAVID SILVERS' COMPENSATION IS COMPRISED OF BASE COMPENSATION AND INCENTIVE COMPENSATION DETERMINED FROM HIS PERFORMANCE OF, AND REVENUES FROM, CLINICAL DUTIES IN THE UNIVERSITY'S DERMATOPATHOLOGY PRACTICE WHERE HE IS THE DIRECTOR, AND IS SUBJECT TO A CAP FOR EACH ACADEMIC YEAR. NOTE TO PART I, LINE 7 NOTE TO N. P. NARVEKAR AND PETER HOLLAND'S COMPENSATION THE UNIVERSITY HAS IMPLEMENTED A BONUS PROGRAM UNDER WHICH MR. NARVEKAR AND MR. HOLLAND ARE AWARDED PERFORMANCE-BASED BONUSES, A PORTION OF WHICH IS PAID CURRENTLY AND A PORTION OF WHICH IS SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICE WITH THE UNIVERSITY. BONUS AMOUNTS AWARDED AND DEFERRED FROM PREVIOUS PERIODS WITH EARNINGS THEREON PAID DURING THE CALENDAR YEAR REPORTING PERIOD ARE INCLUDED AS BONUS AND INCENTIVE COMPENSATION IN COLUMN B (II). AMOUNTS AWARDED DURING THE CALENDAR YEAR REPORTING PERIOD BUT SUBJECT TO VESTING ARE INCLUDED AS DEFERRED COMPENSATION IN COLUMN C. COLUMN (F) COMPENSATION REPORTED IN PRIOR FORM 990. THIS AMOUNT IS ALSO INCLUDED IN COLUMN (E), PART II. THEREFORE, THE NUMBER IN COLUMN E REPRESENTS SOME DOUBLE REPORTING. THE ACTUAL AMOUNT EARNED DURING THE CALENDAR YEAR REPORTING PERIOD (CALCULATED BY SUBTRACTING COLUMN F FROM COLUMN E) IS \$2,803,017 FOR MR. NARVEKAR AND \$2,519,168 FOR MR. HOLLAND. PART I, LINES 8 AND 9 THE APPOINTMENT OF PROVOST CLAUDE STEELE WAS ELIGIBLE FOR TREATMENT UNDER THE INITIAL CONTRACT EXCEPTION DESCRIBED IN IRS REGULATIONS 53.4958-4(A)(3), AND THE UNIVERSITY ALSO FOLLOWED THE REBUTTABLE PRESUMPTION PROCEDURE DESCRIBED IN IRS REGULATIONS SECTION 53.4958-6(C). NOTE TO PROVOST BRINKLEY'S COMPENSATION PROVOST BRINKLEY STEPPED DOWN ON AUGUST 30, 2010. NOTE TO MS. FEAGIN'S COMPENSATION MS. FEAGIN STEPPED DOWN AS EXECUTIVE VICE PRESIDENT ON DECEMBER 31, 2010 AND BECAME SPECIAL ADVISOR TO THE PRESIDENT EFFECTIVE JANUARY 1, 2011. NOTE TO DR. GOLDMAN'S COMPENSATION THE UNIVERSITY HAS AGREED TO DR. GOLDMAN WITH SUPPLEMENTAL RETIREMENT INCOME BASED ON CUMULATIVE ANNUAL CREDITS INCLUDING AMOUNTS FOR ACHIEVING PERFORMANCE MEASURES, SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICES TO THE UNIVERSITY. CREDITS AWARDED FOR THE CALENDAR YEAR REPORTING PERIOD (\$480,000) ARE INCLUDED AS DEFERRED COMPENSATION. NOTE TO MR. VAN SICKLE'S COMPENSATION MR. VAN SICKLE BECAME EXECUTIVE VICE PRESIDENT ON JANUARY 1, 2011. NOTE TO KENNETH FORDE'S COMPENSATION KENNETH FORDE'S REPORTED BASE COMPENSATION WAS PAID FROM A DEFERRED COMPENSATION AGREEMENT IN EFFECT WHEN DR. FORDE WAS A PROFESSOR OF SURGERY AT THE UNIVERSITY AND THE REPORTED NON-TAXABLE BENEFITS REFLECT BENEFITS FROM PARTICIPATION IN A RETIREE BENEFITS PLAN. DR. FORDE IS RETIRED FROM HIS UNIVERSITY POSITION AND RECEIVES NO COMPENSATION OR OTHER BENEFITS FOR HIS DUTIES AS A TRUSTEE. NOTE TO DR. MOSES', DR. SMITH'S, DR. BACHA'S, & DR. QUAEGEBEUR'S COMPENSATION THE COMPENSATION OF DR. MOSES, DR. SMITH, DR. BACHA, AND DR. QUAEGEBEUR IS SUPPORTED BY THEIR CLINICAL ACTIVITIES, GRANTS, AND SUPPORT FROM THE UNIVERSITY'S HOSPITAL AFFILIATES. NOTE ON TRUSTEES' TIME DEVOTED TO POSITION (3 HOURS PER WEEK) REPRESENTS AN AVERAGE FOR ALL TRUSTEES BASED ON ATTENDANCE AT QUARTERLY MEETINGS AND REGULARLY SCHEDULED TELEPHONIC CONFERENCES THROUGHOUT THE YEAR. BOARD OFFICERS, COMMITTEE CHAIRS AND OTHER TRUSTEES MAY DEVOTE SIGNIFICANTLY MORE TIME TO THE POSITION.</p> |

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

| (A) Name | | (B) Breakdown of W-2 and/or 1099-MISC compensation | | | (C) Deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation reported in prior Form 990 or Form 990-EZ |
|--------------------------|-------------|--|-------------------------------------|--------------------------|---------------------------|-------------------------|---------------------------------|--|
| | | (i) Base Compensation | (ii) Bonus & incentive compensation | (iii) Other compensation | | | | |
| LEE C BOLLINGER | (i) (ii) | 950,625 0 | 0 0 | 13,556 0 | 608,618 0 | 360,132 0 | 1,932,931 0 | 0 0 |
| JANE E BOOTH | (i) (ii) | 466,352 0 | 0 0 | 0 0 | 25,285 0 | 24,802 0 | 516,439 0 | 0 0 |
| ALAN BRINKLEY | (i) (ii) | 448,308 0 | 0 0 | 13,333 0 | 37,535 0 | 18,734 0 | 517,910 0 | 0 0 |
| JEROME DAVIS | (i) (ii) | 250,942 0 | 0 0 | 0 0 | 25,285 0 | 12,051 0 | 288,278 0 | 0 0 |
| NICHOLAS B DIRKS | (i) (ii) | 421,968 0 | 0 0 | 462 0 | 25,285 0 | 34,248 0 | 481,963 0 | 0 0 |
| SUSAN K FEAGIN | (i) (ii) | 503,092 0 | 0 0 | 0 0 | 25,285 0 | 7,650 0 | 536,027 0 | 0 0 |
| DR LEE GOLDMAN MD | (i) (ii) | 932,994 0 | 0 0 | 75,146 0 | 505,285 0 | 13,374 0 | 1,526,799 0 | 0 0 |
| PETER HOLLAND | (i) (ii) | 727,569 0 | 1,375,305 0 | 1,787,868 0 | 25,285 0 | 30,939 0 | 3,946,966 0 | 1,375,305 0 |
| JOSEPH A IENUSO | (i) (ii) | 400,952 0 | 0 0 | 40 0 | 25,285 0 | 65,086 0 | 491,363 0 | 0 0 |
| ROBERT KASDIN | (i) (ii) | 659,634 0 | 0 0 | 0 0 | 25,285 0 | 33,986 0 | 718,905 0 | 0 0 |
| NIRMAL NARVEKAR | (i) (ii) | 812,109 0 | 1,530,269 0 | 1,989,317 0 | 25,285 0 | 26,951 0 | 4,383,931 0 | 1,530,269 0 |
| ANNE R SULLIVAN | (i) (ii) | 362,037 0 | 0 0 | 0 0 | 20,623 0 | 11,511 0 | 394,171 0 | 0 0 |
| CLAUDE M STEELE | (i) (ii) | 552,284 0 | 0 0 | 134 0 | 25,285 0 | 24,262 0 | 601,965 0 | 0 0 |
| FREDERICK M VAN SICKLE | (i) (ii) | 322,145 0 | 0 0 | 0 0 | 25,285 0 | 41,762 0 | 389,192 0 | 0 0 |
| KENNETH A FORDE MD | (i) (ii) | 789,000 0 | 0 0 | 0 0 | 0 0 | 2,592 0 | 791,592 0 | 0 0 |
| DAVID N SILVERS | (i) (ii) | 2,997,648 0 | 1,965,331 0 | 0 0 | 30,302 0 | 9,107 0 | 5,002,388 0 | 0 0 |
| JEFFREY W MOSES | (i) (ii) | 3,039,632 0 | 0 0 | 0 0 | 24,302 0 | 28,619 0 | 3,092,553 0 | 0 0 |
| CRAIG R SMITH | (i) (ii) | 2,074,964 0 | 0 0 | 0 0 | 34,469 0 | 39,138 0 | 2,148,571 0 | 0 0 |
| EMILE BACHA | (i) (ii) | 1,489,448 0 | 0 0 | 147,000 0 | 28,347 0 | 23,099 0 | 1,687,894 0 | 0 0 |
| JAN MODEST QUAEGEBEUR | (i) (ii) | 1,485,728 0 | 0 0 | 0 0 | 34,601 0 | 27,550 0 | 1,547,879 0 | 0 0 |

Part I Bond Issues

| (a) Issuer Name | (b) Issuer EIN | (c) CUSIP # | (d) Date Issued | (e) Issue Price | (f) Description of Purpose | (g) Defeased | | (h) On Behalf of Issuer | | (i) Pool financing | |
|--|----------------|-------------|-----------------|-----------------|-----------------------------|--------------|----|-------------------------|----|--------------------|----|
| | | | | | | Yes | No | Yes | No | Yes | No |
| A DORMITORY AUTHORITY OF STATE OF NEW YORK 2003A&B | 14-6000293 | 649901R49 | 03-05-2003 | 122,696,491 | FUND NEW QUALIFIED PROJECTS | | X | | X | | X |
| B DORMITORY AUTHORITY OF STATE OF NEW YORK 2004A | 14-6000293 | 64983TSW4 | 06-08-2004 | 75,547,466 | REFUND 1994A BONDS | | X | | X | | X |
| C DORMITORY AUTHORITY OF STATE OF NEW YORK 2004B&C | 14-6000293 | 64983TZD8 | 06-30-2004 | 158,627,817 | FUND NEW QUALIFIED PROJECTS | | X | | X | | X |
| D DORMITORY AUTHORITY OF STATE OF NEW YORK 2006A | 14-6000293 | 64983QUE7 | 01-18-2006 | 239,661,669 | FUND NEW QUALIFIED PROJECTS | | X | | X | | X |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |

Part II Proceeds

| | | A | | B | | C | | D | |
|----|--|-------------|----|------------|----|-------------|----|-------------|----|
| 1 | Amount of bonds retired | 24,655,000 | | 39,320,000 | | 26,385,000 | | 21,780,000 | |
| 2 | Amount of bonds legally defeased | 0 | | 0 | | 0 | | 0 | |
| 3 | Total proceeds of issue | 123,718,793 | | 75,547,466 | | 159,406,375 | | 246,367,334 | |
| 4 | Gross proceeds in reserve funds | 0 | | 0 | | 0 | | 0 | |
| 5 | Capitalized interest from proceeds | 0 | | 0 | | 0 | | 0 | |
| 6 | Proceeds in refunding escrow | 0 | | 0 | | 0 | | 0 | |
| 7 | Issuance costs from proceeds | 802,880 | | 0 | | 1,020,611 | | 1,516,855 | |
| 8 | Credit enhancement from proceeds | 0 | | 0 | | 0 | | 0 | |
| 9 | Working capital expenditures from proceeds | 0 | | 0 | | 0 | | 0 | |
| 10 | Capital expenditures from proceeds | 122,097,219 | | 0 | | 157,343,063 | | 243,286,427 | |
| 11 | Other spent proceeds | 818,694 | | 75,547,466 | | 1,042,701 | | 1,564,052 | |
| 12 | Other unspent proceeds | 0 | | 0 | | 0 | | 0 | |
| 13 | Year of substantial completion | 2004 | | 2007 | | | | 2009 | |
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 14 | Were the bonds issued as part of a current refunding issue? | | X | X | | | X | | X |
| 15 | Were the bonds issued as part of an advance refunding issue? | | X | | X | | X | | X |
| 16 | Has the final allocation of proceeds been made? | X | | X | | X | | X | |
| 17 | Does the organization maintain adequate books and records to support the final allocation of proceeds? | X | | X | | X | | X | |

Part III Private Business Use

| | | A | | B | | C | | D | |
|---|--|-----|----|-----|----|-----|----|-----|----|
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 | Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? | | X | | X | | X | | X |
| 2 | Are there any lease arrangements that may result in private business use of bond-financed property? | X | | X | | X | | X | |

Part III

Private Business Use (Continued)

| | | A | | B | | C | | D | |
|----|--|-----|----|-----|----|---------|----|-----|----|
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 3a | Are there any management or service contracts that may result in private business use? | X | | X | | X | | X | |
| b | Are there any research agreements that may result in private business use of bond-financed property? | X | | X | | X | | X | |
| c | Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? | X | | X | | X | | X | |
| 4 | Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government | 0 % | | 0 % | | 0 230 % | | 0 % | |
| 5 | Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government | 0 % | | 0 % | | 0 060 % | | 0 % | |
| 6 | Total of lines 4 and 5 | 0 % | | 0 % | | 0 290 % | | 0 % | |
| 7 | Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? | X | | X | | X | | X | |

Part IV

Arbitrage

| | | A | | B | | C | | D | |
|----|--|-----|----|-----|----|-----|----|-----|----|
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 | Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? | | X | | X | | X | | X |
| 2 | Is the bond issue a variable rate issue? | | X | | X | | X | | X |
| 3a | Has the organization or the governmental issuer entered into a hedge with respect to the bond issue? | | X | | X | | X | | X |
| b | Name of provider | | | | | | | | |
| c | Term of hedge | | | | | | | | |
| d | Was the hedge superintegrated? | | | | | | | | |
| e | Was a hedge terminated? | | | | | | | | |
| 4a | Were gross proceeds invested in a GIC? | | X | | X | | X | | X |
| b | Name of provider | | | | | | | | |
| c | Term of GIC | | | | | | | | |
| d | Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? | | | | | | | | |
| 5 | Were any gross proceeds invested beyond an available temporary period? | | X | | X | | X | | X |
| 6 | Did the bond issue qualify for an exception to rebate? | X | | | X | X | | X | |

Part V

Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

| Identifier | Return Reference | Explanation |
|------------------------------|------------------|---|
| SCHEDULE K, PART II, LINE 3 | | DIFFERENCES IN AMOUNTS ENTERED IN PART I, COLUMN (E) AND PART II, LINE 3 REPRESENT INVESTMENT EARNINGS |
| SCHEDULE K, PART II, LINE 11 | | OTHER SPENT PROCEEDS AMOUNTS REPORTED ON SCHEDULE K, PART II, LINE 11 REPRESENT THE NEW YORK STATE BOND TAX ASSOCIATED WITH EACH ISSUE ----- SCHEDULE K, PART II, LINE 13 YEAR OF SUBSTANTIAL COMPLETION LINE 13 HAS BEEN LEFT BLANK FOR SERIES 2004A AND 2006B ISSUES SINCE THESE WERE REFUNDING ISSUES AND AS SUCH NO PROJECTS WERE ASSOCIATED WITH THE BONDS ----- SCHEDULE K, PART III, LINES 4-5 PRIVATE BUSINESS USE PERCENTAGE FOR ALL ISSUES, THE UNIVERSITY HAS ALLOCATED EQUITY INVESTED IN THE FINANCED PROPERTIES TO SOURCES OF PRIVATE BUSINESS USE, WITH THE EXCEPTION OF ISSUANCE COSTS, WITHIN THE REQUIRED TIME FRAME AND HAS CAREFULLY MANAGED THE TYPES OF ACTIVITIES CONDUCTED IN BOND-FINANCED FACILITIES AS SUCH, THE UNIVERSITY HAS REPORTED 0% PRIVATE BUSINESS USE FOR THE SERIES 2003A&B, SERIES 2004B&C, SERIES 2009A, AND SERIES 2011A ISSUES AND MINIMAL PRIVATE BUSINESS USE FOR THE REMAINING ISSUES ON SCHEDULE K |
| | | |
| | | |

Schedule L
(Form 990 or 990-EZ)

Transactions with Interested Persons
▶ Complete if the organization answered
"Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c,
or Form 990-EZ, Part V lines 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047
2010
Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

| 1 | (a) Name of disqualified person | (b) Description of transaction | (c) Corrected? | |
|---|---------------------------------|--------------------------------|-------------------|----|
| | | | Yes | No |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958 ▶ \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

| (a) Name of interested person and purpose | (b) Loan to or from the organization? | | (c)Original principal amount | (d)Balance due | (e) In default? | | (f) Approved by board or committee? | | (g)Written agreement? | |
|---|---------------------------------------|------|------------------------------|----------------|-----------------|----|-------------------------------------|----|-----------------------|----|
| | To | From | | | Yes | No | Yes | No | Yes | No |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| Total ▶ \$ | | | | | | | | | | |

Part III Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

| (a) Name of interested person | (b)Relationship between interested person and the organization | (c)Amount of grant or type of assistance |
|-------------------------------|--|--|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of transaction | (d) Description of transaction | (e) Sharing of organization's revenues? | |
|-------------------------------|---|---------------------------|--------------------------------|---|----|
| | | | | Yes | No |
| See Additional Data Table | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

Part V Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule L (see instructions)

| Identifier | Return Reference | Explanation |
|---------------------|------------------|--|
| NOTES TO SCHEDULE L | | UNLESS NOTED, ALL AMOUNTS SHOWN ON SCHEDULE L REPRESENT PAYMENTS BY THE UNIVERSITY TO THE INTERESTED PERSON THE SPOUSES OF KEY EMPLOYEES/OFFICERS LISTED IN SCHEDULE L WERE EMPLOYEES OF THE UNIVERSITY DURING THE REPORTING PERIOD THEIR COMPENSATION REPORTED ON SCHEDULE L WAS DETERMINED IN ACCORDANCE WITH THE UNIVERSITY'S REGULAR COMPENSATION PRACTICES APPLICABLE TO SIMILARLY SITUATED EMPLOYEES ALL OF THE BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS DESCRIBED IN SCHEDULE L, PART IV WERE CONDUCTED IN THE ORDINARY COURSE OF BUSINESS AND AT ARM'S LENGTH NO UNIVERSITY OFFICIAL WHO WAS ALSO AN OFFICER OR DIRECTOR OF AN INTERESTED PERSON PARTICIPATED IN OR INFLUENCED THE UNIVERSITY'S DECISION ABOUT ANY TRANSACTION |

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of transaction \$ | (d) Description of transaction | (e) Sharing of organization's revenues? | |
|-------------------------------|---|------------------------------|--------------------------------|---|----|
| | | | | Yes | No |
| EVANGELINE MORPHOS | SPOUSE OF OFFICER | 91,317 | EMPLOYMENT | | No |
| CLAIRE F ULLMAN | SPOUSE OF OFFICER | 12,000 | EMPLOYMENT | | No |
| JANAKI BAKHLE | SPOUSE OF KEY EMPLOYEE | 137,062 | EMPLOYMENT | | No |
| JILL S GOLDMAN | SPOUSE OF KEY EMPLOYEE | 81,951 | EMPLOYMENT | | No |
| CITIGROUP | TRUSTEE IS OFFICER | 510,866 | CARD PAYMENTS/ACCOUNT FEES | | No |
| CITIGROUP | TRUSTEE IS OFFICER | 411,946 | RENT/INTEREST PMTS TO UNIV | | No |
| APPLE COMPUTER | TRUSTEE IS DIRECTOR | 2,579,267 | PURCHASE OF GOODS | | No |
| GOLDMAN SACHS | TRUSTEES ARE OFFICER/DIR | 7,307,949 | CONTRACTS, FEES & COMMISSIONS | | No |
| GOLDMAN SACHS | TRUSTEES ARE OFFICER/DIR | 605,898 | DISTRIBUTIONS AND INTEREST | | No |

SCHEDULE M
(Form 990)

NonCash Contributions

OMB No 1545-0047

2010

Open to Public Inspection

►Complete if the organization answered "Yes" on Form 990, Part IV, lines 29 or 30.
► Attach to Form 990.

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I

Types of Property

| | (a) Check if applicable | (b) Number of Contributions or items contributed | (c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g | (d) Method of determining oncash contribution amounts |
|---|-------------------------------|--|--|---|
| 1 Art—Works of art | X | 2 | 0 | |
| 2 Art—Historical treasures | | | | |
| 3 Art—Fractional interests | | | | |
| 4 Books and publications | | | | |
| 5 Clothing and household goods | | | | |
| 6 Cars and other vehicles . | | | | |
| 7 Boats and planes | | | | |
| 8 Intellectual property . . | X | 5 | 0 | |
| 9 Securities—Publicly traded | | | | |
| 10 Securities—Closely held stock | | | | |
| 11 Securities—Partnership, LLC, or trust interests . | | | | |
| 12 Securities—Miscellaneous | X | 415 | 45,091,686 | COST/SALES PRICE |
| 13 Qualified conservation contribution—Historic structures | | | | |
| 14 Qualified conservation contribution—Other . . | | | | |
| 15 Real estate—Residential . | | | | |
| 16 Real estate—Commercial | X | 1 | 0 | |
| 17 Real estate—Other . . | | | | |
| 18 Collectibles | X | 7 | 0 | |
| 19 Food inventory | X | 3 | 0 | |
| 20 Drugs and medical supplies | | | | |
| 21 Taxidermy | | | | |
| 22 Historical artifacts . . | | | | |
| 23 Scientific specimens . . | | | | |
| 24 Archeological artifacts . | | | | |
| 25 Other ► (<u>EQUIPMENT</u>) | X | 8 | 0 | 0 |
| PERSONAL | | | | |
| 26 Other ► (<u>PAPERS</u>) | X | 2 | 0 | 0 |
| 27 Other ► (<u>BOOKS</u>) | X | 6 | 0 | 0 |
| 28 Other ► (_____) | | | | |
| 29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement | 29 | | | 10 |
| 30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? | 30a | Yes | No | No |
| b If "Yes," describe the arrangement in Part II | 31 | Yes | | |
| 31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions? | 32a | | No | |
| 32a Does the organization hire or use third parties or related organizations to solicit, process, or sell non-cash contributions? | | | | |
| b If "Yes," describe in Part II | | | | |
| 33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II | | | | |

Part II

Supplemental Information. Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

| Identifier | Return Reference | Explanation |
|---|--------------------------------|---|
| DESCRIPTION OF CONTRIBUTED PROPERTY FOR WHICH REVENUES ARE NOT REPORTED | SCHEDULE M, PART I LINE 33 | UNDER FAS 116, CONTRIBUTIONS OF WORKS OF ART, HISTORICAL TREASURES, AND SIMILAR ASSETS NEED NOT BE RECOGNIZED AS REVENUES AND CAPITALIZED IF THE DONATED ITEMS ARE ADDED TO COLLECTIONS HELD FOR PUBLIC EXHIBITION, EDUCATION, OR RESEARCH IN FURTHERANCE OF PUBLIC SERVICE RATHER THAN FINANCIAL GAIN BECAUSE THE UNIVERSITY UTILIZES CONTRIBUTIONS OF ART AND HISTORICAL TREASURES IN FURTHERANCE OF PUBLIC SERVICE, THESE CONTRIBUTIONS ARE NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES IN ADDITION, MINOR GIFTS, SUCH AS FOOD OR SUPPLIES, ARE CONSIDERED DE MINIMUS |
| NUMBER OF CONTRIBUTIONS | SCHEDULE M, PART I, COLUMN (B) | THE FIGURES IN COLUMN (B) REPRESENT THE NUMBER OF CONTRIBUTIONS RECEIVED |

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

**Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.**
▶ **Attach to Form 990 or 990-EZ.**

OMB No 1545-0047

2010

**Open to Public
Inspection**

| | |
|---|---|
| Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK | Employer identification number 13-5598093 |
|---|---|

| Identifier | Return Reference | Explanation |
|--------------------------|------------------|--|
| SUPPLEMENTAL DISCLOSURES | | <p>FORM 990, PART I, LINE 4 AND PART VI, LINE 1B DETAIL REGARDING THE NUMBER OF INDEPENDENT V OTING MEMBERS THE UNIVERSITY'S PRESIDENT, A VOTING MEMBER OF THE BOARD, IS NOT COUNTED AS INDEPENDENT BECAUSE HE IS AN EMPLOYEE OF THE UNIVERSITY IN ACCORDANCE WITH THE FORM 990 R EPORTING INSTRUCTIONS, THE UNIVERSITY HAS ALSO NOT COUNTED AS INDEPENDENT FOR THE PURPOSES OF THIS QUESTION THE FOUR TRUSTEES WHO SERVE AS OFFICERS OR DIRECTORS OF ENTITIES LISTED AS INTERESTED PERSONS ON SCHEDULE L, NOR THE TRUSTEE LISTED ON SCHEDULE J WHO IS RETIRED F ROM THE UNIVERSITY AND RECEIVED PREVIOUSLY -ACCRUED COMPENSATION DURING THE REPORTING PERIO D BASED UPON HIS PRIOR UNIVERSITY EMPLOYMENT -----</p> <p>----- FORM 990, PART I, LINE 6 DETAIL REGARDING THE NUMBER OF VOLUNTEERS THE N UMBER SHOWN IS AN ESTIMATE OF ALUMNI VOLUNTEERS WHO WORK WITH THE UNIVERSITY'S CENTRAL ALU MINI OFFICE ADDITIONAL VOLUNTEERS WORK IN MANY OF THE UNIVERSITY'S SCHOOLS, CENTERS AND OT HER PROGRAMS -----</p> <p>----- FORM 990, PART V, LINE 4B FOREIGN COUNTRY LIST BANGLADESH BRAZIL CHINA CONGO DR ETHIOPIA FRANCE GERMANY INDIA ITALY COTE D'IVOIRE (IVORY COAST) JAPAN JORDAN KAZAKHSTAN KENYA LESOTHO MALAWI MALI MOZAMBIQUE NIGERIA RWANDA SOUTH AFRICA SWAZILAND TAJIKISTAN TANZANIA TURKEY UNITED KINGDOM (ENGLAND, NORTHERN IRELAND, SCOTLAND, AND WALES) ZAMBIA -----</p> <p>----- FORM 990, PART V, LINES 7G AND 7H CONTRIBUTIONS OF CERTAIN TY PES OF PROPERTY DURING THE YEAR ENDED JUNE 30, 2011, THE UNIVERSITY DID NOT RECEIVE ANY CO NTRIBUTIONS OF QUALIFIED INTELLECTUAL PROPERTY, CARS, BOATS, AIRPLANES, OR OTHER VEHICLES AS A RESULT, THE UNIVERSITY WAS NOT REQUIRED TO FILE FORMS 8899 OR 1098-C TO REPORT SUCH CONTRIBUTIONS -----</p> <p>----- FORM 990, PAR T VI, LINE 2 DETAIL OF FAMILY/BUSINESS RELATIONSHIPS ARMEN AVANESSIONS AND ESTA STECHER - BUSINESS RELATIONSHIP ANN KAPLAN AND ESTA STECHER - BUSINESS RELATIONSHIP-----</p> <p>----- FORM 990, PART VI, SECTION A, LINE 5 IN NOVE MBER 2010, THE UNIVERSITY LEARNED IT HAD BEEN DEFRAUDED FOR THE AMOUNT OF \$5,249,748 39 WH EN CERTAIN ELECTRONIC PAY MENTS WERE MADE TO A FRADULENT VENDOR BANK ACCOUNT THE UNIVERSIT Y IMMEDIATELY NOTIFIED THE POLICE INDICTMENTS HAVE BEEN ISSUED AND THE UNIVERSITY IS COOP ERATING WITH THE CRIMINAL INVESTIGATION IN AUGUST 2011, THE UNIVERSITY RECEIVED REIMBURSE MENT FROM ITS INSURANCE CARRIER FOR THE FULL AMOUNT OF THE LOSS, LESS A \$250,000 DEDUCTIBLE -----</p> <p>----- FORM 990, PART VI, LINE 1 1B PROCESS USED BY MANAGEMENT AND/OR GOVERNING BODY TO REVIEW 990 A SUBCOMMITTEE COMPRISED OF MEMBERS OF TRUSTEES AUDIT COMMITTEE REVIEWED THE FORM 990 FOLLOWING THAT REVIEW, THE FORM 990 WAS MADE AVAILABLE TO ALL TRUSTEES VIA A SECURE WEBSITE AND THEN PRESENTED TO THE FULL BOARD OF TRUSTEES AT A MEETING ON MAY 10, 2012 CHANGES MADE SUBSEQUENT TO THE MAY 10, 2012 MEETING WERE REPORTED TO THE SUBCOMMITTEE, AND ALL TRUSTEES WERE NOTIFIED OF THE C HANGES VIA ELECTRONIC MAIL THE REVISED FORM WAS POSTED ON THE SECURE WEBSITE FOR TRUSTEE REVIEW PRIOR TO FILING -----</p> <p>----- FORM 990, PART VI, LINE 12C DESCRIPTION OF PROCESS TO MONITOR TRANSACTIONS FOR CONFLICTS OF IN TEREST ALL TRUSTEES ARE SUBJECT TO THE "STATEMENT OF CONFLICT OF INTEREST POLICY FOR THE T RUSTEES OF COLUMBIA UNIVERSITY " WHEN A TRUSTEE BECOMES AWARE OF AN EXISTING OR POTENTIAL CONFLICT OF INTEREST, HE OR SHE HAS A DUTY TO (A) DISCLOSE THE CIRCUMSTANCES TO THE BOARD CHAIR OR OTHERS, AS APPROPRIATE, (B) REFRAIN FROM ACTING TO INFLUENCE ANY DECISION WHICH MIGHT IN IMPROPERLY BENEFIT THE TRUSTEE OR A FAMILY MEMBER, AND (C) RECUSE HIMSELF OR HERS ELF FROM PARTICIPATION IN ANY DISCUSSIONS OR DECISIONS ABOUT THE POTENTIAL TRANSACTION OR ACTIVITY IN ADDITION, ALL TRUSTEES ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE TO CONFLICTS THE DISCLOSURES ARE REVIEWED FOR APPROPRIATE ACTION ALL OFFICERS AND KEY EMPLOYEES ARE SUBJECT TO THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTE REST," AND ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE TO CONFLICTS THESE DISCLOSURES ARE REVIEWED THROUGH A FORMAL ADMINISTRATIVE PROCESS ANY DISCLOSURES TH AT COULD GIVE RISE TO CONFLICTS AS IDENTIFIED THROUGH SUCH REVIEW ARE REPORTED TO AN APPRO PRIATE SENIOR OFFICER ANY SUBSTANTIVE CONCERNS ARE ALSO REPORTED OT THE CHAIR OF THE BOAR D OF TRUSTEES -----</p> <p>----- FORM 990, PAR T VI, LINES 15A AND 15B COMPENSATION PROCESS THE UNIVERSITY FOLLOWS THE REVIEW PROCESS DES CRIBED BELOW FOR ALL OFFICERS AND KEY EMPLOYEES THIS PROCESS HAS BEEN IN PLACE SINCE AT L EAST 2000 THE COMPENSATION FOR THE ORGANIZATION'S PRESIDENT IS REVIEWED BY A COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES AND APPROVED BY</p> |

| Identifier | Return Reference | Explanation |
|--------------------------|------------------|---|
| SUPPLEMENTAL DISCLOSURES | | <p>THE INDEPENDENT TRUSTEES ON THE FULL BOARD THE COMPENSATION OF OTHER OFFICERS AND KEY EMPLOYEES IS REVIEWED AND APPROVED BY THE COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES IN CONNECTION WITH THE PRESIDENT'S COMPENSATION, THE COMPENSATION COMMITTEE AND THE BOARD REVIEW COMPARABILITY DATA AND MINUTES OF DELIBERATIONS ARE RECORDED CONTEMPORANEOUSLY WITH A NY ACTION WITH RESPECT TO OTHER OFFICERS AND KEY EMPLOYEES, THE COMMITTEE FOLLOWS THE SAME PROCESS FOR REVIEW OF COMPARABILITY DATA AND CONTEMPORANEOUS DOCUMENTATION OF ITS ACTIONS IN THE CASE OF THE ORGANIZATION'S PRESIDENT AND CERTAIN OTHER OFFICERS AND KEY EMPLOYEES, THE ORGANIZATION HAS ALSO CONSULTED WITH INDEPENDENT COMPENSATION CONSULTANTS IN DETERMINING COMPENSATION THE COMPENSATION OF THE PRESIDENT AND THE EXECUTIVE VICE PRESIDENT OF THE INVESTMENT MANAGEMENT COMPANY IS ALSO REVIEWED AND APPROVED BY A COMPENSATION COMMITTEE OF THE INVESTMENT MANAGEMENT COMPANY'S BOARD OF MANAGERS SUCH COMPENSATION COMMITTEE IS COMPRISED OF INDEPENDENT MEMBERS OF THE BOARD MANAGERS "INDEPENDENT TRUSTEE" OR "INDEPENDENT MEMBER" AS USED IN THIS FOOTNOTE MEANS WITHOUT A CONFLICT OF INTEREST WITHIN THE MEANING OF PARAGRAPH (c)(1)(iii) OF REGULATION 53.4958-6 OF THE INTERNAL REVENUE CODE IN ACCORDANCE WITH THE FORM 990 INSTRUCTIONS, PART VI, LINE 1B ABOVE USES A DIFFERENT DEFINITION ----- FORM 990, PART VI, QUESTION 19 DOCUMENTS AVAILABILITY TO PUBLIC THE ORGANIZATION'S CHARTER AND STATUTES, FINANCIAL STATEMENTS AND THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTEREST" ARE AVAILABLE ON THE ORGANIZATION'S WEBSITE AT WWW.COLUMBIA.EDU -----</p> <p>----- FORM 990, PART VIII DETAIL REGARDING ROYALTY INCOME/EXPENSE THE UNIVERSITY PERIODICALLY FUNDS AND DEVELOPS PATENTS FOR CERTAIN TECHNOLOGIES, THEN LICENSES THE USAGE OF THESE PATENTS TO COMPANIES OVER SEVERAL YEARS THE REVENUE, NET OF PAYMENTS DUE TO THIRD PARTIES, IS RECORDED IN "REVENUE FROM OTHER EDUCATIONAL AND RESEARCH ACTIVITIES" IN THE CONSOLIDATED STATEMENT OF ACTIVITIES COSTS INCURRED WITH DEVELOPING AND MAINTAINING THESE PATENTS ARE EXPENSED AS INCURRED SO THAT WHILE ROYALTIES ARE PAID TO FACULTY AND OTHERS IN CERTAIN CIRCUMSTANCES THESE AMOUNTS ARE NOT REFLECTED IN THE STATEMENT OF FUNCTIONAL EXPENSES -----</p> <p>--- FORM 990, PART VIII, LINE 8 AND SCHEDULE G, PART II DESCRIPTION OF ACCOUNTING FOR FUNDRAISING EVENTS AMOUNTS REPORTED FOR FUNDRAISING EVENTS REPRESENT REVENUE AND EXPENSES THAT ARE SEPARATELY TRACKED THE REVENUE AND EXPENSES FROM ADDITIONAL FUNDRAISING ACTIVITIES ARE NOT SEPARATELY TRACKED AND ARE INCLUDED WITHIN CONTRIBUTION REVENUE AND FUNDRAISING EXPENSES ----- FORM 990, PART IX, LINE 11F INVESTMENT MANAGEMENT FEES THE INVESTMENT MANAGEMENT FEES REPORTED ON THE STATEMENT OF FUNCTIONAL EXPENSES REPRESENT FEES TO THIRD PARTY INVESTMENT MANAGERS AND INCLUDE MANAGEMENT FEE AND PERFORMANCE FEE EXPENSES ASSOCIATED WITH SEPARATELY MANAGED ACCOUNTS INVESTMENT MANAGEMENT FEES ASSOCIATED WITH FUND INVESTMENTS ARE REPORTED NET AGAINST INVESTMENT INCOME AND THEREFORE ARE NOT INCLUDED IN THE STATEMENT OF FUNCTIONAL EXPENSES -----</p> <p>----- FORM 990, PART IX FUNCTIONAL EXPENSES FORM 990, PART IX FUNCTIONAL EXPENSE TOTALS REFLECT THE ELIMINATION OF DEPARTMENTAL OVERHEAD RECOVERIES INCURRED BY PROGRAMMATIC BUSINESS UNITS THE MAJORITY OF RECOVERED EXPENSES ARE SHOWN IN LINE 24F, OTHER EXPENSES -----</p> |

| Identifier | Return Reference | Explanation |
|--------------------------|----------------------------|---|
| FORM 990, PART X, LINE 7 | NOTES AND LOANS RECEIVABLE | <p>LOANS RECEIVABLE FROM STUDENTS TOTAL \$91,631,000 LOANS RECEIVABLE FROM NON-KEY EMPLOYEES TOTAL \$20,537,084 THE LOANS HAVE VARIOUS INTEREST RATES RANGING FROM 1 95% TO 6 5%, WITH MATURITY DATES RANGING FROM 2011 THROUGH 2039 THE OUTSTANDING BALANCES RANGE FROM \$10,366 TO \$2,398,778 AND ARE GENERALLY SECURED BY A PRINCIPAL RESIDENCE LOANS RECEIVABLE FROM OTHER 501(C)(3) ORGANIZATIONS TOTAL \$1,784,448 -----</p> <p>--- FORM 990, PART XI, LINE 5 OTHER CHANGES IN NET ASSETS OR FUND BALANCE UNREALIZED GAINS 923,981,187 CHANGE IN FUNDS HELD BY OTHER IN PERPETUITY 25,211,000 PRESENT VALUE ADJUSTMENT TO SPLIT INTEREST AGREEMENTS (769,000) CHANGES IN PENSION AND POST-RETIREMENT OBLIGATIONS 66,599,000 ----- TOTAL OTHER CHANGES IN NET ASSETS \$1,015,022,187 -----</p> <p>----- FORM 990, PART XII, LINE 2 AUDIT OF THE UNIVERSITY'S FINANCIAL STATEMENTS THE CONSOLIDATED FINANCIAL STATEMENTS OF THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK ARE AUDITED BY PRICEWATERHOUSECOOPERS, AN INDEPENDENT AUDITOR THE UNCONSOLIDATED FINANCIAL STATEMENTS REFLECTED IN THIS RETURN REPRESENT APPROXIMATELY 99% OF THE NET ASSETS OF THE CONSOLIDATED GROUP THE UNIVERSITY'S BOARD OF TRUSTEES HAS AN AUDIT COMMITTEE, COMPRISED OF INDEPENDENT TRUSTEES, WHICH IS RESPONSIBLE FOR OVERSIGHT OF THE AUDIT, REVIEW, AND COMPILATION OF THE FINANCIAL STATEMENTS AND SELECTION OF THE INDEPENDENT AUDITOR -----</p> |

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I

Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)

| (a) Name, address, and EIN of disregarded entity | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Total income | (e) End-of-year assets | (f) Direct controlling entity |
|---|-------------------------|--|---------------------|---------------------------|----------------------------------|
| See Additional Data Table | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

Part II

Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity | (g) Section 512(b)(13) controlled organization | |
|---|-------------------------|--|----------------------------|---|----------------------------------|---|----|
| | | | | | | Yes | No |
| See Additional Data Table | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
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| | | | | | | | |
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Part III

Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Predominant income (related, unrelated, excluded from tax under sections 512- 514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproporionate allocations? | | (i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065) | (j) General or managing partner? | | (k) Percentage ownership |
|---|-------------------------|--|-------------------------------------|---|------------------------------|---------------------------------------|--|----|---|---|----|--------------------------------|
| | | | | | | | Yes | No | | Yes | No | |
| (1) AP MC FUND I LP ENTSUJI GADELIUS BLDG 1F 2-39 AKASAKA 5-CHOME, TOKYO, MINATO-KU 107-0052 JA 98-0504300 | INVESTMENTS | JA | NA | EXCLUDED | 14 | 5,424,581 | | No | 0 | | No | 66 478 % |
| (2) AP RX FUND I LP STRATEGIC SCENARIO CO BLDG 1F 2-39 AKASAKA 5-CHOME, TOKYO, MI 107-0052 JA 98-0515907 | INVESTMENTS | JA | NA | EXCLUDED | 45 | 13,659,253 | | No | 0 | | No | 99 503 % |
| (3) MERIT ENERGY PARTNERS V LP 13727 NOEL ROAD SUITE 500 DALLAS, TX75240 75-2411038 | INVESTMENTS | TX | NA | EXCLUDED | 27,959,798 | 43,611,016 | | No | 0 | | No | 97 333 % |
| (4) PRIDES MONSTER FUND LP 200 HIGH STREET SUITE 700 BOSTON, MA02110 27-0574628 | INVESTMENTS | DE | NA | EXCLUDED | 0 | 2,613,872 | | No | 0 | | No | 99 891 % |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Type of entity (C corp, S corp, or trust) | (f) Share of total income | (g) Share of end-of-year assets | (h) Percentage ownership |
|---|-------------------------|---|-------------------------------------|--|------------------------------|--|--------------------------------|
| See Additional Data Table | | | | | | | |
| | | | | | | | |
| | | | | | | | |
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Part V

Transactions With Related Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35, 35A, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III or IV

1

During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a

Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity

b

Gift, grant, or capital contribution to other organization(s)

c

Gift, grant, or capital contribution from other organization(s)

d

Loans or loan guarantees to or for other organization(s)

e

Loans or loan guarantees by other organization(s)

f

Sale of assets to other organization(s)

g

Purchase of assets from other organization(s)

h

Exchange of assets

i

Lease of facilities, equipment, or other assets to other organization(s)

j

Lease of facilities, equipment, or other assets from other organization(s)

k

Performance of services or membership or fundraising solicitations for other organization(s)

l

Performance of services or membership or fundraising solicitations by other organization(s)

m

Sharing of facilities, equipment, mailing lists, or other assets

n

Sharing of paid employees

o

Reimbursement paid to other organization for expenses

p

Reimbursement paid by other organization for expenses

q

Other transfer of cash or property to other organization(s)

r

Other transfer of cash or property from other organization(s)

Yes

No

1a

Yes

1b

Yes

1c

No

1d

No

1e

No

1f

No

1g

No

1h

No

1i

No

1j

No

1k

No

1l

No

1m

No

1n

No

1o

No

1p

Yes

1q

Yes

1r

No

2

If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

| (a) Name of other organization | (b) Transaction type(a-r) | (c) Amount involved | (d) Method of determining amount involved |
|-----------------------------------|---------------------------------|------------------------|---|
| (1) | | | |
| See Additional Data Table | | | |
| (2) | | | |
| (3) | | | |
| (4) | | | |
| (5) | | | |
| (6) | | | |

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII

Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

| Identifier | Return Reference | Explanation | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---------------------------------------|---|---|---------------------------------------|-------------------------------|-------------|-------------------------------------|--------------|-----------------------|-------------------------------|--------------|---------------|----------------------------------|-------------------------------|-------|-----------|-----------------------------|-----------------------------|-----------|-------------|---|-------------------------------|--------------|-----------------|---|-------------------------------|-----|-------------|------------------------------------|-------------------------------|-------------|--------------|------------------------------|-------------------------------|-----------|-------------|---|--|--|
| SCHEDULE R | | <p>COLUMBIA UNIVERSITY OWNS AN 81.57% INTEREST IN 2700 BROADWAY CONDOMINIUM, WHICH IS TREATED AS A CONDOMINIUM ASSOCIATION UNDER NEW YORK STATE LAW ----- SCHEDULE R, PART I END-OF-YEAR ASSET REPORTING CERTAIN DISREGARDED ENTITIES LISTED IN SCHEDULE R, PART I MAY HOLD VEHICLES, OFFICE EQUIPMENT AND RELATED ITEMS, THE VALUE OF WHICH IS INCLUDED IN THE UNIVERSITY'S BALANCE SHEET IN PART X OF THIS FORM 990 BUT IS NOT REFLECTED IN COLUMN (E) END-OF-YEAR ASSETS ----- SCHEDULE R, PART I INCOME/ASSETS ATTRIBUTABLE TO CERTAIN DISREGARDED ENTITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM I, LLC WHICH OWNS 100% OF THE SHARES ENTITLED TO THE INCOME AND ASSETS OF FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED. TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM I, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED, AS REPORTED IN SCHEDULE R, PART IV. THE SHARES OWNED BY CIM I ARE NON-VOTING SHARES ----- SCHEDULE R, PART I, COLUMNS (D) AND (E) INCOME AND ASSETS ATTRIBUTABLE TO CERTAIN DISREGARDED ENTITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM V, LLC, WHICH IS THE SOLE MEMBER OF CONQUEST MANAGED FUTURES SELECT FUND II, LLC. TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM V, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CONQUEST MANAGED FUTURES SELECT FUND II, LLC, AS REPORTED IN SCHEDULE R, PART I. COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM XIII, LLC, WHICH OWNS A 100% INTEREST IN THE PROFITS, LOSSES AND CAPITAL OF HAMILTON FORESTS, LP. HAMILTON FORESTS, LP IS THE SOLE MEMBER OF CIM PARENT, LLC WHICH IS THE SOLE MEMBER OF CIM TIMBER, LLC. TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM XIII, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO HAMILTON FORESTS, LP, WHICH INCLUDES 100% SHARE OF TOTAL INCOME AND END OF YEAR ASSETS ATTRIBUTABLE TO CIM PARENT, LLC AND CIM TIMBER, LLC, AS REPORTED IN SCHEDULE R, PART I ----- SCHEDULE R, PART IV CONSISTENT WITH GAAP, THE UNIVERSITY DOES NOT CONSOLIDATE THE ENTITIES LISTED IN PART IV SCHEDULE R WHICH HAVE INVESTMENTS AS THEIR PRIMARY PURPOSE. THIS IS BECAUSE THE UNIVERSITY DOES NOT HAVE SUFFICIENT VOTING POWER WITH RESPECT TO THESE ENTITIES TO SATISFY THE CONTROL TEST FOR CONSOLIDATION UNDER GAAP. THE AMOUNTS SHOWN IN SCHEDULE R, PART IV, COLUMN (G) REFLECT THE UNIVERSITY'S SHARE OF THE NET ASSETS OF THESE ENTITIES FOR THE FISCAL YEAR REPORTING PERIOD WHICH, CONSISTENT WITH GAAP, IS REFLECTED ON LINE 16 OF THE BALANCE SHEET IN PART X OF THIS FORM 990. SIMILARLY, THE UNIVERSITY'S SHARE OF ANY CURRENT INCOME AND REALIZED GAINS FROM THESE ENTITIES IS REFLECTED IN COLUMN (F) OF SCHEDULE R, PART IV AND ON THE STATEMENT OF REVENUE IN PART VIII. THESE ENTITIES EACH OPERATE ON A CALENDAR-YEAR BASIS, AND THE UNIVERSITY'S SHARE OF THE GROSS INCOME AND TOTAL ASSETS FOR EACH OF THESE ENTITIES FOR THE CALENDAR YEAR ENDED DECEMBER 31, 2010 IS SET FORTH BELOW:</p> <table><tr><td>APIS GLOBAL DEEP VALUE OFFSHORE, LTD.</td><td>SHARE OF TOTAL (GROSS) INCOME</td><td>\$4,485,847</td><td>SHARE OF END-OF-YEAR (GROSS) ASSETS</td></tr><tr><td>\$28,119,097</td><td>ASM HUDSON RIVER FUND</td><td>SHARE OF TOTAL (GROSS) INCOME</td><td>\$21,042,458</td></tr><tr><td>\$140,211,637</td><td>CALUNIUS LITIGATION RISK FUND LP</td><td>SHARE OF TOTAL (GROSS) INCOME</td><td>\$244</td></tr><tr><td>\$703,103</td><td>COLUMBIA/PATRON SCOTLAND LP</td><td>SHARE OF TOTAL (GROSS) LOSS</td><td>\$691,720</td></tr><tr><td>\$8,017,834</td><td>FPCM INFLATION-LINKED OPPORTUNITIES FUND, LTD</td><td>SHARE OF TOTAL (GROSS) INCOME</td><td>\$57,235,335</td></tr><tr><td>\$1,415,363,673</td><td>HARBOUR LITIGATION INVESTMENT OFFSHORE FUND</td><td>SHARE OF TOTAL (GROSS) INCOME</td><td>\$0</td></tr><tr><td>\$1,652,147</td><td>INDEA LONG TERM OPPORTUNITIES FUND</td><td>SHARE OF TOTAL (GROSS) INCOME</td><td>\$3,352,009</td></tr><tr><td>\$55,995,339</td><td>NCH INVESTORS FUND (CU) CORP</td><td>SHARE OF TOTAL (GROSS) INCOME</td><td>\$214,568</td></tr><tr><td>\$2,409,357</td><td colspan="3">----- SCHEDULE R, PART IV AS OF JUNE 30, 2011, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK HELD MORE THAN A 50% BENEFICIAL INTEREST IN 57 CHARITABLE REMAINDER TRUSTS DOMICILED IN NEW YORK, 2 CHARITABLE REMAINDER TRUSTS DOMICILED IN FLORIDA, AND 4 POOLED INCOME FUNDS DOMICILED IN NEW YORK.</td></tr></table> | APIS GLOBAL DEEP VALUE OFFSHORE, LTD. | SHARE OF TOTAL (GROSS) INCOME | \$4,485,847 | SHARE OF END-OF-YEAR (GROSS) ASSETS | \$28,119,097 | ASM HUDSON RIVER FUND | SHARE OF TOTAL (GROSS) INCOME | \$21,042,458 | \$140,211,637 | CALUNIUS LITIGATION RISK FUND LP | SHARE OF TOTAL (GROSS) INCOME | \$244 | \$703,103 | COLUMBIA/PATRON SCOTLAND LP | SHARE OF TOTAL (GROSS) LOSS | \$691,720 | \$8,017,834 | FPCM INFLATION-LINKED OPPORTUNITIES FUND, LTD | SHARE OF TOTAL (GROSS) INCOME | \$57,235,335 | \$1,415,363,673 | HARBOUR LITIGATION INVESTMENT OFFSHORE FUND | SHARE OF TOTAL (GROSS) INCOME | \$0 | \$1,652,147 | INDEA LONG TERM OPPORTUNITIES FUND | SHARE OF TOTAL (GROSS) INCOME | \$3,352,009 | \$55,995,339 | NCH INVESTORS FUND (CU) CORP | SHARE OF TOTAL (GROSS) INCOME | \$214,568 | \$2,409,357 | ----- SCHEDULE R, PART IV AS OF JUNE 30, 2011, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK HELD MORE THAN A 50% BENEFICIAL INTEREST IN 57 CHARITABLE REMAINDER TRUSTS DOMICILED IN NEW YORK, 2 CHARITABLE REMAINDER TRUSTS DOMICILED IN FLORIDA, AND 4 POOLED INCOME FUNDS DOMICILED IN NEW YORK. | | |
| APIS GLOBAL DEEP VALUE OFFSHORE, LTD. | SHARE OF TOTAL (GROSS) INCOME | \$4,485,847 | SHARE OF END-OF-YEAR (GROSS) ASSETS | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| \$28,119,097 | ASM HUDSON RIVER FUND | SHARE OF TOTAL (GROSS) INCOME | \$21,042,458 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| \$140,211,637 | CALUNIUS LITIGATION RISK FUND LP | SHARE OF TOTAL (GROSS) INCOME | \$244 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| \$703,103 | COLUMBIA/PATRON SCOTLAND LP | SHARE OF TOTAL (GROSS) LOSS | \$691,720 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| \$8,017,834 | FPCM INFLATION-LINKED OPPORTUNITIES FUND, LTD | SHARE OF TOTAL (GROSS) INCOME | \$57,235,335 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| \$1,415,363,673 | HARBOUR LITIGATION INVESTMENT OFFSHORE FUND | SHARE OF TOTAL (GROSS) INCOME | \$0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| \$1,652,147 | INDEA LONG TERM OPPORTUNITIES FUND | SHARE OF TOTAL (GROSS) INCOME | \$3,352,009 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| \$55,995,339 | NCH INVESTORS FUND (CU) CORP | SHARE OF TOTAL (GROSS) INCOME | \$214,568 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| \$2,409,357 | ----- SCHEDULE R, PART IV AS OF JUNE 30, 2011, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK HELD MORE THAN A 50% BENEFICIAL INTEREST IN 57 CHARITABLE REMAINDER TRUSTS DOMICILED IN NEW YORK, 2 CHARITABLE REMAINDER TRUSTS DOMICILED IN FLORIDA, AND 4 POOLED INCOME FUNDS DOMICILED IN NEW YORK. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Form 990, Schedule R, Part I - Identification of Disregarded Entities

| (a) Name, address, and EIN of disregarded entity | (b) Primary Activity | (c) Legal Domicile (State or Foreign Country) | (d) Total income (\$) | (e) End-of-year assets (\$) | (f) Direct Controlling Entity |
|--|-------------------------|---|-----------------------------|-----------------------------------|----------------------------------|
| COLUMBIA INVESTMENT MGT COMPANY LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | INVEST MGMT | NY | 0 | 0 | NA |
| CIM I LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901851 | INVEST HOLDNG | DE | 0 | 467,849,177 | NA |
| CIM II LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901854 | INVEST HOLDNG | DE | 0 | 0 | NA |
| CIM III LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901855 | INVEST HOLDNG | DE | 0 | 185,357 | NA |
| CIM IV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901856 | INVEST HOLDNG | DE | 8,908,454 | 206,010,349 | NA |
| CIM V LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901861 | INVEST HOLDNG | DE | 4,047,668 | 27,864,080 | NA |
| CONQUEST MANAGED FUTURES SELECT FUND II 540 MADISON AVENUE 20TH FLOOR NEW YORK, NY 10022 | INVESTMENTS | DE | 4,047,668 | 27,864,080 | CIM V |
| CIM VI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901857 | INVEST HOLDNG | DE | 3,478,181 | 0 | NA |
| CIM VII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901858 | INVEST HOLDNG | DE | 0 | 0 | NA |
| CIM VIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901859 | INVEST HOLDNG | DE | 0 | 0 | NA |
| CIM IX LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901860 | INVEST HOLDNG | DE | 0 | 0 | NA |
| CIM X LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901862 | INVEST HOLDNG | DE | 0 | 0 | NA |
| CIM XI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901863 | INVEST HOLDNG | DE | 15,964 | 15,700 | NA |
| CIM XII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901864 | INVEST HOLDNG | DE | -2,787,135 | 18,235,234 | NA |
| CIM XIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901865 | INVEST HOLDNG | DE | 700,000 | 40,031,445 | NA |
| HAMILTON FORESTS LP 15 PIEDMONT CENTER SUITE 1250 ATLANTA, GA 30305 | INVESTMENTS | DE | 700,000 | 40,031,445 | CIM XIII |
| CIM PARENT LLC 15 PIEDMONT CENTER SUITE 1250 ATLANTA, GA 30305 | INVESTMENTS | DE | -109,387 | 40,209,501 | HAMILTON |
| CIM TIMBER LLC 15 PIEDMONT CENTER SUITE 1250 ATLANTA, GA 30305 | INVESTMENTS | DE | -109,387 | 40,145,407 | CIM PARENT |
| CIM XIV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901866 | INVEST HOLDNG | DE | 1,989,355 | 27,145 | NA |
| CIM XV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901868 | INVEST HOLDNG | DE | 8,818,175 | 66,911,254 | NA |
| CIM XVI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3951901 | INVEST HOLDNG | DE | 3,761 | 0 | NA |
| CIM XVII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977498 | INVEST HOLDNG | DE | -6,138 | 5,562,554 | NA |
| CIM XVIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977500 | INVEST HOLDNG | DE | 0 | 0 | NA |
| CIM XIX LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977503 | INVEST HOLDNG | DE | 0 | 0 | NA |
| CIM XX LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977505 | INVEST HOLDNG | DE | 0 | 0 | NA |
| CIM XXI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977507 | INVEST HOLDNG | DE | 0 | 0 | NA |
| DYNAMO BRASIL VI LLC 1209 ORANGE STREET WILMINGTON, DE 19801 13-5598093 | INVESTMENTS | DE | 0 | 134,141,418 | NA |
| COLUMBIA AFFILIATED PHYSICIANS IPA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 26-2162648 | PHYSICNS PRAC | NY | 0 | 0 | NA |
| COLUMBIA DIGITAL KNOWLEDGE VENTURES LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 06-1576880 | NEW MEDIA | NY | 0 | 0 | NA |
| HITHER PROPERTY LLC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 27-0201222 | REAL ESTATE | DE | 0 | 0 | NA |
| COLUMBIA GLOBAL CENTERS LLC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-5598093 | EDUCATION | NY | 0 | 0 | NA |
| COLUMBIA GLOBAL RESEARCH INITIATIVES LLC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-5598093 | EDUCATION | NY | 0 | 0 | NA |
| CU MIDDLE EAST RESEARCH CENTER LLC 5 MOHD AL SAD AL-BATAYNEH ST P O BOX 144706,AMMAN 11814 JO 13-5598093 | EDUCATION | JO | 0 | 4,243,306 | NA |
| CU GSAPP LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | EDUCATION | NY | 0 | 0 | NA |
| CU STUDIO LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | EDUCATION | NY | 0 | 0 | NA |
| EI RWANDA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTH RESCH | NY | 0 | 0 | NA |
| MSPH ETHIOPIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTHCARE | NY | 0 | 4,739,320 | NA |
| MSPH KENYA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTHCARE | NY | 0 | 6,030,957 | NA |
| MSPH LESOTHO LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTHCARE | NY | 0 | 1,333,813 | NA |
| MSPH MOZAMBIQUE LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTHCARE | NY | 0 | 9,021,474 | NA |
| MSPH NIGERIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTHCARE | NY | 0 | 11,678,208 | NA |
| MSPH RWANDA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTHCARE | NY | 0 | 3,357,332 | NA |
| MSPH SOUTH AFRICA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTHCARE | NY | 0 | 4,705,339 | NA |
| MSPH TANZANIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTHCARE | NY | 0 | 9,939,164 | NA |
| MSPH TAJIKISTAN LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTHCARE | NY | 0 | 0 | NA |

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity | (g) Section 512 (b)(13) controlled organization | |
|--|-------------------------|---|----------------------------|--|----------------------------------|--|----|
| | | | | | | Yes | No |
| CIS I LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY10027 13-3901821 | TITLE HOLDING | NY | 501(C)(2) | N/A | COLUMBIA | Yes | |
| CIS II LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY10027 13-3901826 | TITLE HOLDING | NY | 501(C)(2) | N/A | COLUMBIA | Yes | |
| COLUMBIA OPHTHALMOLOGY CONSULTANTS INC 635 WEST 165TH STREET ROOM 218 NEW YORK, NY10032 13-3908637 | HEALTHCARE | NY | 501(C)(3) | 7 | COLUMBIA | Yes | |
| COLUMBIA UNIVERSITY HEALTH CARE INC 630 WEST 168TH STREET NEW YORK, NY10032 13-3948652 | HEALTHCARE | NY | 501(C)(3) | 9 | COLUMBIA | Yes | |
| COL PRESBYTERIAN MEDICAL CENTER FUND INC 630 WEST 168TH STREET NEW YORK, NY10032 13-6162924 | FUNDRAISING | NY | 501(C)(3) | 11A | NA | | No |
| COL UNIV RETIREE MED LIFE INS BNFITS TR 535 WEST 116TH STREET NEW YORK, NY10027 13-3800106 | BENEFITS SUPP | NY | 501(C)(3) | 11A | COLUMBIA | Yes | |
| COLUMBIA UNIVERSITY PRESS 136 SOUTH BROADWAY IRVINGTON, NY10533 13-1623968 | ACADEMIC PUB | NY | 501(C)(3) | 11A | COLUMBIA | Yes | |
| FRIENDS OF COLUMBIA PSYCHOANALYTIC CTR 1051 RIVERSIDE DRIVE NEW YORK, NY10032 13-3118570 | EDUC SUPPORT | NY | 501(C)(3) | 11A | NA | | No |
| MORNINGSIDE HEIGHTS LEGAL SERVICES INC 435 WEST 116TH STREET BOX C16 RM8 NEW YORK, NY10027 51-0192355 | CLINICAL EDUC | NY | 501(C)(3) | 11A | COLUMBIA | Yes | |
| REID HALL INC 535 WEST 116TH STREET NEW YORK, NY10027 13-1611126 | EDU/CUL EXCH | NY | 501(C)(3) | 11A | COLUMBIA | Yes | |
| CENTRE UNIVERSITAIRE AMERICAN REID HALL 535 WEST 116TH STREET NEW YORK, NY10027 | EDUCATION | FR | N/A | N/A | REID HALL | Yes | |
| COLUMBIA UNIVERSITY PROGRAMS IN PARIS 4 RUE DE CHEVREUSE PARIS 75006 FR | EDUCATION | FR | N/A | N/A | REID HALL | Yes | |

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity | (g) Section 512 (b)(13) controlled organization | |
|--|-------------------------|---|----------------------------|--|----------------------------------|--|----|
| | | | | | | Yes | No |
| CU NEW YORK 4 RUE DE CHEVREUSE PARIS 75006 FR | EDUCATION | FR | N/A | N/A | REID HALL | Yes | |
| RESEARCH COLLECTIONS AND PRES CONSORTIUM 400 FORRESTAL ROAD PRINCETON, NJ08540 22-3751732 | LIBRARY COLL | NJ | 501(C)(3) | N/A | NA | | No |
| FOUNDATION FOR CTR FOR ENERGY MARINE 3225 GALLOWS RD FAIRFAX, VA22037 54-1962639 | EDUC SUPPORT | DE | 501(C)(3) | 11 | NA | | No |
| THE CLYDE & HELEN WU FAMILY FOUNDATION 1275 AUDOBON AVENUE GROSSE POINTE PARK, MI48230 38-3441481 | EDUC SUPPORT | MI | 501(C)(3) | 11 | NA | | No |
| CENTER FOR ENVIRONMENT ECONOMY & SOCIETY 2852 BROADWAY NEW YORK, NY10025 | RESEARCH | DR | N/A | N/A | CIP | Yes | |
| CENTER FOR NATL HLTH DEVELP IN ETHIOPIA KEBELE 06 H NO 447 P O BOX 664,ADDIS ABABA CODE 1250 ET | HEALTHCARE | ET | N/A | N/A | COLUMBIA | Yes | |
| CENTERS FOR INTERNATIONAL PROGRAMS INC 535 WEST 116TH STREET NEW YORK, NY10027 13-5598093 | EDUC OUTREACH | DE | N/A | N/A | COLUMBIA | Yes | |
| CENTERS FOR INTERNATIONAL PROJECTS TRUST APT 101 K-62 HAUZ KHAS ENCLAVE NEW DELHI 110016 IN | RESEARCH | IN | N/A | N/A | CIP | Yes | |
| COLUMBIA GLOBAL CENTER IN INDIA 630 W 168TH STREET NEW YORK, NY10032 | RESEARCH | IN | N/A | N/A | CGC LLC | Yes | |
| COLUMBIA UK FUND LTD 615 WEST 131ST STREET MC8741 NEW YORK, NY10027 | FUNDRAISING | UK | N/A | N/A | COLUMBIA | Yes | |
| COLUMBIA UNIVERSITY HONG KONG FUND GPO BOX 5314 CONNAUGHT PLACE HK | FUNDRAISING | HK | N/A | N/A | COLUMBIA | Yes | |
| GLOBAL HEALTH RESEARCH CNTR-CENTRAL ASIA 1255 AMSTERDAM AVENUE NEW YORK, NY10027 | RESEARCH | DE | N/A | N/A | COLUMBIA | Yes | |

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity | (g) Section 512 (b)(13) controlled organization | |
|---|-------------------------|---|----------------------------|--|----------------------------------|--|----|
| | | | | | | Yes | No |
| MSPH SWAZILAND 615 WEST 131ST STREET MC8741 NEW YORK, NY10027 | HEALTHCARE | NY | N/A | N/A | CIP | Yes | |

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal Domicile (State or Foreign Country) | (d) Direct Controlling Entity | (e) Type of entity (C corp, S corp, or trust) | (f) Share of total income (\$) | (g) Share of end-of-year assets (\$) | (h) Percentage ownership |
|---|-------------------------|--|----------------------------------|--|-----------------------------------|---|-----------------------------|
| 16 EAST 60TH STREET CORPORATION 615 WEST 131ST STREET MC 8741 NEWYORK, NY10027 13-3675222 | REAL ESTATE | NY | NA | C-CORP | 0 | 0 | 100 000 % |
| 2301 12TH AVENUE OWNERS CORP 615 WEST 131ST STREET MC 8741 NEWYORK, NY10027 99-1786950 | REAL ESTATE | NY | NA | C-CORP | 0 | 0 | 100 000 % |
| COLUMBIA PRESBYTERIAN IPA 161 FORT WASHINGTON AVE SUITE 132 NEWYORK, NY10032 13-3396165 | PHYS PRACTICE | NY | NA | C-CORP | 0 | 0 | 100 000 % |
| FATHOM KNOWLEDGE NETWORK INC 615 WEST 131ST STREET MC 8741 NEWYORK, NY10027 13-4054997 | NEW MEDIA | NY | NA | C-CORP | 0 | 0 | 100 000 % |
| FREEZER BOX INC 615 WEST 131ST STREET MC 8741 NEWYORK, NY10027 99-1596696 | REAL ESTATE | NY | NA | C-CORP | 0 | 0 | 100 000 % |
| MORNINGSIDE INC 311 LOW MEMORIAL LIBRARY NEWYORK, NY10027 06-1430120 | REAL ESTATE | NY | NA | C-CORP | 0 | 0 | 100 000 % |
| ALLIANCE FOR COMMUNITY ENHANCEMENT AT CU 615 WEST 131ST STREET MC 8741 NEWYORK, NY10027 13-4159743 | COMM OUTREACH | NY | NA | C-CORP | 0 | 0 | 100 000 % |
| COLUMBIA COMMITTEE FOR COMMUNITY SERVICE 615 WEST 131ST STREET MC 8741 NEWYORK, NY10027 13-6168288 | SUPPORT COMM | NY | NA | C-CORP | 0 | 0 | 100 000 % |
| COLUMBIA DOCTORS OF NEW JERSEY 630 WEST 168TH STREET NEWYORK, NY10032 26-4364931 | HEALTHCARE | NJ | NA | C-CORP | 1,135,104 | 422,386 | 100 000 % |
| ASSC FOR COLLABORATIVE STUDIO X PROJECTS PRACA TIRADENTES 48 - CENTRO RIO DE JANEIRO 20060-070 BR | RESEARCH | BR | NA | PRIVATE LAW ASC | 0 | 0 | 100 000 % |
| COLUMBIA INTERNATIONAL CENTER IN BEIJING TOWERA TSINGHUA SCIENCEPARK CHENGFURD,HAIDIAN 100084 CH | EDUCATION | CH | CGC LLC | FOREIGN CORP | 0 | 0 | 100 000 % |
| COL GLOBAL CENTER EASTERN & SOUTH AFRICA PO BOX 51412 NAIROBI 00100 KE | RESEARCH | KE | CIP LLC | LTD GUARANTEE | 0 | 0 | 100 000 % |
| COL GLOBAL DANISMANLIK VE ARASTIRMA MECIDIYE MAH MAVI APT NO 37/7 ISTANBUL TU | RESEARCH | TU | CGC LLC | LTD COMPANY | 0 | 0 | 100 000 % |
| COL RESEARCH & CONSULTANCY SERVICES PLC EXPRESS TOWERS - 11TH FLOOR MUMBAI 400021 IN | RESEARCH | IN | CIP LLC | LTD COMPANY | 0 | 0 | 100 000 % |
| APIS GLOBAL DEEP VALUE OFFSHORE LTD A HOUSE 20 GENESIS H PO BOX 1344 GRAND CAYMAN KY1-1108 CJ | INVESTMENTS | CJ | NA | FOREIGN CORP | -3,769,243 | 0 | 77 690 % |
| ASM HUDSON RIVER FUND WALKER HOUSE 87 MARY STREET GEORGE TOWN,GRAND CAYMAN KY1- 9002 CJ | INVESTMENTS | CJ | NA | REG MUTUAL FUND | 3,959,446 | 130,860,000 | 100 000 % |
| CALUNIUS LITIGATION RISK FUND LP 1ST FLOOR DOREY COURT ADMIRAL PARK ST PETER PORT,GUERNSEY GY1 6HJ GK 98-0684876 | INVESTMENTS | GK | NA | FOREIGN CORP | 0 | 1,239,407 | 75 000 % |
| COLUMBIAPATRON SCOTLAND LP 50 LOTHIAN ROAD FESTIVAL SQUARE EDINBURGH,SCOTLAND EH3 9BY UK | INVESTMENTS | UK | NA | FOREIGN CORP | 32,533 | 9,778,909 | 100 000 % |
| FPCM INFLATION LINKED OPPORTUNITIES LTD WALKER HOUSE 87 MARY STREET GEORGE TOWN,GRAND CAYMAN KY1- 9002 CJ | INVESTMENTS | CJ | CIM I LLC | FOREIGN CORP | 0 | 467,849,177 | 100 000 % |
| HARBOUR LITIGATION INVEST OFFSHORE FUND PO BOX 309 UGLAND HOUSE GRAND CAYMAN KY1-1104 CJ 98-0660716 | INVESTMENTS | CJ | NA | FOREIGN CORP | 8,813 | 3,347,215 | 100 000 % |

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal Domicile (State or Foreign Country) | (d) Direct Controlling Entity | (e) Type of entity (C corp, S corp, or trust) | (f) Share of total income (\$) | (g) Share of end-of-year assets (\$) | (h) Percentage ownership |
|---|-------------------------|---|----------------------------------|---|--------------------------------------|--|-----------------------------|
| INDEA LONG TERM OPPORTUNITIES FUND WALKERS SPV LTD PO BOX 908GT GEORGE TOWN, GRAND CAYMAN KY1-11 CJ | INVESTMENTS | CJ | NA | FOREIGN CORP | 0 | 50,730,000 | 51 500 % |
| NCH INVESTORS FUND (CU) CORP UGLAND S CHURCH ST PO BOX 309 GEORGE TOWN, GRAND CAYMAN KY1-1104 CJ | INVESTMENTS | CJ | NA | FOREIGN CORP | 180,756 | 2,409,357 | 100 000 % |
| CHARITABLE REMAINDER TRUSTS (57) | N/A | NY | NA | TRUST | | | |
| CHARITABLE REMAINDER TRUSTS (2) | N/A | FL | NA | TRUST | | | |
| POOLED INCOME FUNDS (4) | N/A | NY | NA | TRUST | | | |

Form 990, Schedule R, Part V - Transactions With Related Organizations

| (a) Name of other organization | | (b) Transaction type(a-r) | (c) Amount Involved (\$) | (d) Method of determining amount involved |
|-----------------------------------|---|---------------------------------|--------------------------------|---|
| (1) | AP MC FUND I LP | B | 481,366 | FMV |
| (2) | COLUMBIA UNIVERSITY PRESS | B | 956,000 | FMV |
| (3) | REID HALL INC | B | 232,010 | FMV |
| (3) | REID HALL INC | A | 15,066 | FMV |
| (5) | COLUMBIA OPHTHALMOLOGY CONSULTANTS INC | P | 52,000 | FMV |
| (6) | COLUMBIA UNIVERSITY HEALTH CARE INC | B | 4,584,671 | FMV |
| (7) | COLUMBIAPATRON SCOTLAND LP | B | 695,246 | FMV |
| (8) | CALUNIUS LITIGATION RISK FUND LP | B | 1,036,649 | FMV |
| (9) | CU RETIREE MEDICAL & LIFE INS BENEFITS TR | Q | 11,195,453 | FMV |
| (10) | MORNINGSIDE HEIGHTS LEGAL SERVICES INC | P | 65,307 | FMV |
| (11) | HARBOUR LITIGATION INVEST OFFSHORE FUND | B | 2,289,600 | FMV |

**SCHEDULE K
(Form 990)**

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax-Exempt Bonds

► **Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information on Schedule O (Form 990).**

► **Attach to Form 990.**

► **See separate instructions.**

OMB No 1545-0047

2010

**Open to Public
Inspection**

Name of the organization **THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK**

Employer identification number
13-5598093

Part I Bond Issues

| (a) Issuer name | (b) Issuer EIN | (c) CUSIP # | (d) Date issued | (e) Issue price | (f) Description of purpose | (g) Defeased | | (h) On behalf of issuer | | (i) Pooled Financing | |
|---|----------------|-------------|-----------------|-----------------|----------------------------|--------------|----|-------------------------|----|----------------------|----|
| | | | | | | Yes | No | Yes | No | Yes | No |
| A D FIIT F. WITH FIT. F TITE F NEW F F 000 5AE | 14-0000000 | 04001F4 | 01/05/2000 | 100,000,000 | FUND NEW UNLIFIED FF TE T | | | | | | |
| B D FIIT F. WITH FIT. F TITE F NEW F F 000 45 | 14-0000000 | 04001F4 | 01/05/2000 | 75,000,000 | FUND 1 045 E ND | | | | | | |
| C D FIIT F. WITH FIT. F TITE F NEW F F 000 4EW | 14-0000000 | 04001F4 | 01/05/2000 | 150,000,000 | FUND NEW UNLIFIED FF TE T | | | | | | |
| D D FIIT F. WITH FIT. F TITE F NEW F F 000 5 | 14-0000000 | 04001F4 | 01/05/2000 | 100,000,000 | FUND NEW UNLIFIED FF TE T | | | | | | |

Part II Proceeds

| | A | | B | | C | | D | |
|--|--------------|-----------|-------------|-----------|--------------|-----------|--------------|-----------|
| 1 Amount of bonds retired | 24,655,000. | | 39,320,000. | | 26,385,000. | | 21,780,000. | |
| 2 Amount of bonds legally defeased | 0. | | 0. | | 0. | | 0. | |
| 3 Total proceeds of issue | 123,718,793. | | 75,547,466. | | 159,406,375. | | 246,367,334. | |
| 4 Gross proceeds in reserve funds | 0. | | 0. | | 0. | | 0. | |
| 5 Capitalized interest from proceeds | 0. | | 0. | | 0. | | 0. | |
| 6 Proceeds in refunding escrows | 0. | | 0. | | 0. | | 0. | |
| 7 Issuance costs from proceeds | 802,880. | | 0. | | 1,020,611. | | 1,516,855. | |
| 8 Credit enhancement from proceeds | 0. | | 0. | | 0. | | 0. | |
| 9 Working capital expenditures from proceeds | 0. | | 0. | | 0. | | 0. | |
| 10 Capital expenditures from proceeds | 122,097,219. | | 0. | | 157,343,063. | | 243,286,427. | |
| 11 Other spent proceeds | 818,694. | | 75,547,466. | | 1,042,701. | | 1,564,052. | |
| 12 Other unspent proceeds | 0. | | 0. | | 0. | | 0. | |
| 13 Year of substantial completion | 2004 | | | | 2007 | | 2009 | |
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 14 Were the bonds issued as part of a current refunding issue? | | X | X | | | X | | X |
| 15 Were the bonds issued as part of an advance refunding issue? | | X | | X | | X | | X |
| 16 Has the final allocation of proceeds been made? | X | | X | | X | | X | |
| 17 Does the organization maintain adequate books and records to support the final allocation of proceeds? | X | | X | | X | | X | |

Part III Private Business Use

| | A | | B | | C | | D | |
|---|------------|-----------|------------|-----------|------------|-----------|------------|-----------|
| 1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? | Yes | No | Yes | No | Yes | No | Yes | No |
| | | X | | | | X | | X |
| 2 Are there any lease arrangements that may result in private business use of bond-financed property | X | | | | X | | X | |

For Paperwork Reduction Act Notice, see the Instructions for Form 990

Schedule K (Form 990) 2010

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Department of the Treasury
Internal Revenue Service

► Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information on Schedule O (Form 990).

► See separate instructions.

2010

**Open to Public
Inspection**

Employer identification number
13-5598093

| (a) Issuer name | (b) Issuer EIN | (c) CUSIP # | (d) Date issued | (e) Issue price | (f) Description of purpose | (g) Defeased | | (h) On behalf of issuer | | (i) Pooled Financing | |
|-------------------------------------|----------------|-------------|-----------------|-----------------|----------------------------|--------------|----|-------------------------|----|----------------------|----|
| | | | | | | Yes | No | Yes | No | Yes | No |
| A DEBIT FUND WITH FIRST NEW FI FUND | 14-0000000 | 04000HE7 | 01/19/2000 | 100.000000 | FUND INVESTMENT PURPOSES | | | | | | |
| B DEBIT FUND WITH FIRST NEW FI FUND | 14-0000000 | 04000CH4 | 04/28/2000 | 100.000000 | FUND NEW UNLIFIED FUND | | | | | | |
| C DEBIT FUND WITH FIRST NEW FI FUND | 14-0000000 | 04000DF6 | 05/14/2000 | 110.000000 | FUND NEW UNLIFIED FUND | | | | | | |
| D DEBIT FUND WITH FIRST NEW FI FUND | 14-0000000 | 04000E00 | 06/19/2001 | 100.000000 | FUND NEW UNLIFIED FUND | | | | | | |

| | | A | | B | | C | | D | |
|----|--|--------------|----|--------------|----|--------------|----|--------------|----|
| | | | | | | | | | |
| 1 | Amount of bonds retired | 13,625,000. | | 0. | | 0. | | 0. | |
| 2 | Amount of bonds legally defeased | 0. | | 0. | | 0. | | 0. | |
| 3 | Total proceeds of issue | 167,228,781. | | 295,785,662. | | 117,067,254. | | 312,102,192. | |
| 4 | Gross proceeds in reserve funds | 0. | | 0. | | 0. | | 0. | |
| 5 | Capitalized interest from proceeds | 0. | | 0. | | 0. | | 0. | |
| 6 | Proceeds in refunding escrows | 41,168,413. | | 0. | | 0. | | 0. | |
| 7 | Issuance costs from proceeds | 1,095,577. | | 1,641,578. | | 823,388. | | 1,678,394. | |
| 8 | Credit enhancement from proceeds | 0. | | 0. | | 0. | | 0. | |
| 9 | Working capital expenditures from proceeds | 0. | | 0. | | 0. | | 0. | |
| 10 | Capital expenditures from proceeds | 0. | | 292,178,836. | | 115,269,253. | | 191,470,723. | |
| 11 | Other spent proceeds | 124,964,791. | | 1,965,248. | | 974,613. | | 2,574,008. | |
| 12 | Other unspent proceeds | 0. | | 0. | | 0. | | 116,379,277. | |
| 13 | Year of substantial completion | | | 2009 | | 2009 | | | |
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 14 | Were the bonds issued as part of a current refunding issue? | | X | | X | | X | | X |
| 15 | Were the bonds issued as part of an advance refunding issue? | X | | | X | | X | | X |
| 16 | Has the final allocation of proceeds been made? | X | | | X | | X | | X |
| 17 | Does the organization maintain adequate books and records to support the final allocation of proceeds? | X | | X | | X | | X | |

| | | A | | B | | C | | D | |
|---|--|-----|----|-----|----|-----|----|-----|----|
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 | Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? | | | | X | | X | | X |
| 2 | Are there any lease arrangements that may result in private business use of bond-financed property | | | X | | X | | X | |

Schedule K (Form 990) 2010

Part III Private Business Use (Continued)

| | A | | B | | C | | D | |
|---|----------|----|-----|----|----------|----|---------|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 3a Are there any management or service contracts that may result in private business use of bond-financed property? | X | | | | X | | X | |
| b Are there any research agreements that may result in private business use of bond-financed property? | X | | | | X | | X | |
| c Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? | X | | | | X | | X | |
| 4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶ | 0.0000 % | | | | 0.0000 % | | .2300 % | |
| 5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶ | 0.0000 % | | | | 0.0000 % | | .0600 % | |
| 6 Total of lines 4 and 5 | 0.0000 % | | | | 0.0000 % | | .2900 % | |
| 7 Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? | X | | | | X | | X | |

Part IV Arbitrage

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? | | X | | X | | X | | X |
| 2 Is the bond issue a variable rate issue? | | X | | X | | X | | X |
| 3a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? | | X | | X | | X | | X |
| b Name of provider | | | | | | | | |
| c Term of hedge | | | | | | | | |
| d Was the hedge superintegrated? | | | | | | | | |
| e Was the hedge terminated? | | | | | | | | |
| 4a Were gross proceeds invested in a GIC? | | X | | X | | X | | X |
| b Name of provider | | | | | | | | |
| c Term of GIC | | | | | | | | |
| d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? | | | | | | | | |
| 5 Were any gross proceeds invested beyond an available temporary period? | | X | | X | | X | | X |
| 6 Did the bond issue qualify for an exception to rebate? | X | | | X | X | | X | |

Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

Part III Private Business Use (Continued)

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 3a Are there any management or service contracts that may result in private business use of bond-financed property? | | | | | | | | |
| b Are there any research agreements that may result in private business use of bond-financed property? | | | | | | | | |
| c Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? | | | | | | | | |
| 4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶ | | % | | % | | % | | % |
| 5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶ | | % | | % | | % | | % |
| 6 Total of lines 4 and 5 | | % | | % | | % | | % |
| 7 Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? | | | | | | | | |

Part IV Arbitrage

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? | | | | | | | | |
| 2 Is the bond issue a variable rate issue? | | | | | | | | |
| 3a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? | | | | | | | | |
| b Name of provider | | | | | | | | |
| c Term of hedge | | | | | | | | |
| d Was the hedge superintegrated? | | | | | | | | |
| e Was the hedge terminated? | | | | | | | | |
| 4a Were gross proceeds invested in a GIC? | | | | | | | | |
| b Name of provider | | | | | | | | |
| c Term of GIC | | | | | | | | |
| d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? | | | | | | | | |
| 5 Were any gross proceeds invested beyond an available temporary period? | | | | | | | | |
| 6 Did the bond issue qualify for an exception to rebate? | | | | | | | | |

Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

SCHEDULE K, PART II, LINE 3

DIFFERENCES IN AMOUNTS ENTERED IN PART I, COLUMN (E) AND PART II, LINE 3 REPRESENT INVESTMENT EARNINGS.

Part III Private Business Use (Continued)

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 3a Are there any management or service contracts that may result in private business use of bond-financed property? | | | | | | | | |
| b Are there any research agreements that may result in private business use of bond-financed property? | | | | | | | | |
| c Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? | | | | | | | | |
| 4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶ | | % | | % | | % | | % |
| 5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶ | | % | | % | | % | | % |
| 6 Total of lines 4 and 5 | | % | | % | | % | | % |
| 7 Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? | | | | | | | | |

Part IV Arbitrage

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? | | | | | | | | |
| 2 Is the bond issue a variable rate issue? | | | | | | | | |
| 3a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? | | | | | | | | |
| b Name of provider | | | | | | | | |
| c Term of hedge | | | | | | | | |
| d Was the hedge superintegrated? | | | | | | | | |
| e Was the hedge terminated? | | | | | | | | |
| 4a Were gross proceeds invested in a GIC? | | | | | | | | |
| b Name of provider | | | | | | | | |
| c Term of GIC | | | | | | | | |
| d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? | | | | | | | | |
| 5 Were any gross proceeds invested beyond an available temporary period? | | | | | | | | |
| 6 Did the bond issue qualify for an exception to rebate? | | | | | | | | |

Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

SCHEDULE K, PART II, LINE 11

OTHER SPENT PROCEEDS AMOUNTS REPORTED ON SCHEDULE K, PART II, LINE 11

REPRESENT THE NEW YORK STATE BOND TAX ASSOCIATED WITH EACH ISSUE.

Part III Private Business Use (Continued)

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 3a Are there any management or service contracts that may result in private business use of bond-financed property? | | | | | | | | |
| b Are there any research agreements that may result in private business use of bond-financed property? | | | | | | | | |
| c Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? | | | | | | | | |
| 4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶ | | % | | % | | % | | % |
| 5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶ | | % | | % | | % | | % |
| 6 Total of lines 4 and 5 | | % | | % | | % | | % |
| 7 Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? | | | | | | | | |

Part IV Arbitrage

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? | | | | | | | | |
| 2 Is the bond issue a variable rate issue? | | | | | | | | |
| 3a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? | | | | | | | | |
| b Name of provider | | | | | | | | |
| c Term of hedge | | | | | | | | |
| d Was the hedge superintegrated? | | | | | | | | |
| e Was the hedge terminated? | | | | | | | | |
| 4a Were gross proceeds invested in a GIC? | | | | | | | | |
| b Name of provider | | | | | | | | |
| c Term of GIC | | | | | | | | |
| d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? | | | | | | | | |
| 5 Were any gross proceeds invested beyond an available temporary period? | | | | | | | | |
| 6 Did the bond issue qualify for an exception to rebate? | | | | | | | | |

Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

SCHEDULE K, PART II, LINE 13

Part III Private Business Use (Continued)

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 3a Are there any management or service contracts that may result in private business use of bond-financed property? | | | | | | | | |
| b Are there any research agreements that may result in private business use of bond-financed property? | | | | | | | | |
| c Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? | | | | | | | | |
| 4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶ | | % | | % | | % | | % |
| 5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶ | | % | | % | | % | | % |
| 6 Total of lines 4 and 5 | | % | | % | | % | | % |
| 7 Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? | | | | | | | | |

Part IV Arbitrage

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? | | | | | | | | |
| 2 Is the bond issue a variable rate issue? | | | | | | | | |
| 3a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? | | | | | | | | |
| b Name of provider | | | | | | | | |
| c Term of hedge | | | | | | | | |
| d Was the hedge superintegrated? | | | | | | | | |
| e Was the hedge terminated? | | | | | | | | |
| 4a Were gross proceeds invested in a GIC? | | | | | | | | |
| b Name of provider | | | | | | | | |
| c Term of GIC | | | | | | | | |
| d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? | | | | | | | | |
| 5 Were any gross proceeds invested beyond an available temporary period? | | | | | | | | |
| 6 Did the bond issue qualify for an exception to rebate? | | | | | | | | |

Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

YEAR OF SUBSTANTIAL COMPLETION LINE 13 HAS BEEN LEFT BLANK FOR SERIES 2004A AND 2006B ISSUES SINCE THESE WERE REFUNDING ISSUES AND AS SUCH NO PROJECTS WERE ASSOCIATED WITH THE BONDS.

Part III Private Business Use (Continued)

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 3a Are there any management or service contracts that may result in private business use of bond-financed property? | | | | | | | | |
| b Are there any research agreements that may result in private business use of bond-financed property? | | | | | | | | |
| c Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? | | | | | | | | |
| 4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶ | | % | | % | | % | | % |
| 5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶ | | % | | % | | % | | % |
| 6 Total of lines 4 and 5 | | % | | % | | % | | % |
| 7 Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? | | | | | | | | |

Part IV Arbitrage

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? | | | | | | | | |
| 2 Is the bond issue a variable rate issue? | | | | | | | | |
| 3a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? | | | | | | | | |
| b Name of provider | | | | | | | | |
| c Term of hedge | | | | | | | | |
| d Was the hedge superintegrated? | | | | | | | | |
| e Was the hedge terminated? | | | | | | | | |
| 4a Were gross proceeds invested in a GIC? | | | | | | | | |
| b Name of provider | | | | | | | | |
| c Term of GIC | | | | | | | | |
| d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? | | | | | | | | |
| 5 Were any gross proceeds invested beyond an available temporary period? | | | | | | | | |
| 6 Did the bond issue qualify for an exception to rebate? | | | | | | | | |

Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

SCHEDULE K, PART III, LINES 4-5
PRIVATE BUSINESS USE PERCENTAGE

Part III Private Business Use (Continued)

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 3a Are there any management or service contracts that may result in private business use of bond-financed property? | | | | | | | | |
| b Are there any research agreements that may result in private business use of bond-financed property? | | | | | | | | |
| c Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? | | | | | | | | |
| 4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶ | | % | | % | | % | | % |
| 5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶ | | % | | % | | % | | % |
| 6 Total of lines 4 and 5 | | % | | % | | % | | % |
| 7 Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? | | | | | | | | |

Part IV Arbitrage

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? | | | | | | | | |
| 2 Is the bond issue a variable rate issue? | | | | | | | | |
| 3a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? | | | | | | | | |
| b Name of provider | | | | | | | | |
| c Term of hedge | | | | | | | | |
| d Was the hedge superintegrated? | | | | | | | | |
| e Was the hedge terminated? | | | | | | | | |
| 4a Were gross proceeds invested in a GIC? | | | | | | | | |
| b Name of provider | | | | | | | | |
| c Term of GIC | | | | | | | | |
| d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? | | | | | | | | |
| 5 Were any gross proceeds invested beyond an available temporary period? | | | | | | | | |
| 6 Did the bond issue qualify for an exception to rebate? | | | | | | | | |

Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

FOR ALL ISSUES, THE UNIVERSITY HAS ALLOCATED EQUITY INVESTED IN THE FINANCED PROPERTIES TO SOURCES OF PRIVATE BUSINESS USE, WITH THE

Part III Private Business Use (Continued)

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 3a Are there any management or service contracts that may result in private business use of bond-financed property? | | | | | | | | |
| b Are there any research agreements that may result in private business use of bond-financed property? | | | | | | | | |
| c Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? | | | | | | | | |
| 4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶ | | % | | % | | % | | % |
| 5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶ | | % | | % | | % | | % |
| 6 Total of lines 4 and 5 | | % | | % | | % | | % |
| 7 Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? | | | | | | | | |

Part IV Arbitrage

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? | | | | | | | | |
| 2 Is the bond issue a variable rate issue? | | | | | | | | |
| 3a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? | | | | | | | | |
| b Name of provider | | | | | | | | |
| c Term of hedge | | | | | | | | |
| d Was the hedge superintegrated? | | | | | | | | |
| e Was the hedge terminated? | | | | | | | | |
| 4a Were gross proceeds invested in a GIC? | | | | | | | | |
| b Name of provider | | | | | | | | |
| c Term of GIC | | | | | | | | |
| d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? | | | | | | | | |
| 5 Were any gross proceeds invested beyond an available temporary period? | | | | | | | | |
| 6 Did the bond issue qualify for an exception to rebate? | | | | | | | | |

Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

EXCEPTION OF ISSUANCE COSTS, WITHIN THE REQUIRED TIME FRAME AND HAS CAREFULLY MANAGED THE TYPES OF ACTIVITIES CONDUCTED IN BOND-FINANCED FACILITIES. AS SUCH, THE UNIVERSITY HAS REPORTED 0 PRIVATE BUSINESS USE

Part III Private Business Use (Continued)

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 3a Are there any management or service contracts that may result in private business use of bond-financed property? | | | | | | | | |
| b Are there any research agreements that may result in private business use of bond-financed property? | | | | | | | | |
| c Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? | | | | | | | | |
| 4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶ | | % | | % | | % | | % |
| 5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶ | | % | | % | | % | | % |
| 6 Total of lines 4 and 5 | | % | | % | | % | | % |
| 7 Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? | | | | | | | | |

Part IV Arbitrage

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? | | | | | | | | |
| 2 Is the bond issue a variable rate issue? | | | | | | | | |
| 3a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? | | | | | | | | |
| b Name of provider | | | | | | | | |
| c Term of hedge | | | | | | | | |
| d Was the hedge superintegrated? | | | | | | | | |
| e Was the hedge terminated? | | | | | | | | |
| 4a Were gross proceeds invested in a GIC? | | | | | | | | |
| b Name of provider | | | | | | | | |
| c Term of GIC | | | | | | | | |
| d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? | | | | | | | | |
| 5 Were any gross proceeds invested beyond an available temporary period? | | | | | | | | |
| 6 Did the bond issue qualify for an exception to rebate? | | | | | | | | |

Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

FOR THE SERIES 2003A&B, SERIES 2004B&C, SERIES 2009A, AND SERIES 2011A
ISSUES AND MINIMAL PRIVATE BUSINESS USE FOR THE REMAINING ISSUES ON
SCHEDULE K.

Part III Private Business Use (Continued)

| | A | | B | | C | | D | |
|---|-----|----|--------|----|--------|----|--------|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 3a Are there any management or service contracts that may result in private business use of bond-financed property? | | | X | | X | | X | |
| b Are there any research agreements that may result in private business use of bond-financed property? | | | X | | X | | X | |
| c Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? | | | X | | X | | X | |
| 4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶ | | % | 0.0000 | % | 0.0000 | % | 0.0000 | % |
| 5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶ | | % | 0.0000 | % | 0.0000 | % | 0.0000 | % |
| 6 Total of lines 4 and 5 | | % | 0.0000 | % | 0.0000 | % | 0.0000 | % |
| 7 Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? | | | X | | X | | X | |

Part IV Arbitrage

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? | | X | X | | | X | | X |
| 2 Is the bond issue a variable rate issue? | | X | | X | X | | | X |
| 3a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? | | X | | X | | X | | X |
| b Name of provider | | | | | | | | |
| c Term of hedge | | | | | | | | |
| d Was the hedge superintegrated? | | | | | | | | |
| e Was the hedge terminated? | | | | | | | | |
| 4a Were gross proceeds invested in a GIC? | | X | | X | | X | | X |
| b Name of provider | | | | | | | | |
| c Term of GIC | | | | | | | | |
| d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? | | | | | | | | |
| 5 Were any gross proceeds invested beyond an available temporary period? | | X | | X | | X | | X |
| 6 Did the bond issue qualify for an exception to rebate? | | X | X | | X | | X | |

Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)